

20th July, 2020

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol – WOCKPHARMA</u>
---	--

Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 30th June, 2020.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



Gajanand Sahu
Company Secretary

Encl: As above

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Wockhardt Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532300
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending : 30th June, 2020
	b. If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

Wockhardt Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a) ¹	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	12	79878676	0	0	79878676	72.13	79878676	0	79878676	72.13	0	0.0000	21100000	26.42	79878676		
(B)	Public	119648	30856327	0	0	30856327	27.87	30856327	0	30856327	27.87	0	0.0000	NA	NA	30175668		
(C)	Non Promoter - Non Public												0.0000	NA	NA			
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.0000	NA	NA	0		
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.0000	NA	NA	0		
	Total	119660	110735003	0	0	110735003	100.00	110735003	0	110735003	100.00	0	0.0000	21100000	19.05	110054344		

Note:

Pledge has been released on 85,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 30th June, 2020. Total Pledge as on 30th June, 2020 is 2,11,00,000 Nos. of Equity Shares (i.e.19.05 percent of total equity share capital). The necessary disclosure has been submitted to stock Exchanges on time to time basis for the same.

Wockhardt Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a) ¹		As a % of total Shares held(b)
								Class eg: X	Class eg:Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1 Indian																		
(a) Individuals / Hindu Undivided Family			4	887625	0	0	887625	0.80	887625	0	887625	0.80	0	0	0.00	0	0.00	887625
Habil F Khorakiwala			1	442785	0	0	442785	0.40	442785	0	442785	0.40	0	0	0.00	0	0.00	442785
Murtaza Habil Khorakiwala			1	226200	0	0	226200	0.20	226200	0	226200	0.20	0	0	0.00	0	0.00	226200
Huzafa Habil Khorakiwala			1	216000	0	0	216000	0.20	216000	0	216000	0.20	0	0	0.00	0	0.00	216000
Nafisa Habil Khorakiwala			1	2640	0	0	2640	0.00	2640	0	2640	0.00	0	0	0.00	0	0.00	2640
(b) Central Government / State Government(s)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
(c) Financial Institutions / Banks			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
(d) Any Other (Specify)			8	78991051	0	0	78991051	71.33	78991051	0	78991051	71.33	0	0	0.00	21100000	26.71	78991051
Promoter Trust²			4	8693294	0	0	8693294	7.85	8693294	0	8693294	7.85	0	0	0.00	0	0.00	8693294
Themisto Trustee Company Private Limited			1	4400000	0	0	4400000	3.97	4400000	0	4400000	3.97	0	0	0.00	0	0.00	4400000
Ananke Trustee Company Private Limited			1	1472716	0	0	1472716	1.33	1472716	0	1472716	1.33	0	0	0.00	0	0.00	1472716
Callirhoe Trustee Company Private Limited			1	1320578	0	0	1320578	1.19	1320578	0	1320578	1.19	0	0	0.00	0	0.00	1320578
Pasithe Trustee Company Private Limited			1	1500000	0	0	1500000	1.35	1500000	0	1500000	1.35	0	0	0.00	0	0.00	1500000
Partnership Firm³			4	70297757	0	0	70297757	63.48	70297757	0	70297757	63.48	0	0	0.00	21100000	30.02	70297757
Themisto Trustee Company Private Limited			1	60497757	0	0	60497757	54.63	60497757	0	60497757	54.63	0	0	0.00	21100000	34.88	60497757
Ananke Trustee Company Private Limited			1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	0	0.00	0	0.00	3200000
Callirhoe Trustee Company Private Limited			1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	0	0.00	0	0.00	3200000
Pasithe Trustee Company Private Limited			1	3400000	0	0	3400000	3.07	3400000	0	3400000	3.07	0	0	0.00	0	0.00	3400000
Bodies Corporate⁴																		
Dartmour Holdings Private Limited			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
Palanpur Holdings and Investments Private Limited			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
Khorakiwala Holdings and Investments Private Limited			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
Sub Total (A)(1)			12	79878676	0	0	79878676	72.13	79878676	0	79878676	72.13	0	0	0.00	21100000	26.42	79878676
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
(b) Government			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
(c) Institutions			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
(e) Any Other (Specify)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
Sub Total (A)(2)			0	0	0	0	0	0.00	0	0	0	0.00	0	0	0.00	0	0.00	0
Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)			12	79878676	0	0	79878676	72.13	79878676	0	79878676	72.13	0	0	0.00	21100000	26.42	79878676

¹ Pledge has been released on 85,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 30th June, 2020. Total Pledge as on 30th June, 2020 is 2,11,00,000 Nos. of Equity Shares (i.e.19.05 percent of total equity share capital). The necessary disclosure has been submitted to stock Exchanges on time to time basis for the same.

