

12th October, 2021

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol – WOCKPHARMA</u>
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Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 30th September, 2021.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



Debashis Dey
Company Secretary

Encl: As above



Wockhardt Limited

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Scrip Code/Name of Scrip/Class of Security: 532300		
2	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)		
	a.	If under 31(1)(b) then indicate the report for Quarter ending	
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment	
3	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-		
		Particulars	
			Yes*
			No*
1		Whether the Listed Entity has issued any partly paid up shares?	No
2		Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3		Whether the Listed Entity has any shares against which depository receipts are issued?	No
4		Whether the Listed Entity has any shares in locked-in?	No
5		Whether any shares held by promoters are pledge or otherwise encumbered?	Yes

Wockhardt Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly-paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)*	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	13	75395342	0	0	75395342	68.0434	75395342	0	75395342	68.0434	0	68.0434	0	0.0000	31655000	41.985300	75395342
(B)	Public	161612	35409411	0	0	35409411	31.9566	35409411	0	35409411	31.9566	0	31.9566	0	0.0000	NA	NA	34844512
(C)	Non Promoter - Non Public														0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	161625	110804753	0	0	110804753	100.0000	110804753	0	110804753	100.0000	0	100.0000	0	0.0000	31655000	28.568200	110239854

*Promoters have so far pledged total 28.57% of total share capital of the Company (comprising of 3,16,55,000 equity shares) on various dates. Total Pledge created during the quarter is 50,87,000 equity shares on various dates. Pledge has been released during the quarter on 2,50,000 equity shares on various dates.

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Entity Type	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a) ¹	As a % of total Shares held(b)			
								Class eg: X	Class eg: y	Total								Total as a % of (A+B+C)	
(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)				
1	Indian																		
(a)	Individuals / Hindu Undivided Family	5	906091	0	0	906091	0.8177	906091	0	906091	0.8177	0	0.8177	0	0.0000	0	0.0000	906091	
	Habil F Khorakiwala	Promoters	1	459451	0	0	459451	0.4146	459451	0	459451	0.4146	0	0.4146	0	0.0000	0	0.0000	459451
	Huzafa Habil Khorakiwala	Promoters	1	216000	0	0	216000	0.1949	216000	0	216000	0.1949	0	0.1949	0	0.0000	0	0.0000	216000
	Murtaza Habil Khorakiwala	Promoters	1	226200	0	0	226200	0.2041	226200	0	226200	0.2041	0	0.2041	0	0.0000	0	0.0000	226200
	Nafisa Habil Khorakiwala	Promoters	1	2640	0	0	2640	0.0024	2640	0	2640	0.0024	0	0.0024	0	0.0000	0	0.0000	2640
	Miqdad H Khorakiwala	Promoters	1	1800	0	0	1800	0.0016	1800	0	1800	0.0016	0	0.0016	0	0.0000	0	0.0000	1800
	Zahabiya Khorakiwala	Promoters	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Any Other (Specify)	8	74489251	0	0	74489251	67.2257	74489251	0	74489251	67.2257	0	67.2257	0	0.0000	31655000	42.4961	74489251	
	Promoter Trust ²	4	5193294	0	0	5193294	4.6869	5193294	0	5193294	4.6869	0	4.6869	0	0.0000	0	0.0000	5193294	
	Themisto Trustee Company Private Limited	Promoter Group Entity	1	3300000	0	0	3300000	2.9782	3300000	0	3300000	2.9782	0	2.9782	0	0.0000	0	0.0000	3300000
	Callirhoe Trustee Company Private Limited	Promoter Group Entity	1	720578	0	0	720578	0.6503	720578	0	720578	0.6503	0	0.6503	0	0.0000	0	0.0000	720578
	Ananke Trustee Company Private Limited	Promoter Group Entity	1	672716	0	0	672716	0.6071	672716	0	672716	0.6071	0	0.6071	0	0.0000	0	0.0000	672716
	Pasithe Trustee Company Private Limited	Promoter Group Entity	1	500000	0	0	500000	0.4512	500000	0	500000	0.4512	0	0.4512	0	0.0000	0	0.0000	500000
	Partnership Firm ³	4	69295957	0	0	69295957	62.5388	69295957	0	69295957	62.5388	0	62.5388	0	0.0000	31655000	45.6809	69295957	
	Themisto Trustee Company Private Limited	Promoter Group Entity	1	59495957	0	0	59495957	53.6944	59495957	0	59495957	53.6944	0	53.6944	0	0.0000	31655000	53.2053	59495957
	Pasithe Trustee Company Private Limited	Promoter Group Entity	1	3400000	0	0	3400000	3.0685	3400000	0	3400000	3.0685	0	3.0685	0	0.0000	0	0.0000	3400000
	Ananke Trustee Company Private Limited	Promoter Group Entity	1	3200000	0	0	3200000	2.8880	3200000	0	3200000	2.8880	0	2.8880	0	0.0000	0	0.0000	3200000
	Callirhoe Trustee Company Private Limited	Promoter Group Entity	0	3200000	0	0	3200000	2.8880	3200000	0	3200000	2.8880	0	2.8880	0	0.0000	0	0.0000	3200000
	Bodies Corporate ⁴																		
	Dartmour Holdings Private Limited	Promoter Group Entity	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Palanpur Holdings and Investments Private Limited	Promoter Group Entity	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Khorakiwala Holdings and Investments Private Limited	Promoter Group Entity	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total (A)(1)	13	75395342	0	0	75395342	68.0434	75395342	0	75395342	68.0434	0	68.0434	0	0.0000	31655000	41.9854	75395342	
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(b)	Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(c)	Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	1	75395342	0	0	75395342	68.0434	75395342	0	75395342	68.0434	0	68.0434	0	0.0000	31655000	41.9854	75395342	

