

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L24230MH1999PLC120720

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACW2472M

(ii) (a) Name of the company

WOCKHARDT LIMITED

(b) Registered office address

WOCKHARDT RESEARCH CENTRE, D-4,
M.I.D.C. CHIKALTHANA
AURANGABAD
Aurangabad
Maharashtra
431006

(c) *e-mail ID of the company

gsahu@wockhardt.com

(d) *Telephone number with STD code

2406694444

(e) Website

www.wockhardt.com

(iii) Date of Incorporation

08/07/1999

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

Annual General Meeting has been convened to be held on August 02, 2021

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	67.4
2	G	Trade	G1	Wholesale Trading	32.6

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

32

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Wockhardt Infrastructure Deve	U24230MH1991PLC060162	Subsidiary	100
2	Wockhardt Medicines Limited	U74999MH2019PLC322942	Subsidiary	100
3	Z&Z Services GmbH		Subsidiary	85.85
4	Wockhardt Europe Limited		Subsidiary	100
5	Wockhardt Nigeria Limited		Subsidiary	100
6	Wockhardt UK Holdings Limite		Subsidiary	100
7	CP Pharmaceuticals Limited		Subsidiary	85.85
8	CP Pharma (Schweiz) AG		Subsidiary	85.85
9	Wallis Group Limited		Subsidiary	100
10	The Wallis Laboratory Limited		Subsidiary	100
11	Wockhardt Farmaceutica do Br		Subsidiary	100
12	Wallis Licensing Limited		Subsidiary	100
13	Wockhardt USA LLC		Subsidiary	85.85
14	Wockhardt Bio AG		Subsidiary	85.85
15	Wockhardt UK Limited		Subsidiary	85.85
16	Wockpharma Ireland Limited		Subsidiary	85.85
17	Pinewood Laboratories Limite		Subsidiary	85.85
18	Wockhardt Holding Corp		Subsidiary	85.85
19	Morton Grove Pharmaceutical		Subsidiary	85.85
20	MGP Inc		Subsidiary	85.85
21	Wockhardt France (Holdings) S		Subsidiary	85.85
22	Laboratoires Pharma 2000 S.A.		Subsidiary	85.85
23	Laboratoires Negma S.A.S		Subsidiary	85.85
24	Niverpharma S.A.S		Subsidiary	85.85

25	Negma Beneulex S.A		Subsidiary	85.85
26	Phytex S.A.S		Subsidiary	85.85
27	Wockhardt Farmaceutica SA D		Subsidiary	85.85
28	Wockhardt Services SA DE CV		Subsidiary	85.85
29	Pinewood Healthcare Limited		Subsidiary	85.85
30	Wockhardt Bio (R)		Subsidiary	85.85
31	Wockhardt Bio Pty Ltd		Subsidiary	85.85
32	Wockhardt Bio Ltd		Subsidiary	0

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	250,000,000	110,781,153	110,781,153	110,781,153
Total amount of equity shares (in Rupees)	1,250,000,000	553,905,765	553,905,765	553,905,765

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	250,000,000	110,781,153	110,781,153	110,781,153
Nominal value per share (in rupees)	5	5	5	5
Total amount of equity shares (in rupees)	1,250,000,000	553,905,765	553,905,765	553,905,765

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	2,000,000,000	0	0	0
Total amount of preference shares (in rupees)	10,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares				
Number of preference shares	2,000,000,000	0	0	0
Nominal value per share (in rupees)	5	5	5	5
Total amount of preference shares (in rupees)	10,000,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	110,735,003	553,675,015	553,675,015	
Increase during the year	46,150	230,750	230,750	0
i. Public Issues	0	0	0	
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	46,150	230,750	230,750	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
Nil				
Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0

iv. Others, specify	0	0	0	
Nil				
At the end of the year	110,781,153	553,905,765	553,905,765	
Preference shares				
At the beginning of the year	660,000,000	3,300,000,000	3,300,000,000	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify	0	0	0	
Nil				
Decrease during the year	660,000,000	3,300,000,000	3,300,000,000	0
i. Redemption of shares	660,000,000	3,300,000,000	3,300,000,000	
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	0	0	0	
Nil				
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		03/08/2020	
Date of registration of transfer (Date Month Year)			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor		<input type="text"/>	
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee		<input type="text"/>	
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

(iv) *Indebtedness including debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Secured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			11,368,715,213
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits			4,760,833,183
Deposit			0
Total			16,129,548,396

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

10,817,395,802.41

(ii) Net worth of the Company

11,229,662,357

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	906,091	0.82	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	70,295,957	63.45	0	

10.	Others Trust	8,693,294	7.85	0	
	Total	79,895,342	72.12	0	0

Total number of shareholders (promoters)

13

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	23,713,818	21.41	0	
	(ii) Non-resident Indian (NRI)	1,280,428	1.16	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,475	0	0	
4.	Banks	840	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	1,200	0	0	
7.	Mutual funds	15,326	0.01	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	909,128	0.82	0	
10.	Others Foreign Bank/Foreign Portf	4,963,596	4.48	0	
	Total	30,885,811	27.88	0	0

Total number of shareholders (other than promoters)

125,475

**Total number of shareholders (Promoters+Public/
Other than promoters)**

125,488

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

3

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
TAIB BANK E.C.	ANZ GRINDLAYS BANK PLC CUSTC			300	0
JOHN GOVETT & CO LI	THE HONGKONG AND SHANGHAI			600	0
GENERAL ELECTRIC PE	C/O DEUTSCHE BANK AG GROUND			300	0

**VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)**

Details	At the beginning of the year	At the end of the year
Promoters	13	13
Members (other than promoters)	117,312	125,475
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**(A) *Composition of Board of Directors**

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	3	1	3	1	0.8	0
B. Non-Promoter	0	6	0	6	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	6	0	6	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	1	0	1	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	8	3	8	0.8	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