² The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithe Discretionary Trust and HNZ Discretionary Trust respectively

³ The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithe Consultants and HNZ Consultants respectively.

⁴ Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Wockhardt Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Institutions																	
(a)	Mutual Fund		4	4329	0	4329	0.00	4329	0	4329	0.00	0	0.00	0	0.00	NA	NA	4029
(b)	Venture Capital Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		2	60500	0	60500	0.05	60500	0	60500	0.05	0	0.05	0	0.00	NA	NA	60500
(d)	Foreign Venture Capital Investors		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investor		83	5184005	0	5184005	4.68	5184005	0	5184005	4.68	0	4.68	0	0.00	NA	NA	5181605
	Delaware Group Global And International Funds-Delaware Emerging Markets Fund		1	1200000	0	1200000	1.08	1200000	0	1200000	1.08	0	1.08	0	0.00	NA	NA	1200000
(f)	Financial Institutions / Banks		8	162752	0	162752	0.15	162752	0	162752	0.15	0	0.15	0	0.00	NA	NA	162252
(g)	Insurance Companies		1	1400	0	1400	0.00	1400	0	1400	0.00	0	0.00	0	0.00	NA	NA	0
(h)	Provident Funds/ Pension Funds		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i)	Any Other (Specify)		2	10200	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0.00	NA	NA	10200
	Foreign Bank		2	10200	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0.00	NA	NA	10200
	Sub Total (B)(1)		100	5423186	0	5423186	4.90	5423186	0	5423186	4.90	0	4.90	0	0.00	NA	NA	5418586
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
3	Non-Institutions																	
(a)	Individuals				0									0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		113672	17806043	0	17806043	16.08	17806043	0	17806043	16.08	0	16.08	0	0.00	NA	NA	17152684
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		17	3215686	0	3215686	2.90	3215686	0	3215686	2.90	0	2.90	0	0.00	NA	NA	3215686
(b)	NBFCs registered with RBI		1	546	0	546	0.00	546	0	546	0.00	0	0.00	0	0.00	NA	NA	546
	Trust Employee		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other (Specify)		5858	4410866	0	4410866	3.98	4410866	0	4410866	3.98	0	3.98	0	0.00	NA	NA	4388166
	IEPF		1	86630	0	86630	0.08	86630	0	86630	0.08	0	0.08	0	0.00	NA	NA	86630
	Trusts		2	700	0	700	0.00	700	0	700	0.00	0	0.00	0	0.00	NA	NA	700
	Hindu Undivided Family		2799	756329	0	756329	0.68	756329	0	756329	0.68	0	0.68	0	0.00	NA	NA	756329
	Non Resident Indians (Non Repat)		694	287814	0	287814	0.26	287814	0	287814	0.26	0	0.26	0	0.00	NA	NA	285414
	Other Directors		3	3500	0	3500	0.00	3500	0	3500	0.00	0	0.00	0	0.00	NA	NA	3500
	Non Resident Indians (Repat)		1450	933500	0	933500	0.84	933500	0	933500	0.84	0	0.84	0	0.00	NA	NA	931100
	Clearing Member		262	470405	0	470405	0.42	470405	0	470405	0.42	0	0.42	0	0.00	NA	NA	470405
	Bodies Corporate		647	1871988	0	1871988	1.69	1871988	0	1871988	1.69	0	1.69	0	0.00	NA	NA	1854088
	Sub Total (B)(3)		119548	25433141	0	25433141	22.97	25433141	0	25433141	22.97	0	22.97	0	0.00	NA	NA	24757082
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		119648	30856327	0	30856327	27.87	30856327	0	30856327	27.87	0	27.87	0	0.00	NA	NA	30175668

1 In Public Shareholder Category In Institutions, in Any Other Foreign Banks includes 10,200 Equity Shares.

2 Foreign Portfolio Investor (FPI) holdings include FII holdings

Note: (1) PAN would not be displayed on website of Stock Exchange(s).

Wockhardt Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0	0.00	NA	NA	0