

19<sup>th</sup> April, 2021

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street <b>Mumbai 400 001</b>  <b><u>Scrip Code: 532300</u></b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra-Kurla Complex, Bandra (E), <b>Mumbai 400 051</b>  <b><u>NSE Symbol – WOCKPHARMA</u></b>
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Dear Sirs,

**Sub: Statement showing holding of securities and Shareholding Pattern**

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 31<sup>th</sup> March, 2021.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



**Gajanand Sahu**  
**Company Secretary**

Encl: As above



**Wockhardt Limited**

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: Wockhardt Limited					
2.	Scrip Code/Name of Scrip/Class of Security: 532300					
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)					
	a.	If under 31(1)(b) then indicate the report for Quarter ending 31st March, 2021				
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable				
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-					
	Sr. No.	Particulars	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter Non Public
	1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No	No
	3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
	4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
	5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
	6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes	No	No
	7	Whether company has equity shares with differential voting rights?	No	No	No	No
	8	Whether the listed entity has any significant beneficial owner?	Yes			

**Wockhardt Limited**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)*		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	13	79895342	0	0	79895342	72.12	79895342	0	79895342	72.12	0	0	14950000	18.71	79895342		
(B)	Public	125475	30885811	0	0	30885811	27.88	30885811	0	30885811	27.88	0	0	NA	NA	30302112		
(C)	Non Promoter - Non Public				0				0			0	0	NA	NA			
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0		
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0		
	<b>Total</b>	<b>125488</b>	<b>110781153</b>	<b>0</b>	<b>0</b>	<b>110781153</b>	<b>100</b>	<b>110781153</b>	<b>0</b>	<b>110781153</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>14950000</b>	<b>13.50</b>	<b>110197454</b>		

\* 1. Pledge has been released on 3,00,000 Nos of Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) on 15th January, 2021 and created on 71,50,000 Nos of Equity Shares on various dates during the quarter ended 31st March, 2021. Total Pledge as on 31st March, 2021 is 14,95,0000 Nos. of equity shares. (i.e. 13.50 percent of total equity share capital). The necessary disclosure has been submitted to stock Exchanges on time to time basis for the same.

Wockhardt Limited																		
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																		
	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding % as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)¹		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)		(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)			(XIV)			
1	Indian																	
(a)	Individuals / Hindu Undivided Family	5	906091	0	0	906091	0.82	906091	0	906091	0.82	0	0.82	0	0	0	906091	
	Habil F Khorakiwala	1	459451	0	0	459451	0.41	459451	0	459451	0.41	0	0.41	0	0	0	459451	
	Murtaza Habil Khorakiwala	1	226200	0	0	226200	0.20	226200	0	226200	0.20	0	0.20	0	0	0	226200	
	Huzafa Habil Khorakiwala	1	216000	0	0	216000	0.19	216000	0	216000	0.19	0	0.19	0	0	0	216000	
	Nafisa Habil Khorakiwala	1	2640	0	0	2640	0.00	2640	0	2640	0.00	0	0.00	0	0	0	2640	
	Miqdad H Khorakiwala	1	1800	0	0	1800	0.00	1800	0	1800	0.00	0	0.00	0	0	0	1800	
	Zahabiya Khorakiwala <sup>4</sup>	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(b)	Central Government / State Government(s)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(c)	Financial Institutions / Banks	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0	
(d)	Any Other (Specify)	8	78989251	0	0	78989251	71.30	78989251	0	78989251	71.30	0	71.30	0	0	14950000	18.93	78989251
	Promoter Trust <sup>2</sup>	4	8693294	0	0	8693294	7.85	8693294	0	8693294	7.85	0	7.85	0	0	0	0	8693294
	Themisto Trustee Company Private Limited	1	4400000	0	0	4400000	3.97	4400000	0	4400000	3.97	0	3.97	0	0	0	0	4400000
	Ananke Trustee Company Private Limited	1	1472716	0	0	1472716	1.33	1472716	0	1472716	1.33	0	1.33	0	0	0	0.00	1472716
	Callirhoe Trustee Company Private Limited	1	1320578	0	0	1320578	1.19	1320578	0	1320578	1.19	0	1.19	0	0	0	0.00	1320578
	Pasithe Trustee Company Private Limited	1	1500000	0	0	1500000	1.35	1500000	0	1500000	1.35	0	1.35	0	0	0	0.00	1500000
	Partnership Firm <sup>3</sup>	4	70295957	0	0	70295957	63.45	70295957	0	70295957	63.45	0	63.45	0	0	14950000	21.27	70295957
	Themisto Trustee Company Private Limited	1	60495957	0	0	60495957	54.61	60495957	0	60495957	54.61	0	54.61	0	0	14950000	24.71	60495957
	Ananke Trustee Company Private Limited	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0	0	0.00	3200000
	Callirhoe Trustee Company Private Limited	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0	0	0.00	3200000
	Pasithe Trustee Company Private Limited	1	3400000	0	0	3400000	3.07	3400000	0	3400000	3.07	0	3.07	0	0	0	0.00	3400000
	Bodies Corporate <sup>4</sup>																	
	Dartmour Holdings Private Limited	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Palanpur Holdings and Investments Private Limited	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Khorakiwala Holdings and Investments Private Limited	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub Total (A)(1)	13	79895342	0	0	79895342	72.12	79895342	0	79895342	72.12	0	72.12	0	0	14950000	18.71	79895342
2	Foreign																	
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(b)	Government	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Institutions	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(e)	Any Other (Specify)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub Total (A)(2)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Total Shareholding Of Promoter And Promoter Group (A)=(A)(1)+(A)(2)	13	79895342	0	0	79895342	72.12	79895342	0	79895342	72.12	0	72.12	0	0	14950000	18.71	79895342

