

21<sup>st</sup> April, 2016

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001  <b><u>Scrip Code: 532300</u></b>  Fax Nos: 22723121	<b>National Stock Exchange of India Limited</b> Listing Department Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai 400 051.  <b><u>NSE Symbol – WOCKPHARMA</u></b>  <b><u>Fax Nos: 26598237 / 26598238</u></b>
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Dear Sirs,

**Sub: Statement showing holding of securities and Shareholding Pattern**

In compliance with Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 31<sup>st</sup> March, 2016.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



**Narendra Singh**  
**Company Secretary**  
Encl: As above



**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements)**

1	<b>Name of Listed Entity:</b> Wockhardt Limited		
2	<b>Scrip Code/Name of Scrip/Class of Security:</b> 532300/ WOCKPHARMA		
3	<b>Share Holding Pattern Filed under:</b> Reg. 31(1)(b)		
a.	If under 31(1)(b) then indicate the report for Quarter ending 31st March, 2016		
b.	If under 31(1)(c) then indicate date of allotment/extinguishment- N.A.		
4	<b>Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-</b>		
	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

  


Wockhardt Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)*	Shareholding , as a %, assuming full conversion of convertible securities ( as a percentage of diluted share capital)*	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y*	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	9	8,19,85,382	0	0	8,19,85,382	74.19	8,19,85,382	N.A.	8,19,85,382	74.19	1,85,28,540	N.A.	0	0.00	0	0.00	8,19,85,382
(B)	Public	87,176	2,83,83,370	0	0	2,83,83,370	25.68	2,83,83,370	N.A.	2,83,83,370	25.68	10,29,26,387	N.A.	0	0.00	N.A.	N.A.	2,74,28,196
(C)	Non Promoter - Non Public																	
(C1)	Shares Underlying DRs	2	1,40,151	0	0	1,40,151	0.13	1,40,151	N.A.	1,40,151	0.13	N.A.	N.A.	0	0.00	N.A.	N.A.	1,24,551
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	N.A.	0	0.00	N.A.	N.A.	0	0.00	N.A.	N.A.	0
	<b>Total</b>	<b>87,187</b>	<b>11,05,08,903</b>	<b>0</b>	<b>0</b>	<b>11,05,08,903</b>	<b>100.00</b>	<b>11,05,08,903</b>	<b>N.A.</b>	<b>11,05,08,903</b>	<b>100.00</b>	<b>12,14,54,927</b>	<b>N.A.</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>10,95,38,129</b>

\* The Company has issued 121,454,927 Optionally Convertible Cumulative Redeemable Preference Shares (OCCRPS). Further, Shareholding as a % assuming full conversion of convertible securities of the Company (OCCRPS) is not ascertainable due to following reasons:

As the ratio of conversion of OCCRPS into equity shares is dependent on the price to be calculated on the 'Relevant Date' which is future date, it is not possible to ascertain the number of equity shares to be allotted on conversion and hence the Shareholding , as a % assuming full conversion of convertible securities after the conversion of the OCCRPS into equity shares is not ascertainable.