13

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dr. Habil Khorakiwala	00045608	Whole-time director	459,451	
Dr. Huzaifa Khorakiwala	02191870	Whole-time director	216,000	
Dr. Murtaza Khorakiwala	00102650	Managing Director	226,200	
Mr. Aman Mehta	00009364	Director	0	
Mr. D. S. Brar	00068502	Director	500	
Dr. Sanjaya Baru	05344208	Director	500	
Mr. Akhilesh Gupta	00359325	Director	0	
Mrs. Tasneem Mehta	05009664	Director	0	
Mr. Vinesh Kumar Jaira	00391684	Director	0	
Ms. Zahabiya Khorakiwala	00102689	Director	0	
Mrs. Rima Marphatia	00444343	Nominee director	0	
Mr. Manas Datta	AADPD4184B	CFO	2,000	
Mr. Gajanand Sahu	AQXPS4420R	Company Secretary	5	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. Baldev Raj Arora	00194168	Director	27/05/2020	Cessation
Mr. Akhilesh Gupta	00359325	Additional director	29/08/2020	Appointment
Mr. Narendra Singh	AOLPS3188L	Company Secretary	11/05/2020	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	03/08/2020	125,031	184	72.39

B. BOARD MEETINGS

*Number of meetings held

6

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	11/05/2020	11	11	100
2	09/06/2020	10	10	100
3	03/08/2020	10	10	100
4	29/08/2020	11	11	100
5	02/11/2020	11	11	100
6	29/01/2021	11	11	100

C. COMMITTEE MEETINGS

Number of meetings held

26

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	11/05/2020	6	6	100
2	Audit Committee	29/08/2020	5	5	100
3	Audit Committee	02/11/2020	6	6	100
4	Audit Committee	29/01/2021	6	6	100
5	Stakeholders Forum	11/05/2020	6	6	100
6	Stakeholders Forum	29/08/2020	5	5	100
7	Stakeholders Forum	02/11/2020	6	6	100
8	Stakeholders Forum	29/01/2021	6	6	100
9	NOMINATION	11/05/2020	4	4	100
10	NOMINATION	29/08/2020	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Dr. Habil Khor	6	6	100	18	17	94.44	
2	Dr. Huzaifa Kh	6	6	100	13	13	100	
3	Dr. Murtaza Kh	6	6	100	14	13	92.86	
4	Mr. Aman Meh	6	6	100	12	12	100	
5	Mr. D. S. Brar	6	6	100	14	14	100	
6	Dr. Sanjaya B.	6	6	100	10	10	100	
7	Mr. Akhilesh G	3	3	100	4	4	100	
8	Mrs. Tasneem	6	6	100	9	9	100	
9	Mr. Vinesh Ku	6	6	100	9	9	100	
10	Ms. Zahabiya	6	6	100	0	0	0	
11	Mrs. Rima Ma	6	6	100	0	0	0	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dr. Habil Khorakiwa	Chairman	28,000,000	0	0	0	28,000,000
2	Dr. Huzaifa Khoraki	Executive Direct	24,000,000	0	0	0	24,000,000
3	Dr. Murtaza Khorak	Managing Direct	24,000,000	0	0	0	24,000,000
	Total		76,000,000	0	0	0	76,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Manas Datta	Chief Financial C	40,232,306	0	912,000	0	41,144,306
2	Mr. Gajanand Sahu	Company Secre	6,146,689	0	0	0	6,146,689
3	Mr. Narendra Singh	Company Secre	2,521,957	0	0	0	2,521,957
	Total		48,900,952	0	912,000	0	49,812,952

Number of other directors whose remuneration details to be entered

9

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Aman Mehta	Independent Dir	0	0	0	1,100,000	1,100,000
2	Mr. D. S. Brar	Independent Dir	0	0	0	1,600,000	1,600,000
3	Dr. Sanjaya Baru	Independent Dir	0	0	0	1,400,000	1,400,000
4	Mrs. Tasneem Mehl	Independent Dir	0	0	0	1,500,000	1,500,000
5	Mr. Akhilesh Gupta	Independent Dir	0	0	0	700,000	700,000
6	Mr. Vinesh Kumar J	Independent Dir	0	0	0	1,500,000	1,500,000
7	Ms. Zahabiya Khora	Non Executive D	0	0	0	600,000	600,000
8	Mrs. Rima Marphati	Nominee Directo	0	0	0	600,000	600,000
9	Mr. Baldev Raj Aror	Independent Dir	0	0	0	300,000	300,000
	Total		0	0	0	9,300,000	9,300,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

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(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow Associate Fellow

Certificate of practice number

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

15791

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachement(s), if any

Attach

Attach

Attach

Attach

List of attachments

Wockhardt Limited_MGT7_SHT.pdf
Committee meetings-Annual return.pdf
Form No MGT-8_ wockhardt- 20-21-07-07-
Wockhardt Limited_MGT7_FII_FPI.pdf

Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
16/04/2020	1	150	000005	W0000137	MR K K PUJARI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000256	K V RAJU	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0000315	APOORVA UPADHYAYA	IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0000406	SAMAR CHATTERJEE	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0000417	MR ANUPAM KUMAR	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000541	MR J J PASCAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000573	SHILAWANT VIJAY P	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000608	MUNISH DUGGAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000636	MR DILIP DEV	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000678	DR NIPA GANDHI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000735	LAXMIKANT G KHANOLKA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000906	SRIKUMAR P NAIR	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000913	M MOHANNA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000929	DEBOJYOTI SENGUPTA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000777	MR DEEPAK A NAIK	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000943	AJAY V GEORGE	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0001071	RAJESH MEHTA	IEPF2017	IEPF2017
16/04/2020	1	1200	000005	W0001105	DR VINOD K MATTOO	IEPF2017	IEPF2017
16/04/2020	1	150	000005	W0001137	N RAVIKUMAR	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0005003	PETER IVOR DSOUZA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0005391	KAMAL JEET SINGH VIJ	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000966	A K GARG	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0001022	L G PANCHAL	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007748	ANVAR GULAB SOLANKI	IEPF2017	IEPF2017
16/04/2020	1	150	000005	W0007857	DR VASUDEV ISHWARBHA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007859	BHAGVANBHAI SHAH	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007878	SAKU SURESH CHANDRA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0008020	SHANTANU A NETKE	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0008254	AVINASH SWAROOP	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0008321	IONA STANLEY	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0006276	R NAGENDRAN	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0006423	MAKARAND ORPE	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0006466	APOORV PANDEY	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0006842	RAMESH KUMAR CHAWLA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0006857	CHOWDRY ASSOCIATES	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007147	DALJEET SINGH GULATI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007154	JAYANTHI KRISHNAN	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007345	N R MUKUNDARAJAN	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0008353	KAPANA VINAY KUMAR	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0008478	RAMAMOHANRAO SURABAT	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0008544	SUHAS PINGLE	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0008555	SURESH KUMAR GIDWANI	IEPF2017	IEPF2017
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16/04/2020	1	600	000005	W0010153	K K VORA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0010163	MONAV CHAHWALA	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0010164	VINOD T CHAHWALA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0010741	AJODHYABAI SOMANI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0011240	RAMACHANDRAN C S	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0011313	SUDESH SHARMA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0011148	RITU RAINA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0011580	SUJATA EKBOTE	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0012270	NISHITH VARMA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0012525	BHAGIRATH SINGH RAJ	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0012620	SALMA PERVEEN	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0012793	ARVINDSINH G SOLANKI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0012914	KHURSID FIROZ CHAUHA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0013088	RAMJI SHUKLA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0013128	OM PRAKASH SINGH	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0013258	A T M RANGARAMANUJAM	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0014003	RITA GANDHI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0014004	SALONI GANDHI	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0018690	PRAVIN NATHALAL SHAH	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0019847	BAHADUR SINH ROAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0016489	CHIRAG V DESAI	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0018324	MANISH SHAH	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0021170	KRISHNA HAVALDAR	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0021420	LAKSHMI M	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0023617	KAMAKSHI KADUR SESHA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0023772	SHANTHI M	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0024210	MINA MALLICK	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0024089	MOHAN K S	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0024097	R SANDHYA	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0024855	DILIP BANDYOPADHYAY	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0024955	ZAHID A HUSAIN	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0025016	NITA BHANSALI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0027296	ARVIND KELKAR	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0027386	VIJAY LAXMI ARORA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0026416	LAKSHMI PANCHAPAKESA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0027012	DR AWADH KUMAR	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0028373	DILIPKUMAR T JETHANI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0028544	GIRISH NARAIN PANDE	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0028766	SETH P C	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0028831	RAJESH KUMAR	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0029284	A M LAZAR	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0029601	M KAMALADEVI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0029649	DEVA NARAIN NATH SIN	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0029829	MANVINDER SINGH	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0030011	DIVYA DIWAN	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0030941	MALLISWARI KAJA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0031148	KANUBHAI R PATEL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0031461	SEEMA ARVIND DESHPAN	IEPF2017	IEPF2017

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
16/04/2020	1	600	000005	W0031930	MAHESWARI MARRI	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0031988	RAMANI MADHAVAPEDDI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0032250	SACHIN POPATLAL MEHT	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0032812	BANO PATEL	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0033114	A SELVAKUMARI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033128	RENU JACOB	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033129	P T CHACKO	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033138	THOMAS P MATHEW	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033216	R LALITHA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033564	USHA AGGARWAL	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0033590	DEBNARAYAN BHATTACH	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034014	RAMESH NATH KAPOOR	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034370	PUSHPA BHATIA	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0034512	RAMPRAKASH VARSHNEY	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034554	DEEPAK RAINA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034587	MANPREET SETHI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034601	RENU GUPTA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033849	ASHOK SRIVASTAVA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034785	PRAVEEN	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034818	ASHOK ATLURI	IEPF2017	IEPF2017
16/04/2020	1	1200	000005	W0035032	VIJAI PRATAP MALHOTR	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0035189	SANJAY M ISRANI	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0035279	PHADKE VINAYAKA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035535	VIPLA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035586	JASNEEM S LOKHANDWAL	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035681	BAPUBHAI BHARWAD	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035766	GANDHEY KRANTHI RAO	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035868	ARJUN N	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0036156	DR SHABBIR E CHOONIA	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0036157	MUNIRA S CHOONIA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0036145	VIJAYADURGA N	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0036198	SUDHA RAVINDRA DHARG	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0036327	LEELA MOTIRAM CHAVAN	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0036338	PARESH	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0036347	AMRUT ATMARAM MOHITE	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0036232	KARANDIKAR S M	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0036646	SREENAWDA GHOSH	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0036836	USHA RANI MAHAJAN	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0036966	HASMUKHBHAI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0037151	CHANDAN M	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0037336	CORE PARENTALS LIM	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0037427	VIJAYA RAJGOPAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0037902	PRAVIN CHANDRA RATIL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0037903	HANSA PRAVIN CHANDRA	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0038432	UTI-INDIA GROWTH FUN	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0038617	PARESH ASSANDAS SONA	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0038938	SHASHIKALA YESHWANT	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0039340	ALTAF KAZI	IEPF2017	IEPF2017
16/04/2020	1	1800	000005	W0040403	SADAYAPPAN KR	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0040594	MUKESH DOSHI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0040692	ADITI MAYADAS	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0040884	NARENDRA PUROHIT	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0042775	CHANDU A SODIA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0043058	ANASUYA HARIDAS DATT	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0043218	BEEJAL J VED	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0043724	GAURI DILIP KOIMATTU	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0041307	DOSHI NALINI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0044439	GOPIKISHAN KISHANLAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0044577	GIRISH BHANJIBHAI DE	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0044727	SHYAM BHAIJANTRI	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0045143	SHAMBHU PRASAD SINGH	IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0046662	RANGANATHAN	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0047176	NAGESH G	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0047325	NANDAKUMAR K	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0047952	AGGARWAL N K	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0048297	KANCHANBEN J MEHTA	IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0048701	HIMATLAL CHHANALAL T	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0049016	ANJALI MANDLEKAR	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0049429	SURAJ PRAKASH KANWAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0049918	RANI JAIN	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0050164	MANOJ KUMAR ROY	IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0050402	RUPINDER SINGH ARORA	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0052098	HALIMABAI J KHAN	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0052257	JAYANTILAL TRIBHOVAN	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0052537	MAMTA ASHOK TIBREWAL	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0052655	MANOJ MALOO	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0052803	UPJIT SINGH MAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0052823	SURAJMANI INVESTMENT	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0052963	SHANTI JOSHI	IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0054104	GOENKA R	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0054401	MANJU SRIVASTAVA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0054459	VIKAS MITTAL	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0054467	KIRAN BHATT	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0054914	MAHESH L SHAH	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0055974	NAV FINSTOCK PVT LTD	IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0056067	SARLA DHANANI	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0053136	PRADIP JAYANTILAL SH	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0056136	LALITA GORAY	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0056362	KUMAVAT SHRAVANKUMAR	IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0060052	DR GEETA ARORA	IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0060442	RAO P P R	IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0061266	PREMI LATA	IEPF2017	IEPF2017

