

**BSE Limited** Corporate Relations Department P J Towers, Dalal Street Mumbai - 400 001 National Stock Exchange of India Limited Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai - 400 051

Scrip Code: 532300

NSE Symbol: WOCKPHARMA

Dear Sirs,

# Sub: Disclosure under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulation), 2015

We are enclosing herewith disclosures received from Promoter Group entities under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulations), 2015.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For Wockhardt Limited

Manan

Gajanand Sahu Company Secretary

Encl : As above



### FORM C

#### SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]

Name of the Company : Wockhardt Limited ISIN of the Company : INE049B01025

# Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/ DIN & Address with contact nos.	ress with contact nos. person acquisition/ disposal (Promoters / member of the Promoter group/designa			Securities acquired / Disposed				Securities held post <del>acquisition</del> / disposal		Date of a <del>llotment</del> a <del>dvice/</del> acquisition of shares/ disposal of shares specify		Date of intim ation to comp any	Mode of acquisition/ Disposal (on market / public rights/ preferential	Exchange on which the trade was executed
	ted person/ Directors/imm ediate relative to/others etc.)	Type of securities (for e.g Shares Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of Shareholding	Type of securities (for e.g. Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value (Rs.)	Transact- ion type (Buy/-sale/ Pledge/ Revoke/ Invoke/Othe rs-please specify)	Type of securities (for e.g Shares, Warrants, Convertible Debentures, Rights Entitlement, etc.)	No. and % of shareholding	From	То		offer/ off market/ inter se transfer, ESOPs etc.)	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Name: Themisto Trustee Company Private Limited CIN:U74999MH2013PTC 243902 PAN: AABTH2543J Address: G Block, Plot C- 2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022- 26534444	Promoter Group	Equity Shares	43,00,000 <sup>®</sup> [Shareholding: 3.88%]	Shares	5,00,000	26,33,00,000"	Sale	Equity Shares	38,00,000 <sup>®</sup> [Shareholding: 3.43%]	30/06/2021	30/06/2021	01/07/2021	Market Sale	NSE

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust.

3. Apart from the above sale of shares by Habil Khorakiwala Trust; Lysithea Discretionary Trust has also sold total 1,00,000 shares (0.09%) on 30<sup>th</sup> June, 2021.

4 As on date, pledged shareholding of the Promoters stands 24.21% of total share capital of Wockhardt Limited (the Company) which includes pledge created on 22,30,000 equity shares on 30th June, 2021.

5. The promoters intend to invest the proceeds of sale of 6,00,000 Equity Shares (sold on 30<sup>th</sup> June, 2021) in Wockhardt Ltd., in near future, in accordance with the earlier approval of the Board of Directors and/ or Shareholders of the Company.

## Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

		Exchange on which the trade was executed				
Type of Contract	Contract		Buy	_		
	specifications	Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
16	17	18	19	20	21	22
-	-	-	-	-	-	_

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

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 Name & Signature: Dr. H. F. Khorakiwala

 Director of Themisto Trustee Company Private Limited

 Designation
 : Promoter

 Date
 : 01/07/2021
 Place: Mumbai

### FORM C

#### SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]

Name of the Company : Wockhardt Limited

ISIN of the Company : INE049B01025

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / member of the Promoter group/designa ted person/ Directors/imm ediate relative to/others		held prior to pm/ disposal No. and % of Shareholding	Type of securities (for e.g. Shares.	Securities <del>ac</del> No.	value (Rs.)	Transact- ion type ( <del>Buy/</del> sale/ <del>Pledge/</del>		ld post <del>acquisition</del> / isposal No. and % of shareholding	allot adv acquis sha	te of ment vice/ vics/ osal of specify To	Date of intim ation to comp any	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer,	Exchange on which the trade was executed
	etc.)	Warrants, Convertible Debentures, Rights entitlements etc.)		Warrants, Convertible Debentures, Rights entitlement, etc.)			Revoke/ Invoke/Othe rs-please specify)	Warrants, Convertible Debentures, Rights Entitlement, etc.)					ESOPs etc.)	
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Name: Callirhoe Trustee Company Private Limited CIN: U74120MH2013PTC2437 36 PAN: AABTL1561G Address: G Block, Plot C- 2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022- 26534444	Promoter Group	Equity Shares	8,20,578 <sup>®</sup> [Shareholding: 0.74%]	Equity Shares	1,00,000	5,19,00,000 <sup>#</sup>	Sale	Equity Shares	7,20,578 <sup>®</sup> [Shareholding: 0.65%]	30/06/2021	30/06/2021	01/07/2021	Market Sale	NSE

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Callirhoe Trustee Company Private Limited holds these shares in its capacity as the Trustee of Lysithea Discretionary Trust

3. Apart from the above sale of shares by Lysithea Discretionary Trust ; Habil Khorakiwala Trust has also sold total 5,00,000 shares (0.45%) on 30th June, 2021.

4 As on date, pledged shareholding of the Promoters stands 24.21% of total share capital of Wockhardt Limited ('the Company') which includes pledge created on 22,30,000 equity shares on 30th June, 2021.

5. The promoters intend to invest the proceeds of sale of 6,00,000 Equity Shares (sold on 30<sup>th</sup> June, 2021) in Wockhardt Ltd., in near future, in accordance with the earlier approval of the Board of Directors and/ or Shareholders of the Company.

## Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

		Exchange on which the trade was executed				
Type of Contract	Contract		Buy	Sell		
	specifications	Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
16	17	18	19	20	21	22
-	-	-	-	-	-	_

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

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 Name & Signature: Dr. H. F. Khorakiwala

 Director of Themisto Trustee Company Private Limited

 Designation
 : Promoter

 Date
 : 01/07/2021
 Place: Mumbai