*[Handwritten Signature]*



**Wockhardt Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)*	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)*	Number of Locked in shares		Number of Shares pledged		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class X	Class Y *	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
<b>1</b>	<b>Indian</b>																		
(a)	Individuals / Hindu Undivided Family		4	8,87,625	0	0	8,87,625	0.80	8,87,625	0	8,87,625	0.80	0	0.00	0	0.00	0	0.00	8,87,625
	Habil F Khorakiwala	AABPK4415C		4,42,785	0	0	4,42,785	0.40	4,42,785	0	4,42,785	0.40	0	0.00	0	0.00	0	0.00	4,42,785
	Murtaza Habil Khorakiwala	AAZPK7040H		2,26,200	0	0	2,26,200	0.20	2,26,200	0	2,26,200	0.20	0	0.00	0	0.00	0	0.00	2,26,200
	Huzaifa Habil Khorakiwala	ABLPK6715C		2,16,000	0	0	2,16,000	0.20	2,16,000	0	2,16,000	0.20	0	0.00	0	0.00	0	0.00	2,16,000
	Nafisa Habil Khorakiwala	AAGPK6495H		2,640	0	0	2,640	0.00	2,640	0	2,640	0.00	0	0.00	0	0.00	0	0.00	2,640
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Any Other (Bodies Corporate)		5	8,10,97,757	0	0	8,10,97,757	73.39	8,10,97,757	0	8,10,97,757	73.39	0	0.00	0	0.00	0	0.00	8,10,97,757
	Themisto Trustee Company Private Limited	AAHFH9240E		6,58,97,757	0	0	6,58,97,757	59.63	6,58,97,757	0	6,58,97,757	59.63	0	0.00	0	0.00	0	0.00	6,58,97,757
	Pasithe Trustee Company Private Limited	AAHFH9707N		52,00,000	0	0	52,00,000	4.71	52,00,000	0	52,00,000	4.71	0	0.00	0	0.00	0	0.00	52,00,000
	Ananke Trustee Company Private Limited	AAZFA7680B		50,00,000	0	0	50,00,000	4.52	50,00,000	0	50,00,000	4.52	0	0.00	0	0.00	0	0.00	50,00,000
	Callirhoe Trustee Company Private Limited	AAFFL2617M		50,00,000	0	0	50,00,000	4.52	50,00,000	0	50,00,000	4.52	0	0.00	0	0.00	0	0.00	50,00,000
	Khorakiwala Holdings and Investments Private Limited	AAACK1955D		0	0	0	0	0.00	0	N.A.	0	0	1,85,28,540	N.A.	0	0.00	0	0.00	0
	<b>Sub Total (A)(1)</b>		<b>9</b>	<b>8,19,85,382</b>	<b>0</b>	<b>0</b>	<b>8,19,85,382</b>	<b>74.19</b>	<b>8,19,85,382</b>	<b>N.A.</b>	<b>8,19,85,382</b>	<b>74.19</b>	<b>1,85,28,540</b>	<b>N.A.</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>8,19,85,382</b>
<b>2</b>	<b>Foreign</b>																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>9</b>	<b>8,19,85,382</b>	<b>0</b>	<b>0</b>	<b>8,19,85,382</b>	<b>74.19</b>	<b>8,19,85,382</b>	<b>N.A.</b>	<b>8,19,85,382</b>	<b>74.19</b>	<b>1,85,28,540</b>	<b>N.A.</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>8,19,85,382</b>

**Notes:**

The shares appearing under "Bodies Corporate" are held by the said Companies (excluding Khorakiwala Holdings and Investments Private Limited) in capacity as a trustee of trusts being partner in partnership firms. Khorakiwala Holdings and Investments Private Limited holds 18,528,540 OCCRPS of Rs. 5/- each.