Wockhardt Limited		Details of shares/debentures transfers from		01/04/2020	To	31/03/2021	Closure Date :	31-03-2020
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME	
16/04/2020	1	300	000005	W0063804	MUKESH P HIRPURA	IEPF2017	IEPF2017	
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16/04/2020	1	2	000005	W0065471	MOHANLAL TULSIDAS BH	IEPF2017	IEPF2017	
16/04/2020	1	100	000005	W0065923	SANJAY KUMAR	IEPF2017	IEPF2017	
16/04/2020	1	600	000005	W0065986	PROMILLA DEEPAK KULK	IEPF2017	IEPF2017	
16/04/2020	1	300	000005	W0066011	HANUMAN LAL SHARMA	IEPF2017	IEPF2017	
16/04/2020	1	150	000005	WBR00002	MINATI SEN GUPTA	IEPF2017	IEPF2017	
16/04/2020	1	2	000005	00066051	ARCADIA SHARES AND S	IEPF2017	IEPF2017	
16/04/2020	1	200	000005	00066174	KALPANA SHARMA	IEPF2017	IEPF2017	
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29/04/2020	1	200	000005	W0012498	SAROJ SHEKHAR SHAH	ND000001	NATIONAL SECURITY DE	
29/04/2020	1	600	000005	W0011165	RAKESH KUMAR VASHIST	ND000001	NATIONAL SECURITY DE	
11/05/2020	1	1200	000005	00066095	PARUL JAUHRI	ND000001	NATIONAL SECURITY DE	
18/06/2020	1	400	000005	W0029763	NAREN ADHIYA	00067598	NARENDRA J ADHIA	
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16/07/2020	1	200	000005	W0030192	RATILAL G PATEL	00067599	RAJENDRA R MEHTA	
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20/07/2020	1	300	000005	W0031131	AJITKUMAR L PANCHAL	IEPF2017	IEPF2017	
20/07/2020	1	600	000005	W0033124	ESWAR V G	IEPF2017	IEPF2017	
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20/07/2020	1	600	000005	W0034746	KANTA NAYAR	IEPF2017	IEPF2017	
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20/07/2020	1	600	000005	W0035650	HARAKRAJ BHANDARI	IEPF2017	IEPF2017	
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20/07/2020	1	300	000005	W0033909	AJIT RANJAN CHAKRABO	IEPF2017	IEPF2017	
20/07/2020	1	300	000005	00066126	NIRAJ KR SOOD	IEPF2017	IEPF2017	
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20/07/2020	1	600	000005	W0011356	OM PRAKASH ARORA	IEPF2017	IEPF2017	
20/07/2020	1	600	000005	W0011357	SURINDER KUMAR ARORA	IEPF2017	IEPF2017	
20/07/2020	1	600	000005	W0007149	MALA SEKHRI	IEPF2017	IEPF2017	
20/07/2020	1	400	000005	W0012142	SHALISH BAFNA	IEPF2017	IEPF2017	
20/07/2020	1	600	000005	W0014249	RAHUL SARAF	IEPF2017	IEPF2017	
20/07/2020	1	400	000005	W0014250	SAKUNTLA DEVI SARAF	IEPF2017	IEPF2017	
20/07/2020	1	600	000005	W0023947	H G ABDUL WAJID	IEPF2017	IEPF2017	
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20/07/2020	1	300	000005	W0053223	SANJEEV S BAGADE	IEPF2017	IEPF2017	
20/07/2020	1	400	000005	W0056795	PRASAD K P R R K	IEPF2017	IEPF2017	
20/07/2020	1	300	000005	W0056084	ILA GHOSE	IEPF2017	IEPF2017	
20/07/2020	1	300	000005	W0051414	KALAVATI SONEGARA	IEPF2017	IEPF2017	
20/07/2020	1	300	000005	W0050143	SURESH NARAIN DAS RAH	IEPF2017	IEPF2017	
20/07/2020	1	600	000005	W0047948	PUSHPENDRA SHARMA	IEPF2017	IEPF2017	
20/07/2020	1	13750	000005	IEPF2017	IEPF2017	ND000001	NSDL	
03/08/2020	1	300	000005	W0045871	KHATOON MOHAMMEDBHAI	00067600	SAIFEE MOHAMMEDBHAI	
29/09/2020	1	400	000005	00067598	NARENDRA J ADHIA	CD000001	CENTRAL DEPOSITORY S	
06/10/2020	1	600	000005	00067449	VIJAY SINGH KHANNA	ND000001	NATIONAL SECURITY DE	
06/10/2020	1	400	000005	W0024792	DHARENDRA SAHUI	ND000001	NATIONAL SECURITY DE	
15/10/2020	1	200	000005	00066926	SHOBHA DEVI S NADAGO	CD000001	CENTRAL DEPOSITORY S	
29/10/2020	1	300	000005	W0041329	RAMESHBHAI B PATEL	ND000001	NATIONAL SECURITY DE	
30/10/2020	1	400	000005	W0008807	BANSI K BARFIWALA	00067601	RAGINI B BARFIWALA	
13/11/2020	1	400	000005	W0008935	ARWABAI RAMPURAWALA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0008566	TAPAS KUMAR BANERJEE	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0008675	PARMINDER KAUR	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0008381	M SATHYANARAYAN	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0008467	PUSHPAMALA S DIGHE	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0007709	SHYAMSUNDAR	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0011507	SUDHA VIRMANI	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0011170	SANG RAM DWIVEDI	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0011722	VISHWA BANDHU BHASIN	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0012046	MADHU SHASTRI	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0010358	SHANKAR GOPALAKRISHN	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0011126	MANISHA CHAUDHRY	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0024010	SREELAKSHMI S	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0023939	D S PRAMEELA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0021506	AMRITA CLARENCE ATHA	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0022164	HANSRAJ AMIRCHAND AR	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0023593	UNNATIBEN KIRANBHAI	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0020277	DINESH T	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0020998	TRIBHOWANDAS TAPODHA	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0019855	JAGDISH P PATEL	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0013117	SANGEETA SRIVASTAVA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	00066088	HETAL A SHAH	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0000344	MR ANUPAM K SRIVASTA	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0008348	KAMAL THAKOR	IEPF2017	IEPF2017	
13/11/2020	1	1500	000005	W0001069	MR MILIND HARDAS	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	00067100	KAMLESH C SHAH	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0006086	SANJAY BHAAURAO KHADT	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0005592	DEEPAK BAGTA	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0000959	S SWAMINATHAN	IEPF2017	IEPF2017	
13/11/2020	1	1800	000005	W0000874	R SESHADRI	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0000942	ALLEN CARNEIRO	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0000738	MS MONISHA JHIRAD	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0043741	AMRUT R PATEL	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0043811	TEMPLETON MUTUAL FUN	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0038789	BATRA Y P	IEPF2017	IEPF2017	

