

19<sup>th</sup> October, 2018

<b>BSE Limited</b> P J Towers, Dalal Street <b>Mumbai 400 001</b>  <u>Scrip Code: 532300</u>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), <b>Mumbai 400 051</b>  <u>NSE Symbol: WOCKPHARMA</u>
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Dear Sir/Madam,

**Sub: Submission of Certificate pursuant to Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In compliance with Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the copy of certificate by a Practising Company Secretary for the half year ended 30<sup>th</sup> September, 2018.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**

  
**Narendra Singh**  
Company Secretary

Encl: As above

# Virendra G. Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,  
Pinnacle Corporate Park,  
BKC CST Link Rd., MMRDA Area,  
Bandra Kurla Complex,  
Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367

Mobile : +91 - 98200 48670

e-mail : bhattivirendra1945@yahoo.co.in

Name of the Company : WOCKHARDT LIMITED

Registration No. : 11-120720

Registered Office : Wockhardt Research Centre, D-4, M.I.D.C., Chikalthana,  
Aurangabad- 431006

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
& DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR  
ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **WOCKHARDT LIMITED** maintained by **LINK INTIME INDIA PRIVATE LIMITED** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, by **WOCKHARDT LIMITED** and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on **30<sup>th</sup> September, 2018**.

- A. Share Certificates relating to the Share Transfer and Transmission forms received during the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B. Share certificates in respect of request for exchange of duplicate certificates have been issued within one month of lodgement.



Virendra G. Bhatt  
Company Secretary  
ACS - 1157 / CP - 124

Date : 19.10.2018

Place: Mumbai