Table III - Statement showing shareholding pattern of the Public Shareholders

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Share holding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)*	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)*	Number of Locked in shares		Number of Shares pledged or otherwise		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a) (Not Applicable)		As a % of total Shares held(b) (Not Applicable)
									Class X	Class Y*	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																		
(a)	Mutual Fund		31	19,46,064	0	0	19,46,064	1.76	19,46,064	0	19,46,064	1.76	0	0.00	0	0.00	N.A.	19,45,164	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
(e)	Foreign Portfolio Investor		52	20,46,200	0	0	20,46,200	1.85	20,46,200	0	20,46,200	1.85	0	0.00	0	0.00	N.A.	20,46,200	
(f)	Financial Institutions / Banks		10	60,947	0	0	60,947	0.06	60,947	N.A.	60,947	0.06	10,29,26,387	N.A.	0	0.00	N.A.	60,447	
(g)	Insurance Companies		3	13,28,346	0	0	13,28,346	1.20	13,28,346	0	13,28,346	1.20	0	0.00	0	0.00	N.A.	13,26,946	
	Life Insurance Corporation Of India	AAACL0582H		13,26,946	0	0	13,26,946	1.20	13,26,946	0	13,26,946	1.20	0	0.00	0	0.00	N.A.	13,26,946	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
(i)	Any Other (Foreign Institutional Investors)		66	61,01,720	0	0	61,01,720	5.52	61,01,720	0	61,01,720	0.00	0	0.00	0	0.00	N.A.	60,99,320	
	Merrill Lynch Capital Markets Espana S.A. S.V.	AACCM7105R		13,33,640	0	0	13,33,640	1.21	13,33,640	0	13,33,640	1.20	0	0.00	0	0.00	N.A.	13,33,640	
	Sub Total (B)(1)		162	1,14,83,277	0	0	1,14,83,277	10.39	1,14,83,277	N.A.	1,14,83,277	10.39	10,29,26,387	N.A.	0	0.00	N.A.	1,14,78,077	
2	Central Government/ State Government(s)/ President of India																		
	Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
3	Non-Institutions																		
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		81,801	1,12,24,627	0	0	1,12,24,627	10.16	1,12,24,627	0	1,12,24,627	10.16	0	0.00	0	0.00	N.A.	1,02,83,596	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	18,77,867	0	0	18,77,867	1.70	18,77,867	0	18,77,867	1.70	0	0.00	0	0.00	N.A.	18,77,867	
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
(e)	Any Other (Specify)		5,200	37,97,599	0	0	37,97,599	3.44	37,97,599	0	37,97,599	3.44	0	0.00	0	0.00	N.A.	37,88,656	
	Trusts		5	1,009	0	0	1,009	0.00	1,009	0	1,009	0.00	0	0.00	0	0.00	N.A.	1,009	
	Foreign Nationals		1	100	0	0	100	0.00	100	0	100	0.00	0	0.00	0	0.00	N.A.	100	
	Hindu Undivided Family		1,843	5,04,180	0	0	5,04,180	0.46	5,04,180	0	5,04,180	0.46	0	0.00	0	0.00	N.A.	5,04,180	
	Non Resident Indians (Non Repat)		433	1,72,052	0	0	1,72,052	0.16	1,72,052	0	1,72,052	0.16	0	0.00	0	0.00	N.A.	1,69,652	
	Directors/ Relative of Directors		5	57,600	0	0	57,600	0.05	57,600	0	57,600	0.05	0	0.00	0	0.00	N.A.	57,600	
	Non Resident Indians (Repat)		1,388	8,11,554	0	0	8,11,554	0.73	8,11,554	0	8,11,554	0.73	0	0.00	0	0.00	N.A.	8,08,854	
	Clearing Member		491	5,16,689	0	0	5,16,689	0.47	5,16,689	0	5,16,689	0.47	0	0.00	0	0.00	N.A.	5,16,689	
	Bodies Corporate		1,034	17,34,415	0	0	17,34,415	1.57	17,34,415	0	17,34,415	1.57	0	0.00	0	0.00	N.A.	17,30,572	
	Sub Total (B)(3)		87,014	1,69,00,093	0	0	1,69,00,093	15.29	1,69,00,093	0	1,69,00,093	15.30	0	0.00	0	0.00	N.A.	1,59,50,119	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		87,176	2,83,83,370	0	0	2,83,83,370	25.68	2,83,83,370	N.A.	2,83,83,370	25.68	10,29,26,387	N.A.	0	0.00	N.A.	2,74,28,196	

Note:

102,926,387 QCCRS are held by 4 Banks



**Wockhardt Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No.	As a % of total Shares held	No. (Not applica ble)		As a % of total Shares held (Not
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1 Custodian/DR Holder		2	1,40,151	0	0	1,40,151	0.13	1,40,151	0	1,40,151	0.13	0	0.00	0	0.00	N.A.	1,24,551	
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>2</b>	<b>1,40,151</b>	<b>0</b>	<b>0</b>	<b>1,40,151</b>	<b>0.13</b>	<b>1,40,151</b>	<b>0</b>	<b>1,40,151</b>	<b>0.13</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>N.A.</b>	<b>1,24,551</b>	

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