Wockhardt Limited		Details of shares/debentures transfers from		01/04/2020	To	31/03/2021	Closure Date :	31-03-2020
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME	
13/11/2020	1	1200	000005	W0038525	FLEDGELING NOMINEES	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0037192	JAYANT VITHALDAS	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0037005	VINOD GUPTA	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0037138	AMINABAI AHMEDALI LO	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036854	BRAHMAIAH CHARY M S	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036904	SANDEEP SACHDEVA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036906	PUSHPA SACHDEVA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0035538	REHMAN NAGAUARI A	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0035662	CHUDASAMA V J	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0035804	SURESH C ANAND	IEPF2017	IEPF2017	
13/11/2020	1	2400	000005	W0035925	QIPTIYYA CHINWALA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036005	SATISH MOLASHI	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036651	RUCHIRA NARAYANAN	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036676	WAMAN RAMCHANDRA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036455	RAJKUMARI TIWARI	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036200	RAKA JAIN	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036155	AMMAR SHABBIR CHOONI	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036173	DR S K DUBEY	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0036174	THAKUR A K	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0013033	DAHYABHAI U THAKORE	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0024520	RAMCHANDRA SIRPOTDAR	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0024622	CHALUPARAMPIL KURIEN	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0024681	DINYAR JAMSHEDI MOB	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0027397	VINOD KUMAR GUPTA	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0027415	ANITA MITTAL	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0027416	PREETI AGGARWAL	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0027417	ROHIT AGGARWAL	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0027695	DHIRAJ A SHAH	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0029080	MADHU JAIN	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0029081	MADAN JAIN	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0029189	NALINI RATHANLAL	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0030331	RENUKA P THACKER	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0033668	S SUBBULAKSHMI	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0033312	SUMA CHACKO	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0034396	PRANESH RANJAN SHUKL	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0034467	CHARU VOHRA	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0031852	SAVITA KUMARI	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0031915	LIMBAGIRI MYAKA	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0031213	KANUBHAI PUNJABHAI P	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0026399	SHASHI GUPTA	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0029720	RADHA KANT KUMAR	IEPF2017	IEPF2017	
13/11/2020	1	15600	000005	W0040229	DEUTSCHE BANK TRUST	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0048414	HARI RAM GUPTA	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0048234	RAJIV GUPTA	IEPF2017	IEPF2017	
13/11/2020	1	2400	000005	W0048235	HARI RAM GUPTA	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0047667	KUNDANBEN MUKESHBHAI	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0051901	AGRAWAL JAYSHREE	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0053010	RAJ NAIR	IEPF2017	IEPF2017	
13/11/2020	1	600	000005	W0052400	BALUBHAI YASHVANT BU	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0052417	THANKAPPAN PILLAI K	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0050404	BASAVRAJ CHANAPPA KA	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0051016	KAMAL K ASRANI	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0054739	HANSRAJ M MUTHA	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0057733	RAMAN DAS ADVANI	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0059630	BANARASI DEVI	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0056721	RAVISHANKAR V	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0059919	HEMANT M MANIAR	IEPF2017	IEPF2017	
13/11/2020	1	200	000005	W0061554	MOHD. SUHAIB	IEPF2017	IEPF2017	
13/11/2020	1	400	000005	W0061831	SUBRAMANIAN P	IEPF2017	IEPF2017	
13/11/2020	1	300	000005	W0063824	CHANDUBHAI MAGANLAL	IEPF2017	IEPF2017	
13/11/2020	1	63600	000005	IEPF2017	IEPF2017	ND000001	NSDL	
26/11/2020	1	300	000005	00067023	AMOL GANESH JOSHI	ND000001	NATIONAL SECURITY DE	
04/12/2020	1	600	000005	W0010144	ABDULMAJID AHAMED SH	00067602	SAJIDAHMED ABDULMAJI	
04/12/2020	1	600	000005	00066739	K R BHANGALE	ND000001	NATIONAL SECURITY DE	
10/12/2020	1	600	000005	W0042519	AMRITLAL KOTAK	00067603	HANSA AMRITLAL KOTAK	
11/12/2020	1	400	000005	00067601	RAGINI B BARFIWALA	CD000001	CENTRAL DEPOSITORY S	
19/12/2020	1	600	000005	W0005911	SHAILESH C KOTHARI	00067604	ULKA S KOTHARI	
23/12/2020	1	200	000005	W0040084	JAYSHREEBEN R SONI	ND000001	NATIONAL SECURITY DE	
31/12/2020	1	200	000005	00067597	RAMESHBHAI DAHYABHAI	ND000001	NATIONAL SECURITY DE	
04/01/2021	1	100	000005	W0040988	PANKAJ BABULAL MODI	CD000001	CENTRAL DEPOSITORY S	
07/01/2021	1	300	000005	W0000175	MR SUNIL PAGARE	CD000001	CENTRAL DEPOSITORY S	
12/01/2021	1	600	000005	00067568	ANOOP SINGH GURNAM S	ND000001	NATIONAL SECURITY DE	
13/01/2021	1	200	000005	00066759	SURESH KUMAR BAID	IEPF2017	IEPF2017	
13/01/2021	1	10	000005	00066912	SUNIL KUMAR JAIN	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0000571	PRAVIN VINAYAK PARKA	IEPF2017	IEPF2017	
13/01/2021	1	400	000005	W0001143	VASANT KULKARNI	IEPF2017	IEPF2017	
13/01/2021	1	300	000005	W0013237	VASUNDHARA CHEPUR	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0012458	SUBHASH KULKARNI	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0012460	BALKISAN SARDA	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0012996	SURESHKUMAR D SOMANI	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0020195	SUSELA BEN C	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0046659	DEEPAK MOTILAL SAWLA	IEPF2017	IEPF2017	
13/01/2021	1	400	000005	W0034790	SANJEEV MALHOTRA	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0033035	RENUKA MEHTA	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0029697	ABHA YADAV	IEPF2017	IEPF2017	
13/01/2021	1	300	000005	W0025129	RAMESHWARIDEVI MAHES	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0025228	APPAJI SOMANING PATI	IEPF2017	IEPF2017	
13/01/2021	1	600	000005	W0024788	AUXAELLA VAZ	IEPF2017	IEPF2017	
13/01/2021	1	300	000005	W0024158	ATULKUMAR GIRISHKANT	IEPF2017	IEPF2017	
13/01/2021	1	300	000005	W0065426	MOHANA PANCHAPAKESAN	IEPF2017	IEPF2017	
13/01/2021	1	200	000005	W0061922	RAJESH MITTAL	IEPF2017	IEPF2017	
13/01/2021	1	200	000005	W0063351	SAMEER KAPOOR	IEPF2017	IEPF2017	

