FORM NO. MGT-7

Refer the instruction kit for filing the form.

Form language

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return

* Corporate Identification Number	(CIN) of the company	L24230	MH1999PLC120720	Pre-fill		
Global Location Number (GLN) of the company						
* Permanent Account Number (Pa	AN) of the company	AAACW	/2472M			
) (a) Name of the company			WOCKHARDT LIMITED			
(b) Registered office address						
WOCKHARDT RESEARCH CENTRE M.I.D.C. CHIKALTHANA AURANGABAD Aurangabad Maharashtra	, D-4,					
(c) *e-mail ID of the company		gsahu@	wockhardt.com			
(d) *Telephone number with STD	code	240669	14444			
(e) Website		www.w	ockhardt.com			
) Date of Incorporation		08/07/	1999			
Type of the Company	Category of the Company	у	Sub-category of the C	Company		
Public Company	Company limited by	shares	Indian Non-Gove	rnment company		
Whether company is having share	capital) Yes	○ No			

(a)	Details	of	stock	exchanges	where	shares	are	listed
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S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and	Transfer Agent	U6	7190MH19	99PTC118368	Pre-fill
Name of the Registrar and	ransfer Agent	J			_
LINK INTIME INDIA PRIVATE L	MITED				
Registered office address o	the Registrar and Tr	ansfer Agents			
C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vik	nroli (West)				
Financial year From date	1/04/2020	(DD/MM/YYYY) T	o date 31	1/03/2021	(DD/MM/YYYY)
*Whether Annual general me	eeting (AGM) held	○ Yes		0	
(a) If yes, date of AGM					
(b) Due date of AGM	30/09/2021				
(c) Whether any extension for	or AGM granted		∕es ⊚) No	
(f) Specify the reasons for n	ot holding the same				

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities 2

S.No	Main Activity group code		Business Activity Code	Description of Business Activity	% of turnover of the company
1	С	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	67.4
2	G	Trade	G1	Wholesale Trading	32.6

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given	32	Pre-fill All
,		

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Wockhardt Infrastructure Deve	U24230MH1991PLC060162	Subsidiary	100
2	Wockhardt Medicines Limited	U74999MH2019PLC322942	Subsidiary	100
3	Z&Z Services GmbH		Subsidiary	85.85
4	Wockhardt Europe Limited		Subsidiary	100
5	Wockhardt Nigeria Limited		Subsidiary	100
6	Wockhardt UK Holdings Limite		Subsidiary	100
7	CP Pharmaceuticals Limited		Subsidiary	85.85
8	CP Pharma (Schweiz) AG		Subsidiary	85.85
9	Wallis Group Limited		Subsidiary	100
10	The Wallis Laboratory Limited		Subsidiary	100
11	Wockhardt Farmaceutica do Bı		Subsidiary	100
12	Wallis Licensing Limited		Subsidiary	100
13	Wockhardt USA LLC		Subsidiary	85.85
14	Wockhardt Bio AG		Subsidiary	85.85
15	Wockhardt UK Limited		Subsidiary	85.85
16	Wockpharma Ireland Limited		Subsidiary	85.85
17	Pinewood Laboratories Limite		Subsidiary	85.85
18	Wockhardt Holding Corp		Subsidiary	85.85
19	Morton Grove Pharmaceutical:		Subsidiary	85.85
20	MGP Inc		Subsidiary	85.85
21	Wockhardt France (Holdings) 5		Subsidiary	85.85
22	Laboratoires Pharma 2000 S.A.		Subsidiary	85.85
23	Laboratoires Negma S.A.S		Subsidiary	85.85
24	Niverpharma S.A.S		Subsidiary	85.85

25	Negma Beneulex S.A	Subsidiary	85.85
26	Phytex S.A.S	Subsidiary	85.85
27	Wockhardt Farmaceutica SA D	Subsidiary	85.85
28	Wockhardt Services SA DE CV	Subsidiary	85.85
29	Pinewood Healthcare Limited	Subsidiary	85.85
30	Wockhardt Bio (R)	Subsidiary	85.85
31	Wockhardt Bio Pty Ltd	Subsidiary	85.85
32	Wockhardt Bio Ltd	Subsidiary	0