<sup>1</sup> Pledge has been released on 3,00,000 Nos of Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) on 15th January, 2021 and created on 71,50,000 Nos of Equity Shares on various dates during the quarter ended 31st March, 2021. Total Pledge as on 31st March, 2021 is 14,95,0000 Nos. of equity shares. (i.e. 13.50 percent of total equity share capital). The necessary disclosure has been submitted to stock Exchanges on time to time basis for the same.

The Company has allotted 4200 equity shares of face value of Rs. 5/- each on 9th March, 2021, pursuant to exercise of employee stock options under Employee Stock Options Scheme - 2011 of the Company. Accordingly, the paid-up equity share capital of the Company has increased from Rs. 55,38,84,765/- (11,07,76,953 Nos. of equity shares of Rs. 5/- each) to Rs. 553905765/- (11,07,81,153 Nos. of equity shares of Rs. 5/- each).

<sup>2</sup> The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HN2 Discretionary Trust respectively.

<sup>3</sup> The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithea Consultants and HN2 Consultants respectively.

<sup>4</sup> Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Wockhardt Limited																		
Table III - Statement showing shareholding pattern of the Public shareholder																		
	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: Y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
1	Institutions																	
(a)	Mutual Fund	4	15326	0	0	15326	0.01	15326	0	15326	0.01	0	0.01	0	0	NA	NA	15326
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds	4	82325	0	0	82325	0.07	82325	0	82325	0.07	0	0.07	0	0	NA	NA	82325
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor	74	3419798	0	0	3419798	3.09	3419798	0	3419798	3.09	0	3.09	0	0	NA	NA	3418598
(f)	Financial Institutions / Banks	6	840	0	0	840	0.00	840	0	840	0.00	0	0.00	0	0	NA	NA	340
(g)	Insurance Companies	2	1475	0	0	1475	0.00	1475	0	1475	0.00	0	0.00	0	0	NA	NA	75
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)	2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0	NA	NA	10200
	Foreign Bank	2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0	NA	NA	10200
	Sub Total (B)(1)	92	3529964	0	0	3529964	3.19	3529964	0	3529964	3.19	0	3.19	0	0	NA	NA	3526864
2	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
3	Non-Institutions																	
(a)	Individuals		0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	119756	18280849	0	0	18280849	16.50	18280849	0	18280849	16.50	0	16.50	0	0	NA	NA	17707150
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	19	5432969	0	0	5432969	4.90	5432969	0	5432969	4.90	0	4.90	0	0	NA	NA	5432969
	Jhunjhunwala Rakesh Radheshyam		2500005	0	0	2500005	2.26	2500005	0	2500005	2.26	0	2.26	0	0	NA	NA	2500005
(b)	NBFCs registered with RBI	1	546	0	0	546	0.00	546	0	546	0.00	0	0.00	0	0	NA	NA	546
	Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Any Other (Specify)	5607	3641483	0	0	3641483	3.29	3641483	0	3641483	3.29	0	3.29	0	0	NA	NA	3634583
	IEPF	1	181924	0	0	181924	0.16	181924	0	181924	0.16	0	0.16	0	0	NA	NA	181924
	Trusts	2	700	0	0	700	0.00	700	0	700	0.00	0	0.00	0	0	NA	NA	700
	Hindu Undivided Family	2738	751444	0	0	751444	0.68	751444	0	751444	0.68	0	0.68	0	0	NA	NA	751044
	Non Resident Indians (Non Repat)	675	208339	0	0	208339	0.19	208339	0	208339	0.19	0	0.19	0	0	NA	NA	205939
	Other Directors / Relatives	2	1000	0	0	1000	0.00	1000	0	1000	0.00	0	0.00	0	0	NA	NA	1000
	Non Resident Indians (Repat)	1401	1072089	0	0	1072089	0.97	1072089	0	1072089	0.97	0	0.97	0	0	NA	NA	1070289
	Clearing Member	253	516859	0	0	516859	0.47	516859	0	516859	0.47	0	0.47	0	0	NA	NA	516859
	Bodies Corporate	535	909128	0	0	909128	0.82	909128	0	909128	0.82	0	0.82	0	0	NA	NA	906828
	Sub Total (B)(3)	125383	27355847	0	0	27355847	24.69	27355847	0	27355847	24.69	0	24.69	0	0	NA	NA	2675248
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	125475	30885811	0	0	30885811	27.88	30885811	0	30885811	27.88	0	27.88	0	0	NA	NA	30302112

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

Wockhardt Limited																			
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																			
	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y	Total									
	(I)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)		
1	Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0

Note :  
(1) PAN would not be displayed on website of Stock Exchange(s).