Wockhardt Limited

Details of shares/debentures transfers from

01/04/2020

To

31/03/2021

Closure Date :

31-03-2020

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
13/01/2021	1	200	000005	W0060896	MAMTA MITTAL	IEPF2017	IEPF2017
13/01/2021	1	100	000005	W0053221	SONI MAHENDRAKUMAR K	IEPF2017	IEPF2017
13/01/2021	1	300	000005	W0059744	RIPA BHATE	IEPF2017	IEPF2017
13/01/2021	1	300	000005	W0058353	NUTAN K SHETH	IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0052656	SAVITA MALOO	IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0048253	SURESH D SONANI	IEPF2017	IEPF2017
13/01/2021	1	10710	000005	IEPF2017	IEPF2017	ND000001	NSDL
28/01/2021	1	600	000005	00067602	SHAIKH SAJIDAHMED A	CD000001	CENTRAL DEPOSITORY S
01/02/2021	1	100	000005	W0044418	RUHI GOSALIA	CD000001	CENTRAL DEPOSITORY S
02/02/2021	1	200	000005	W0018484	INDERMAL A CHOPRA	ND000001	NATIONAL SECURITY DE
15/02/2021	1	600	000005	W0010752	SURESH VISHVAMBHAR K	ND000001	NATIONAL SECURITY DE
23/02/2021	1	600	000005	W0035883	AMOL A NAVALKAR	ND000001	NATIONAL SECURITY DE
01/03/2021	1	200	000005	00067599	RAJENDRA R MEHTA	CD000001	CENTRAL DEPOSITORY S
02/03/2021	1	200	000005	W0036241	TIWARI P L	00067605	RAMA P TIWARI
12/03/2021	1	600	000005	00067595	REEMA GUPTA	ND000001	NATIONAL SECURITY DE
18/03/2021	1	200	000005	W0036478	ASHOK BALDOTA	CD000001	CENTRAL DEPOSITORY S
22/03/2021	1	600	000005	W0010340	SACHIN KUMAR	CD000001	CENTRAL DEPOSITORY S

Point IX (C) COMMITTEE MEETINGS (continued)

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of directors attended	% of attendance
11	Corporate Social Responsibility Committee	11/05/2020	4	4	100
12	Corporate Social Responsibility Committee	29/01/2021	4	4	100
13	Risk Management Committee	29/06/2020	4	4	100
14	Risk Management Committee	23/03/2021	4	4	100
15	Credit Facilities Committee	11/05/2020	3	3	100
16	Credit Facilities Committee	17/07/2020	3	3	100
17	Credit Facilities Committee	23/09/2020	3	3	100
18	Credit Facilities Committee	18/01/2021	3	3	100
19	Credit Facilities Committee	03/02/2021	3	3	100
20	Credit Facilities Committee	24/02/2021	3	3	100
21	Credit Facilities Committee	17/03/2021	3	3	100
22	Credit Facilities Committee	25/03/2021	3	3	100
23	Share Allotment Committee	23/09/2020	3	3	100
24	Share Allotment Committee	16/12/2020	3	3	100
25	Share Allotment Committee	09/03/2021	3	3	100
26	Capital Raising Committee	13/10/2020	4	2	50

Point No. III - PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

32. Wockhardt Bio AG, the immediate Holding Company of Wockhardt Bio Limited, is yet to infuse the share capital in Wockhardt Bio Limited.
28. Wockhardt Services S.A. DE C.V. is under the process of liquidation.

Thanking You.

For Wockhardt Limited



Gajanand Sahu
 Company Secretary
 ACS: 15791
 Date: 08th July, 2021



Form No.: MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Wockhardt Limited** (Hereinafter referred as “the Company”) having CIN: L24230MH1999PLC120720 as required to be maintained under the Companies Act, 2013 (“the Act”) and the rules made thereunder for the financial year ended 31st March, 2021 (“audit period”). In my opinion and to the best of my information and according to the examinations carried out by me and explanations furnished to me by the Company, its officers and agents, I am of the opinion that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year prima facie correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act - **The Company is a Listed Public Company;**
 2. Maintenance of registers/records & making entries therein were made generally within the time prescribed;
 3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time–
During the audit period, the Company has filed various forms within time, forms with additional fees and few under the Companies Fresh Start Scheme, 2020 (“CFSS”), details of which are provided in Annexure – I.
 4. Calling/ convening /holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the Annual

Return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book / registers maintained for the purpose and the same have been signed-

During the audit period, the Company has held Meetings of Board and various Committees as per the provisions of the Companies Act, 2013 and Rules made thereunder. The Annual General Meeting of the members was held on Monday 03rd August, 2020.

During the audit period, none of the business was transacted by way of circular resolution and postal ballot.

5. Closure of Register of Members / Security holders, as the case may be-
During the audit period, the Register of Members and Share Transfer Books of the Company was closed once during the Financial Year from 28th July, 2020 to 03rd August, 2020 (both days inclusive).

6. Advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act-
During the audit period, as per the Audited Financial Statements and Statutory Auditors report thereon, the Company has not given any loans or provided any security or given any guarantee to its Directors or any other person in whom the Director is interested.