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	250,000,000	110,781,153	110,781,153	110,781,153
Total amount of equity shares (in Rupees)	1,250,000,000	553,905,765	553,905,765	553,905,765

			-	
Νı	ım	her	of c	25565

Class of Shares	Authorised	Issued capital	Subscribed	Paid up capit	
Equity Shares	capital	To the last of	capital	ган ир сарк	
Number of equity shares	250,000,000	110,781,153	110,781,153	110,781,153	

Nominal value per share (in rupees)

5

5

Total amount of equity shares (in rupees)

1,250,000,000

110,781,133

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(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	2,000,000,000	0	0	0
Total amount of preference shares (in rupees)	10,000,000,000	0	0	0

Number of	C	ıasses
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_			_
11			
111			

Class of shares	Authorised	Issued	Subscribed		
Preference Shares	capital	capital	capital	Paid up capital	
Number of preference shares	2,000,000,000	0	0	0	
Nominal value per share (in rupees)	5	5	5	5	
Total amount of preference shares (in rupees)	10,000,000,000	0	0	0	

(c) Unclassified share capital

Particulars Particulars	Authorised Capital	
Total amount of unclassified shares	0	

(d) Break-up of paid-up share capital

Class of shares	Number of shares	Total nominal amount	Total Paid-up amount	Total premium
Equity shares				
At the beginning of the year	110,735,003	553,675,015	553,675,015	
Increase during the year	46,150	230,750	230,750	0
i. Pubic Issues	0	0	0	
ii. Rights issue	0	0	0	0
iii. Bonus issue	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0
v. ESOPs	46,150	230,750	230,750	0
vi. Sweat equity shares allotted	0	0	0	0
vii. Conversion of Preference share	0	0	0	0
viii. Conversion of Debentures	0	0	0	0
ix. GDRs/ADRs	0	0	0	0
x. Others, specify	0	0	0	
Nil Decrease during the year	0	0	0	0
i. Buy-back of shares	0	0	0	0
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0

iv. Others, specify	0	0	0	
Nil				
At the end of the year	110,781,153	553,905,765	553,905,765	
Preference shares	-			
At the beginning of the year	660,000,000	3,300,000,000	3,300,000,000	
Increase during the year	0	0	0	0
i. Issues of shares	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0
iii. Others, specify				
Nil	0	0	0	
Decrease during the year	660,000,000	3,300,000,000	3,300,000,000	0
i. Redemption of shares	660,000,000	3,300,000,000	3,300,000,000	
ii. Shares forfeited	0	0	0	0
iii. Reduction of share capital	0	0	0	0
iv. Others, specify	_			
Nil	0	0	0	
At the end of the year	0	0	0	

(ii) Details of stock split/consolidation during the year (for each class of shares)

Class o	f shares	(i)	(ii)	(iii)
Before split /	Number of shares			
Consolidation	Face value per share			
After split /	Number of shares			
Consolidation	Face value per share			

of the first return at	s/Debenture any time si	es Transfers s nce the incorp	ince clo poration	sure date or of the com	of last (pany)	finan *	cial ye	ear (or in the ca	ıse
Nil									
[Details being provi	ided in a CD/Di	gital Media]		○ Yes	•	No	\bigcirc	Not Applicable	
Separate sheet atta	ached for detai	s of transfers		Yes	\circ	No			
Note: In case list of transf Media may be shown.	fer exceeds 10,	option for submi	ssion as a	separate shee	t attach	ıment (or subm	ission in a CD/Digit	tal
Date of the previous	annual gener	al meeting	03/08/2	2020		1000			
Date of registration o	of transfer (Da	te Month Year)							
Type of transfer	r	1 - Ec	quity, 2- F	reference Sh	nares,3	- Del	penture	s, 4 - Stock	
Number of Shares/ D Units Transferred	Debentures/			mount per Sh ebenture/Uni		s.)			
Ledger Folio of Trans	sferor					142			
Transferor's Name					=				
	Surn	ame		middle name			f	irst name	
Ledger Folio of Trans	sferee								
Transferee's Name									
	Surn	ame		middle name				first name	
Date of registration o	f transfer (Da	te Month Year)							1 2:0
Type of transfer		1 - Ec	quity, 2- F	reference Sh	nares,3	- Del	penture	s, 4 - Stock	
Number of Shares/ D Units Transferred	ebentures/			nount per Sh ebenture/Uni		5.)			

