

Golden
50
YEARS

WOCKHARDT | LIFE WINS

14th January, 2020

BSE Limited
Corporate Relations Department
P J Towers,
Dalal Street
Mumbai 400 001

Scrip Code: 532300

National Stock Exchange of India Limited
Exchange Plaza
Bandra-Kurla Complex,
Bandra (E),
Mumbai 400 051

NSE Symbol – WOCKPHARMA

Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 31st December, 2019.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**


Narendra Singh
Company Secretary



Encl: As above



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Wockhardt Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532300
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending: 31st December, 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment: Not Applicable
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-



Wockhardt Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a) ¹	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group ²	12	80176579	0	0	80176579	72.40	80176579	0	80176579	72.40	0	72.40	0	0	19800000	24.70	80176579
(B)	Public ²	119575	30558424	0	0	30558424	27.60	30558424	0	30558424	27.60	0	27.60	0	0	NA	NA	29785728
(C)	Non Promoter - Non Public					0			0			0		0	0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(C2)	Shares held by Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Total	119587	110735003	0	0	110735003	100.00	110735003	0	110735003	100.00	0	100.00	0	0	19800000	17.88	109962307

¹ Pledge has been created on additional 1,65,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 31st December, 2019. Total Pledge as on 31st December, 2019 is 1,98,00,000 (17.88% of total equity share capital) equity shares.

² Themisto Trustee Company Private Limited (PAN: AABTH2543J) sold 297,903 equity shares on 31st December, 2019. This sale of equity shares was not captured in the beneficial owner statement as on 31st December, 2019 as it was sold on 31st December, 2019. As on the date of signing of shareholding pattern, the holding of Themisto Trustee Company Private Limited is reduced from 4,697,903 equity shares to 4,400,000 equity shares. Accordingly, 'promoter and promoter group shareholding' is also reduced from 80,176,579 equity shares to 79,878,676 equity shares (72.13%) and 'public shareholding' is increased proportionately.



Wockhardt Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a) ¹		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family		4	887625	0	0	887625	0.80	887625	0	887625	0.80	0	0	0	0	0.00	887625	
	Habil F Khorakiwala	AABPK4415C	1	442785	0	0	442785	0.40	442785	0	442785	0.40	0	0	0	0	0.00	442785	
	Murtaza Habil Khorakiwala	AAZPK7040H	1	226200	0	0	226200	0.20	226200	0	226200	0.20	0	0	0	0	0.00	226200	
	Huzaiifa Habil Khorakiwala	ABLPK6715C	1	216000	0	0	216000	0.20	216000	0	216000	0.20	0	0	0	0	0.00	216000	
	Nafisa Habil Khorakiwala	AAGPK6495H	1	2640	0	0	2640	0.00	2640	0	2640	0.00	0	0	0	0	0.00	2640	
	Zahabiya Khorakiwala ⁵	ANMMPK3942N	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	
(b)	Central Government / State Government(s)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	
(c)	Financial Institutions / Banks		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0	
(d)	Any Other (Specify)		8	79288954	0	0	79288954	71.60	79288954	0	79288954	71.60	0	0	19800000	24.97	79288954		
	Promoters' Trust²		4	8991197	0	0	8991197	8.12	8991197	0	8991197	8.12	0	0	0	0.00	8991197		
	Themisto Trustee Company Private Limited ³	AABTH2543J	1	4697903	0	0	4697903	4.24	4697903	0	4697903	4.24	0	0	0	0.00	4697903		
	Ananke Trustee Company Private Limited	AAETA2974M	1	1472716	0	0	1472716	1.33	1472716	0	1472716	1.33	0	0	0	0.00	1472716		
	Callirhoe Trustee Company Private Limited	AABTL1561G	1	1320578	0	0	1320578	1.19	1320578	0	1320578	1.19	0	0	0	0.00	1320578		
	Pasithe Trustee Company Private Limited	AABTH2544R	1	1500000	0	0	1500000	1.35	1500000	0	1500000	1.35	0	0	0	0.00	1500000		
	Partnership Firm⁴		4	70297757	0	0	70297757	63.48	70297757	0	70297757	63.48	0	0	19800000	28.17	70297757		
	Themisto Trustee Company Private Limited	AAHFH9240E	1	60497757	0	0	60497757	54.63	60497757	0	60497757	54.63	0	0	19800000	32.73	60497757		
	Ananke Trustee Company Private Limited	AAZFA7680B	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	0	0	0.00	3200000		
	Callirhoe Trustee Company Private Limited	AAFL2617M	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	0	0	0.00	3200000		
	Pasithe Trustee Company Private Limited	AAHFH9707N	1	3400000	0	0	3400000	3.07	3400000	0	3400000	3.07	0	0	0	0.00	3400000		
	Bodies Corporate⁵																		
	Dartmour Holdings Private Limited	AACCD2394M	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
	Palanpur Holdings and Investments Private Limited	AAACP3321N	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
	Khorakiwala Holdings and Investments Private Limited	AAACK1955D	0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
	Sub Total (A)(1)		12	80176579	0	0	80176579	72.40	80176579	0	80176579	72.40	0	0	19800000	24.70	80176579		
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
(b)	Government		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
(c)	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
(d)	Foreign Portfolio Investor		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
(e)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
	Sub Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0.00	0		
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)³		12	80176579	0	0	80176579	72.40	80176579	0	80176579	72.40	0	0	19800000	24.70	80176579		

¹ Pledge has been created on additional 1,65,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humza Consultants (Partnership Firm) during the quarter ended 31st December, 2019. Total Pledge as on 31st December, 2019 is 1,98,00,000 equity shares.