7. Contracts/arrangements with related parties as specified in Section 188 of the Act-
During the audit period, as per the Minutes of Meetings of Board and Committees, produced before me and as per the Statutory Auditors report, the Company has entered into Related Party Transactions and the Company has complied with Section 188 of the Companies Act, 2013.

The disclosure of transactions with the Related Parties covered under Section 188 of the Companies Act, 2013 are mentioned in Note No.: 43 of Audited Financial Statements.

8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances-
The documents relating to transfers and transmission of securities are maintained with the Registrar and Transfer Agent.

As per the records produced before me, the Company has transferred 1,81,924 Equity Shares on which dividend not claimed for the Financial Year 2007, 2012-13 and 2013-14 (1st interim dividend) to Investor Education and Protection Fund. Further the Company has transferred equity shares to Investor Education and Protection Fund of financial year 2013-14 (2nd interim dividend) in April 2021.

During the year, the Company has allotted of 46,150 equity shares of Rs. 5/- each pursuant to Wockhardt Employees Stock Option Scheme – 2011.

During the year, the Company has redeemed the following preference shares on 19th October, 2020:

- (i) 160,000,000 0.01% Non-Convertible Cumulative Redeemable Preference Shares of Face value of Rs. 5 each; and**
- (ii) 500,000,000 4% Non-Convertible Non-Cumulative Redeemable Preference Shares of Face value of Rs. 5 each**

During the year, there were no instances of issue or allotment or buy-back of securities/ redemption of debentures / alteration or reduction of share capital/ conversion of shares/securities.

9. Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act-
8000 Bonus shares were kept in abeyance due to pending litigation/ court cases/ stop transfers etc.

10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act-

During the audit period, as per the information provided by the Company, unpaid or unclaimed dividend of Rs. 48,83,095 relating to the Financial Year 2012-13 and 2013-14 (First Interim) was transferred to Investor Education and Protection Fund and the Second Interim Dividend for the Financial year 2013-14 was transferred in the month of April, 2021 within the due date.

11. Signing of Audited Financial Statements as per the provisions of Section 134 of the Act and report of directors is as per sub - sections (3), (4) and (5) thereof-

During the audit period, the Company has prima facie complied with signing of the Audited Financial Statements as per the provisions of Section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof.

12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them-

As on 31st March, 2021, the Company has Eleven Directors consisting of Six Independent Directors, Three Executive Directors, One Non-Executive Non-Independent Director and One Nominee Director nominated by EXIM Bank.

During the period under review, there were following changes in the constitution:

- 1. Cessation of directorship due to completion of term of Mr. Baldev Raj Arora (DIN: 00194168) who was appointed on 28th May, 2015 for a period of 5 years, accordingly the term ended on 27th May, 2020**
- 2. Re-appointment of Ms. Zahabiya Khorakiwala (DIN: 00102689), who retired by rotation, at the Annual General Meeting held on 03rd August, 2020.**
- 3. Appointment of Mr. Akhilesh Gupta (DIN- 00359325) as an Additional (Non-Executive, Independent) Director of the Company w.e.f. 29th August, 2020.**
- 4. Resignation of Mr. Narendra Singh from the post of Company Secretary with effect from 11th May, 2020.**
- 5. Appointment of Mr. Gajanand Sahu as Company Secretary with effect from 12th May, 2020.**

During the period under review, the Company has paid the following remuneration/sitting fees including perquisites to the Directors and Key Managerial Personnels:

(Amount in Rs.in crores.)

Sr. No.	Name	Designation	Total remuneration/ sitting fees including perquisites
1.	Dr. Habil.F.Khorakiwala	Executive Director, Chairperson	2.80
2.	Mr. Aman Mehta	Independent Director	0.11
3.	Mr. D S Brar	Independent Director	0.16
4.	Dr. Sanjaya Baru	Independent Director	0.14
5.	Mrs. Tasneem Mehta	Independent Director	0.15

6.	Mr. Vinesh Kumar Jairath	Independent Director	0.15
7.	Mr. Akhilesh Krishna Gupta (with effect from 29 th August, 2020)	Independent Director	0.07
8.	Mrs. Rima Marphatia	Non-Executive - Nominee Director	0.06
9.	Dr.Huzaifa.H.Khorakiwala	Executive Director	2.40
10.	Dr. Murtaza .H.Khorakiwala	Executive Director,Managing Director	2.40
11.	Ms. Zahabiya.H.Khorakiwala	Non-Executive-Non Independent Director	0.06
12.	Mr. Baldev Arora (till 27 th May, 2020)	Independent Director	0.03
13.	Mr. Manas Datta	Chief Financial Officer	4.11 (excluding stock options Rs. 4.02 crores)
14.	Mr. Gajanand Sahu (with effect from 12 th May, 2020)	Company Secretary	0.61
15.	Mr. Narendra Singh (till 11 th May, 2020)	Company Secretary	0.25

13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of Section 139 of the Act-

There were no such instances of appointment or reappointment or casual vacancies of the Auditor.

14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act-

During the year, none of the events were occurred where the approvals from the Central Government, Tribunal, Regional Director, Registrar or Court / other authorities are required to be obtained by the Company under the Companies Act, 2013.

15. Acceptance/ renewal/ repayment of deposits-

As per the Minutes of Meetings of the Board and Committees produced before me and as per the Audited Financial Statements the Company has not accepted any deposits from public.

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/ modification/ satisfaction of charges in that respect, wherever applicable- **As per the Audited Financial Statements and Statutory Auditors report thereon, the Company has borrowed from Banks and related parties, details of which are provided in Note No.: 17 and 20 of Audited Financial Statements.**

During the audit period, the Company has modified a charge on account of the divestment of its business to Dr. Reddy Laboratories Limited.

17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act – **As per the Audited Financial Statements and Statutory Auditors report thereon, the Company has given loans in accordance with the provisions of Section 186 of the Companies Act, 2013.**

The Company has obtained approval from the Members vide Postal Ballot on 15th March, 2018 to give Loans, Guarantees and/or providing security (ies) and/or make investment upto Rs. 6000 crores. As on 31st March, 2020, the Loans, Guarantees and Investments of the Company is within the limit approved by the Members.