Ledger Folio of Transferor					
Transferor's Name					
Su	rname	middle nai	me	first name	
Ledger Folio of Transferee					
Transferee's Name					
Su	irname	middle na	me	first name	
r) *Indebtedness including o	debentures (Out	standing as at t	he end of fin	ancial year)	
Particulars	Number of	f units Nomin unit	nal value per	Total value	
Non-convertible debentures		0	0	0	
Partly convertible debentures		0 0		0	
Fully convertible debentures		0 0		0	
Secured Loans (including interest outstanding/accrued but not due for	r		=	11,368,715,213	
payment) excluding deposits					
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits				4,760,833,183	
Unsecured Loans (including interes outstanding/accrued but not due for				4,760,833,183	
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits					
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits Deposit Total Details of debentures				0	
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits Deposit Total Details of debentures Class of debentures		Increase during the year	Decrease during year	0	
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits Deposit Total Details of debentures	Outstanding as at the beginning of the		I .	0 16,129,548,396 the Outstanding as at	
Unsecured Loans (including interest outstanding/accrued but not due for payment) excluding deposits Deposit Total Details of debentures Class of debentures	Outstanding as at the beginning of the year	year	year	0 16,129,548,396 the Outstanding as at the end of the year	

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnove	ver	OV	rnc	u		1)	(
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10,817,395,802.41

(ii) Net worth of the Company

11,229,662,357

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Category Equity			
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	906,091	0.82	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6,	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	70,295,957	63.45	0	

10.	Others Trust	8,693,294	7.85	0	
	Total	79,895,342	72.12	0	0

Total number of shareholders (promoters)

13

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equi	ty	Preference		
		Number of shares	Percentage	Number of shares	Percentage	
1,	Individual/Hindu Undivided Family					
	(i) Indian	23,713,818	21.41	0		
	(ii) Non-resident Indian (NRI)	1,280,428	1.16	0		
	(iii) Foreign national (other than NRI)	0	0	0		
2,	Government					
	(i) Central Government	0	0	0		
	(ii) State Government	0	0	0		
	(iii) Government companies	0	0	0		
3,	Insurance companies	1,475	0	0		
4.	Banks	840	0	0		
5.	Financial institutions	0	0	0		
6,	Foreign institutional investors	1,200	0	0		
7.	Mutual funds	15,326	0.01	0		
8.	Venture capital	0	0	0		
9.	Body corporate (not mentioned above)	909,128	0.82	0		
10.	Others Foreign Bank/Foreign Portf	4,963,596	4.48	0		
	Total	30,885,811	27.88	0	0	

12	25	4	7	5
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Total number of shareholders (Promoters+Public/ Other than promoters)

125,4	88	

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

3

Name of the FII		Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
TAIB BANK E.C.	ANZ GRINDLAYS BANK PLC CUSTC			300	0
JOHN GOVETT & CO L	THE HONGKONG AND SHANGHAI			600	0
GENERAL ELECTRIC PE	C/O DEUTSCHE BANK AG GROUNE			300	0

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS (Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	13	13
Members (other than promoters)	117,312	125,475
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category		lirectors at the g of the year		Number of directors at the end of the year		shares held by the end of year
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	3	1	3	1	0.8	0
B. Non-Promoter	0	6	0	6	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	6	0	6	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	1	0	1	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	8	3	8	0.8	0

13

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year: If any)
Dr. Habil Khorakiwala	00045608	Whole-time directo	459,451	
Dr. Huzaifa Khorakiwala	02191870	Whole-time directo	216,000	
Dr. Murtaza Khorakiwali	00102650	Managing Director	226,200	
Mr. Aman Mehta	00009364	Director	0	
Mr. D. S. Brar	00068502	Director	500	
Dr. Sanjaya Baru	05344208	Director	500	
Mr. Akhilesh Gupta	00359325	Director	0	
Mrs. Tasneem Mehta	05009664	Director	0	
Mr. Vinesh Kumar Jaira	00391684	Director	0	
Ms. Zahabiya Khorakiw	00102689	Director	0	
Mrs. Rima Marphatia	00444343	Nominee director	0	
Mr. Manas Datta	AADPD4184B	CFO	2,000	
Mr. Gajanand Sahu	AQXPS4420R	Company Secretar	5	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