² The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust respectively.

³ Themisto Trustee Company Private Limited (PAN: AABTH2543J) sold 297,903 equity shares on 31st December, 2019. This sale of equity shares was not captured in the beneficial owner statement as on 31st December, 2019 as it was sold on 31st December, 2019. As on the date of signing of shareholding pattern, the holding of Themisto Trustee Company Private Limited is reduced from 4,697,903 equity shares to 4,400,000 equity shares. Accordingly, 'promoter and promoter group shareholding' is also reduced from 80,176,579 equity shares to 79,878,676 equity shares (72.13%) and 'public shareholding' is increased proportionately.

⁴ The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humza Consultants, Amalthea Consultants, Lysithea Consultants and HNZ Consultants respectively.

⁵ Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.



Wockhardt Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Institutions																		
(a) Mutual Fund		5	2885	0	0	2885	0.00	2885	0	2885	0.00	0	0.00	0	0	NA	NA	1985
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(c) Alternate Investment Funds		5	316700	0	0	316700	0.29	316700	0	316700	0.29	0	0.29	0	0	NA	NA	316700
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(e) Foreign Portfolio Investor ¹		95	5523939	0	0	5523939	4.99	5523939	0	5523939	4.99	0	4.99	0	0	NA	NA	5521539
Delaware Group Global And International Funds- Delaware Emerging Markets Fund	AAATD9379H	1	1200000	0	0	1200000	1.08	1200000	0	1200000	1.08	0	1.08	0	0	NA	NA	1200000
(f) Financial Institutions / Banks		8	139314	0	0	139314	0.13	139314	0	139314	0.13	0	0.13	0	0	NA	NA	138814
(g) Insurance Companies		1	1400	0	0	1400	0.00	1400	0	1400	0.00	0	0.00	0	0	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(i) Any Other (Specify)		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0	NA	NA	10200
Foreign Bank		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0	NA	NA	10200
Sub Total (B)(1)		116	5994438	0	0	5994438	5.41	5994438	0	5994438	5.41	0	5.41	0	0	NA	NA	5989238
2 Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
3 Non-Institutions																		
(a) Individuals		113637	19336756	0	0	19336756	17.46	19336756	0	19336756	17.46	0	17.46	0	0	0	0	18593362
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		113626	17521567	0	0	17521567	15.82	17521567	0	17521567	15.82	0	15.82	0	0	NA	NA	16778173
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		11	1815189	0	0	1815189	1.64	1815189	0	1815189	1.64	0	1.64	0	0	NA	NA	1815189
(b) NBFCs registered with RBI		3	846	0	0	846	0.00	846	0	846	0.00	0	0.00	0	0	NA	NA	846
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(e) Any Other (Specify)		5819	5226384	0	0	5226384	4.72	5226384	0	5226384	4.72	0	4.72	0	0	NA	NA	5202282
Trusts		4	1500	0	0	1500	0.00	1500	0	1500	0.00	0	0.00	0	0	NA	NA	1500
Hindu Undivided Family		2875	759447	0	0	759447	0.69	759447	0	759447	0.69	0	0.69	0	0	NA	NA	759447
Non Resident Indians (Non Repat)		659	221392	0	0	221392	0.20	221392	0	221392	0.20	0	0.20	0	0	NA	NA	218992
Directors / Relatives of Directors		4	53500	0	0	53500	0.05	53500	0	53500	0.05	0	0.05	0	0	NA	NA	53500
Non Resident Indians (Repat)		1404	878584	0	0	878584	0.79	878584	0	878584	0.79	0	0.79	0	0	NA	NA	875884
Clearing Member		184	679643	0	0	679643	0.61	679643	0	679643	0.61	0	0.61	0	0	NA	NA	679643
Bodies Corporate		689	2632318	0	0	2632318	2.38	2632318	0	2632318	2.38	0	2.38	0	0	NA	NA	2613316
Sub Total (B)(3)		119459	24563986	0	0	24563986	22.18	24563986	0	24563986	22.18	0	22.18	0	0	NA	NA	23796490
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		119575	30558424	0	0	30558424	27.60	30558424	0	30558424	27.60	0	27.60	0	0	NA	NA	29785728

¹ Foreign Portfolio Investor (FPI) holdings include FII holdings



Wockhardt Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No. of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No.		As a % of total Shares held
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.000	NA	NA	0
2	Employee Benefit Trust [under SEBI (Share based Employee Benefit) Regulations, 2014]		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.000	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.000	0	0.000	NA	NA	0