18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company- **During the period under review, the Company has not altered its Memorandum of Association and Articles of Association.**

Place: Mumbai

Date: _____, 2021

Virendra G. Bhatt

Practicing Company Secretary

ACS No.: 1157/COP. No.: 124

Peer Review Cert. No. : 491/ 2016

UDIN:

Note:

I have conducted online verification and examination of records, as facilitated by the Company due to Covid-19 and subsequent lockdown situation for the purpose of issuing this Certificate

ANNEXURE - I**Various Forms filed with the Registrar of Companies under CFSS Scheme/ Additional Fee Waiver Scheme by Ministry of Corporate Affairs:**

Sr. No.	Particulars	Form No.	Date of Filing	SRN	Remarks
1.	Resolution passed by Board of Directors Meeting Dated:30 th March, 2020: Resolution passed for Alteration/Extension of terms of reduction of 16 Cr. Preference shares of face value of Rs.5/- each held by Khorakiwala Holdings and investment Private limited - Promoter's Entity.	MGT-14	06.05.2020	R38284576	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24 th March, 2020.
2.	Postal Ballot Resolution passed by shareholders on-16 th March, 2020 Resolution passed for approval of transfer of business undertaking, a Division of the Company to Dr. Reddy's Laboratories limited.	MGT-14	06.05.2020	R38285276	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24 th March, 2020.
3.	Filing of the Board Resolution passed on 11 th May, 2020 1. Approval of financial statement (standalone and consolidated) for the year ended 31 st March,2020. 2. Approval to raise capital by way of public or private offerings including through a Qualified Institutional Placement ('QIP') to eligible investors through an issuance of equity shares or other eligible securities for an amount not exceeding Rs. 1,500 crore. 3. Approval of the Board's Report including its Annexure & other related Reports for the year ended 31 st March, 2020. 4. Appointment of Mr. Virendra G. Bhatt,	MGT-14	12.06.2020	R41568007	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24 th March, 2020.

	<p>Practicing Company Secretary be and is hereby appointed as Secretarial Auditor for conducting Secretarial Audit of the Company for the Financial Year 2019-20.</p> <p>5. Appointment of Mr. Gajanand Sahu as a Company Secretary and Compliance Officer (Acting) w.e.f. 12th May,2020.</p> <p>6. Taking note of Resignation of Mr. Narendra Singh from the position of Company Secretary and Compliance Officer w.e.f 11th May,2020.</p> <p>7. Completion of term of appointment of Mr. Baldev Raj Arora on 27th May,2020.</p>				
4.	<p>Modification of Charge dated : 10th July, 2020 Bank : SBICAP Trustee Company Limited Charge ID: 100050012</p>	CHG-1	13.08.2020	R49718554	Filed under additional Fees waiver scheme as per MCA General Circular No.: 23/2020 dated 17 th June, 2020.
5.	<p>Credit Facility Committee Meeting Dated: 17thJuly,2020: Approval to avail Common Covid 19 Emergency Credit Line Facility from State Bank of India.</p>	MGT-14	24.08.2020	R51064723	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24 th March, 2020.
6.	Appointment of Mr. Gajanand Sahu as Compliance Officer	IEPF-2	06.10.2020	R65861460	Form filed under the Companies Fresh Start Scheme, 2020.
7.	Appointment of Mr. Gajanand Sahu as CS and resignation of Mr. Narendra Singh as CS	DIR-12	05.10.2020	R65652935	Form filed under the Companies Fresh Start Scheme, 2020.
8.	Allotment of 21950 Equity Shares under ESOP Scheme	PAS-3	26.10.2020	R68669100	Form filed under the Companies Fresh Start Scheme, 2020.
9.	Change in Significant Beneficial Ownership u/s 90	BEN-2	21.12.2020	R76369966	Form filed under the

	by virtue of shares and exercise of significant influence of Mr. Habil Fakhruddin Khorakiwala.(BEN-1 received on 05-10-2020)				Companies Fresh Start Scheme, 2020.
10.	Change in Significant Beneficial Ownership u/s 90 by virtue of shares and exercise of significant influence of Mr. Murtaza HabilKhorakiwala.(BEN-1 received on 05-10-2020)	BEN-2	18.12.2020	R75868877	Form filed under the Companies Fresh Start Scheme, 2020.
11.	Change in Significant Beneficial ownership u/s 90 by virtue of shares and exercise of significant influence of Mrs. NafisaHabilKhorakiwala.(BEN-1 received on 05-10-2020)	BEN-2	20.12.2020	R76131523	Form filed under the Companies Fresh Start Scheme, 2020.
12.	Change in Significant Beneficial ownership u/s 90 by virtue of shares and exercise of significant influence of Mrs. ZahabiyaKhorakiwala.(BEN-1 received on 05-10-2020)	BEN-2	20.12.2020	R76133198	Form filed under the Companies Fresh Start Scheme, 2020.
13.	Change in Significant Beneficial ownership u/s 90 by virtue of shares and exercise of significant influence of Mr. Huzaifa Khorakiwala.(BEN-1 received on 05-10-2020)	BEN-2	20.12.2020	R76134154	Form filed under the Companies Fresh Start Scheme, 2020.
14.	Board Resolution dated 18 th January, 2021: To avail unsecured short term loans from Khorakiwala Holdings Khorakiwala Holdings andInvestments Private Limited for an amount not exceeding Rs. 30 crore	MGT-14	13-03-2021	T08647893	Form filed with an additional fees of Rs. 1200/-
15.	Shareholder's Resolution Dated: 03 rd August,2020: 1. To receive, consider and adopt the Audited Financial Statement of the Company for the Financial year ended 31st March, 2020 together with 2. The Reports of the Board of Directors and Auditors thereon. 3. To appoint a Director in	MGT-14	14-09-2020	R55753917	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24 th March, 2020.

	<p>place of Ms. ZahabiyaKhorakiwala (DIN: 00102689), who retires by rotation and being eligible, offers herself for re-appointment.</p> <p>4. Ratification of remuneration payable to Cost Auditors for the Financial Year 2020-21.</p> <p>5. Approval for raising of additional capital by way of one or more public or private offerings including through a Qualified Institutions Placement ('QIP') to eligible investors through an issuance of equity shares or other eligible securities for an amount not exceeding Rs. 1,500 crore pursuant to the provisions of Sections 42 and 62(1)(c) and other applicable provisions, if any, of the Companies Act, 2013.</p>				
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