Name	DIN/PAN	beginning / during	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. Baldev Raj Arora	00194168	Director	27/05/2020	Cessation
Mr. Akhilesh Gupta	00359325	Additional director	29/08/2020	Appointment
Mr. Narendra Singh	AOLPS3188L	Company Secretar	11/05/2020	Cessation

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held	1	

Type of meeting	Date of meeting	Total Number of Members entitled to	Att	endance
		attend meeting	Number of members % of total shareholding	
Annual General Meeting	03/08/2020	125,031	184	72.39

B. BOARD MEETINGS

*Number of meetings held 6

S. No.		Total Number of directors associated as on the date				
		of meeting	Number of directors attended	% of attendance		
1	11/05/2020	11	11	100		
2	09/06/2020	10	10	100		
3	03/08/2020	10	10	100		
4	29/08/2020	11	11	100		
5	02/11/2020	11	11	100		
6	29/01/2021	11	11	100		

C. COMMITTEE MEETINGS

Number of meetings held

26

S. No.	Type of meeting	Data of masting	Total Number of Members as	Attendance				
		Date of meeting	on the date of the meeting	Number of members attended	% of attendance			
1	Audit Committe	11/05/2020	6	6	100			
2	Audit Committe	29/08/2020	5	5	100			
3	Audit Committe	02/11/2020	6	6	100			
4	Audit Committe	29/01/2021	6	6	100			
5	Stakeholders F	11/05/2020	6	6	100			
6	Stakeholders F	29/08/2020	5	5	100			
7	Stakeholders F	02/11/2020	6	6	100			
8	Stakeholders F	29/01/2021	6	6	100			
9	NOMINATION	11/05/2020	4	4	100			
10	NOMINATION	29/08/2020	4	4	100			

D. *ATTENDANCE OF DIRECTORS

			Board Meetings		C	gs	Whether attended AGM	
S. No	of the director	Number of Meetings which director was	Meetings	% of attendance	Number of Meetings which director was	Meetings	% of attendance	held on
		entitled to attend	attended		entitled to attend	attended		
								(Y/N/NA)
1	Dr. Habil Khor	6	6	100	18	17	94.44	
2	Dr. Huzaifa Kh	6	6	100	13	13	100	
3	Dr. Murtaza K	6	6	100	14	13	92.86	
4	Mr. Aman Meh	6	6	100	12	12	100	
5	Mr. D. S. Brar	6	6	100	14	14	100	
6	Dr. Sanjaya B	6	6	100	10	10	100	
7	Mr. Akhilesh C	3	3	100	4	4	100	
8	Mrs. Tasneem	6	6	100	9	9	100	
9	Mr. Vinesh Ku	6	6	100	9	9	100	
10	Ms. Zahabiya	6	6	100	0	0	0	
11	Mrs. Rima Ma	6	6	100	0	0	0	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

1 411			

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

	S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Amount
1	1)	Dr. Habil Khorakiwa	Chairman	28,000,000	0	0	0	28,000,000
	2	Dr. Huzaifa Khoraki	Executive Direct	24,000,000	0	0	0	24,000,000
	3	Dr. Murtaza Khorak	Managing Direct	24,000,000	0	0	0	24,000,000
		Total		76,000,000	0	0	0	76,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount

S. No.	Name	Designation	Gross Sa	lary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Manas Datta	Chief Financial (40,232,3	306	0	912,000	0	41,144,306
2	Mr. Gajanand Sahu Company Secre		6,146,6	89	0	0	0	6,146,689
3	Mr. Narendra Singh	Company Secre	2,521,9	57	0	0	0	2,521,957
	Total		48,900,9	952	0	912,000	0	49,812,952
umber o	of other directors whose	remuneration deta	ils to be ent	ered			9	
S. No:	Name	Designation	Gross Sa	lary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Aman Mehta	Independent Dir	0		0	0	1,100,000	1,100,000
2	Mr. D. S. Brar	Independent Dir	0		0	0	1,600,000	1,600,000
3	Dr. Sanjaya Baru	Independent Dir	0		0	0	1,400,000	1,400,000
4	Mrs. Tasneem Meh	Independent Dir	0		0	0	1,500,000	1,500,000
5	Mr. Akhilesh Gupta	Independent Dir	0		0	0	700,000	700,000
6	Mr. Vinesh Kumar J	Independent Dir	0		0	0	1,500,000	1,500,000
7	Ms. Zahabiya Khora	Non Executive D	0		0	0	600,000	600,000
8	Mrs. Rima Marphati	Nominee Directo	0		0	0	600,000	600,000
9	Mr. Baldev Raj Aror	Independent Dir	0		0	0	300,000	300,000
	Total		0		0	0	9,300,000	9,300,000
A. Wh	ether the company has visions of the Compani	made compliances es Act, 2013 during	and disclos				○ No	
	ILS OF PENALTIES / F			OMPA	NY/DIRECTOR	s /officers 🖂	Nil	
Name o compan officers	f the concerned y/ directors/		Order	section	of the Act and n under which sed / punished	Details of penalty/ punishment	Details of appea including presen	

(B) DETAILS OF CO	MPOUNDING	OF OFFENCES	⊠ Nil				
Name of the company/ directors/ officers	Name of the c concerned Authority	Date of Ord	sec	me of the Act ar tion under whice ence committed	h offence	Amou Rupe	nt of compounding (in es)
XIII. Whether comp	lete list of sha	reholders, deben	ture holders	s has been end	losed as an attachn	nent	
○ Ye	s No						
(In case of 'No', subr	nit the details s	separately through	the method s	specified in inst	ruction kit)		
XIV. COMPLIANCE	OF SUB-SEC	ΓΙΟΝ (2) OF SECT	ION 92, IN C	CASE OF LIST	ED COMPANIES		
In case of a listed co more, details of comp						turnover of	Fifty Crore rupees or
Name		Mr. Virendra Bhat	t				
Whether associate	e or fellow	A:	ssociate C) Fellow			,
Certificate of pra-	ctice number	124					
I/We certify that: (a) The return states (b) Unless otherwise Act during the finance	expressly stat						d adequately. the provisions of the
			Declaratio	on			
I am Authorised by t	he Board of Di	rectors of the comp	any vide res	solution no	13	dated	09/02/2014
					panies Act, 2013 and een compiled with. I f	ا d the rules i	made thereunder
					rrect and complete a as per the original re		nation material to tained by the company.
2. All the requ	iired attachmei	nts have been com	pletely and le	egibly attached	to this form.		
					and 449 of the Com alse evidence respo		, 2013 which provide for
To be digitally sign	ed by						
Director							
DIN of the director		00102650					

To be digitally signed by	У			
Company Secretary				
Ocompany secretary in	practice			
Membership number	15791	Certificate of practice	number	
Attachments				List of attachments
1. List of share h	holders, debenture holders		Attach	Wockhardt Limited_MGT7_SHT.pdf
2. Approval lette	er for extension of AGM;		Attach	Committee meetings-Annual return.pdf Form No MGT-8_ wockhardt- 20-21-07-07-
3. Copy of MGT	-8;		Attach	Wockhardt Limited_MGT7_FII_FPI.pdf
4. Optional Attac	chement(s), if any		Attach	
		·		Remove attachment
Mo	odify	k Form	Prescrutiny	Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