- Promoters have so far pledged total 28.57% of total share capital of the Company (comprising of 3,16,55,000 equity shares) on various dates. Total Pledge created during the quarter is 50,87,000 equity shares on various dates. Pledge has been released during the quarter on 2,50,000 equity shares on various dates.
- The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust respectively.
- The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithea Consultants and HNZ Consultants respectively.
- Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.

Note:
 (1) PAN would not be displayed on website of Stock Exchange(s)
 (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total								
(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Institutions																	
(a) Mutual Fund	5	23321	0	0	23321	0.0210	23321	0	23321	0.0210	0	0.0000	NA	NA		23321	
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(c) Alternate Investment Funds	1	33120	0	0	33120	0.0299	33120	0	33120	0.0299	0	0.0000	NA	NA		33120	
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(e) Foreign Portfolio Investor	75	3181827	0	0	3181827	2.8716	3181827	0	3181827	2.8716	0	0.0000	NA	NA		3180627	
(f) Financial Institutions / Banks	6	840	0	0	840	0.0008	840	0	840	0.0008	0	0.0000	NA	NA		340	
(g) Insurance Companies	2	1475	0	0	1475	0.0013	1475	0	1475	0.0013	0	0.0000	NA	NA		75	
(h) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(i) Any Other (Specify)	2	10200	0	0	10200	0.0092	10200	0	10200	0.0092	0	0.0000	NA	NA		10200	
Foreign Bank	2	10200	0	0	10200	0.0092	10200	0	10200	0.0092	0	0.0000	NA	NA		10200	
Sub Total (B)(1)	91	3250783	0	0	3250783	2.9338	3250783	0	3250783	2.9338	0	0.0000	NA	NA		3247683	
Central Government/ State Government(s)/ President of India																	
2 Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
3 Non-Institutions																	
(a) Individuals		0	0										NA	NA			
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	155053	23165488	0	0	23165488	20.9066	23165488	0	23165488	20.9066	0	0.0000	NA	NA		22610589	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	17	4806471	0	0	4806471	4.3378	4806471	0	4806471	4.3378	0	0.0000	NA	NA		4806471	
Jhunjhunwala Rakesh Radheshyam		2500005	0	0	2500005	2.2562	2500005	0	2500005	2.2562	0	0.0000	NA	NA		2500005	
(b) NBFCs registered with RBI	1	546	0	0	546	0.0005	546	0	546	0.0005	0	0.0000	NA	NA		546	
Trust Employee	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(d) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA		0	
(c) Any Other (Specify)	6450	4186123	0	0	4186123	3.7779	4186123	0	4186123	3.7779	0	0.0000	NA	NA		4179223	
IEPF	1	197798	0	0	197798	0.1785	197798	0	197798	0.1785	0	0.0000	NA	NA		197798	
Trusts	4	1930	0	0	1930	0.0017	1930	0	1930	0.0017	0	0.0000	NA	NA		1930	
Foreign Nationals	1	1000	0	0	1000	0.0009	1000	0	1000	0.0009	0	0.0000	NA	NA		1000	
Hindu Undivided Family	3289	1003707	0	0	1003707	0.9058	1003707	0	1003707	0.9058	0	0.0000	NA	NA		1003307	
Non Resident Indians (Non Repat)*	774	237397	0	0	237397	0.2142	237397	0	237397	0.2142	0	0.0000	NA	NA		234997	
Other Directors / Relatives	2	1000	0	0	1000	0.0009	1000	0	1000	0.0009	0	0.0000	NA	NA		1000	
Non Resident Indians (Repat)*	1516	973291	0	0	973291	0.8784	973291	0	973291	0.8784	0	0.0000	NA	NA		971491	
Body Corp-Ltd Liability Partnership	45	153264	0	0	153264	0.1383	153264	0	153264	0.1383	0	0.0000	NA	NA		153264	
Clearing Member	176	377759	0	0	377759	0.3409	377759	0	377759	0.3409	0	0.0000	NA	NA		377759	
Bodies Corporate	642	1238977	0	0	1238977	1.1182	1238977	0	1238977	1.1182	0	0.0000	NA	NA		1236677	
Sub Total (B)(3)	161521	32158628	0	0	32158628	29.0228	32158628	0	32158628	29.0228	0	0.0000	NA	NA		31596829	
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	161612	35409411	0	0	35409411	31.9566	35409411	0	35409411	31.9566	0	0.0000	NA	NA		34844512	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders

0

* 2,37,397 nos. of Equity shares fall under the category of Non Resident Indians (Non Repat) and 9,73,291 nos. of Equity shares fall under the category of Non Resident Indians (Repat).

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)	(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)					
1	Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)