				Wockhardt Limited Details in respect of shares held by or on behalf of the Flis/ FPIs.					
Sr. No	Name Of FII/FPI AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY	FII/FPI	Folio No/DP Id	Address DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX		Of Incorporation	Regn. No. with SEBI / RB		% of share ho
1	ETF	FPI	IN30016710159933	NO. 1142, FORT MUMBAI DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX	AAHTAS157H		INUSFP083219/NA	6617	0.0060
2	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-	FPI	IN30016710156689	NO. 1142, FORT MUMBAI JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR,	AACCD1506B		INUKFP032216/ NA	18775	0.0169
4	LENDABLE FUND OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP	FPI	IN30343810016687	PARADIGM B MINDSPACE, MALAD W, MUMBAI DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAATO2984K		INUSFP172617/CIRCULA INUSFP014216/NA	1460	0.0792
ς.	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	EDI	IN30343R10012152	IPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE. MALAD W. MUMBAI	AAATP5186E		INUSFP130016/CIRCULA	10253	0.0013
6	SUNSUPER SUPERANNUATION FUND	FPI	IN30016710096503	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAKTS6613F		INAUFP165017/NA	5928	0.0054
7	TAIB BANK E.C.	FII	W0055463	ANZ GRINDLAYS BANK PLC CUSTODIAL SERVICES 132 MINT RD, POST BOX 1175 MUMBAI	NA .		NA .	300	0.0003
8	UTAH STATE RETIREMENT SYSTEMS	FPI	IN30005410023614	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATU2901Q		IN-US-FP-2719-16/N.A.	1041	0.0009
9	COBRA INDIA (MAURITIUS) LIMITED	FPI	IN30152430029489	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25, MAHATMA GANDHI ROAD FORT, MUMBAI	AADCC4379A		INMUFP092216/.	196747	0.1776
10	ISHARES CORE MSCI EM IMI UCITS ETF	FPI	IN30005410105204	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AADC17836E		IN-IR-FP-0734-15/NA	93538	0.0844
11	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	FPI	IN30343810006451	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AABTB6669D		INAUFP233415/CIRCUL	2342	0.0021
12	JOHN GOVETT & CO LIMITED	FII	W0039727	THE HONGKONG AND SHANGHAI BANK ING CORP LTD. C/O SEC. DEPT 52/60 MAHATMA GANDHI ROAD POST BOX NO-128 BOMB	NA		NA	600	0.0005
13	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	FPI	IN30016710124393	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAJCM5945N		INIRFP033015/NA	16825	0.0152
14	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	FPI	IN30016710121383	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAUCS9527P		INIRFP026114/NA	3974	0.0036
15	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON- LENDING COMMON TRUST FUND	FPI	IN30016710055675	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAITS0342K		INUSFP022816/NA	18874	0.0170
16	V E C Indian Special Situations Master Fund Ltd	FPI	IN30009511995573	IL And FS Securities Services Ltd IL And FS House, Plot No 14 Raheja Vihar, Chandivili, Andheri E Mumbai	AAFCV0725P		INCYFP107015/ NA	23400	0.0211
17	VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	FPI	IN30016710150969	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX	AAGCV3937J		INUKFP043018/NA	284	0.0003
18	VANGUARD TOTAL WORLD STOCK INDEX FUND	FPI	IN30016710047358	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX	AABTV0279R		INUSFP067417/NA	15956	0.0144
19	ALASKA PERMANENT FUND	FPI	IN30016710021055	DEUTSCHE BANK AG DB HOUSE HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX	AADTA7800K		INUSFP117816/NA	16660	0.0150
20	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	FPI	IN30016710128022	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SUMANI MARG, P.O.BUX NO. 1142, FORT MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54	AAFCD1235L		INIRFP127115/NA	2154	0.0019
21	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	FPI	IN30005410097678	AND C-5S, BKC BANDRA - EAST, MUMBAI C/O DEUTSCHE BANK AG GROUND FLR, MHATRE PEN BLDG TULSI PIPE	AAECE9320Q		IN-US-FP-0240-18/NA	9984	0.0090
22	GENERAL ELECTRIC PENSION TRUST	FII	W0057566	C/O DEUTSCHE BANK AG GROUND FLK, MHATRE PEN BLDG TULSI PIPE ROAD DADAR (W) MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54	NA		NA.	300	0.0003
23	QIC INTERNATIONAL EQUITIES FUND	FPI	IN30005410084852	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-S4 AND C-S5, BKC BANDRA - EAST, MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-S4	AAATQ0265H		IN-AU-FP-0713-16/NA	3271	0.0030
24	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES	FPI	IN30005410009298	AND C-55, BKC BANDRA - EAST, MUMBAI DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX	AAATL3381R		IN-US-FP-1757-17/EC.C	4375	0.0039
25	LENDING FUND VANGUARD EMERGING MARKETS STOCK INDEX FUND. A SERIES OF	FPI	IN30016710047132	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI JPMORGAN CHASE BANN N.A. INDIA SUB CUSTODY 6th FLOOR,	AAHTS6002P		INUSFP022916/NA	11484	0.0104
26	VANGUARD EMERGING MARKETS STOCK INDEX FOND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL	FPI	IN30343810016654	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR,	AAATY0918K		INUSFP068617/CIRCULA	475809	0.4295
27	INTERNATIONAL STOCK MARKET INDEX TRUST WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT	FPI	IN30343810018480	PARADIGM B MINDSPACE, MALAD W, MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54	AACTV9551H		INUSFP022719/CIRCULA	18349	0.0166
28	DIMENSIONS GROUP INC.	FPI	IN30005410074905	AND C-55, BKC BANDRA - EAST, MUMBAI DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX	AABCW4402G		IN-US-FP-0317-16/NA	14169	0.0128
29	CITY OF NEW YORK GROUP TRUST EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF	FPI	IN30016710030167	NO. 1142, FORT MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54	AAATC8419K		INUSFP213516/NA	63334	0.0572
30	DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIDG)	FPI	IN30005410013410	AND C-55, BKC BANDRA - EAST, MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC - 11TH FLR, G BLOCK PLOT C-54	AACCD1644G		IN-US-FP-0314-16/N.A.	231282	0.2088
31	ISHARES MSCI EM SMALL CAP UCITS ETF JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS	FPI	IN30005410105132	AND C-SS, BKC BANDRA - EAST, MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-S4	AADCI3486J		IN-IR-FP-2571-16/NA	10264	0.0093
32	VALUE TRUST	FPI	IN30005410078971	AND C-SS, BKC BANDRA - EAST, MUMBAI	AAATJ9048H		IN-US-FP-0382-15/NA	4216	0.0038
33	SOCIETE GENERALE	FPI	IN30378610000836	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI	AABCS7484C		INFRFP020917/A.P.(DIR	766	0.0007
34	SPDR S AND P EMERGING MARKETS ETF	FPI	IN30016710031520	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAGTS4584D		INUSFP131416/NA	90869	0.0820
35	SPDR S AND P EMERGING MARKETS SMALL CAP ETF	FPI	IN30016710045319	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAHTS4805N		INUSFP131616/NA	8502	0.0077
36	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	FPI	IN30016710080770	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAJTS4147D		INUSFP023316/NA	36968	0.0334
37	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	FPI	IN30343810003257	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AABTV0442N		INUSFP101917/CIRCULA	494426	0.4463
38	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074841	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AABCW4401F		IN-US-FP-0216-16/NA	6164	0.0056
39	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	FPI	IN30016710160958	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAHTA600SC		INUSFP004020/NA	1407	0.0013
				BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1					
41	BNP PARIBAS ARBITRAGE CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM	FPI	IN30179910081740 IN30016710032725	NORTH AVENUE, MAKER MAXITY BKC, BANDRA EAST, MUMBAI DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAGFB5324G AAATC6038J		INFRFP085515/ NA INUSFP111015/NA	70316	0.0011
42	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	EDI	IN20016710049692	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAACC9040K		INUSFP083617/NA	46753	0.0422
42	DIMENSIONAL EMERGING MARKETS VALUE FUND	rni rni	IN30010710049093	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55. BKC BANDRA - EAST. MUMBAI	AACCD1578M		IN-US-FP-0337-16/N.A.	265080	0.2393
43	EMERGING MARKETS TARGETED VALUE PORTFOLIO	EDI	IN30005410100216	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAFCE2416E		IN-US-FP-0735-18/NA	6912	0.0062
45	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	FPI	IN30016710100587	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142 FORT MILMBAI	AAAAE2450P		INIREP027616/NA	19917	0.0180
				DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142 FORT MIJMRAI					
46	ELORIDA RETIREMENT SYSTEM - AOR CAPITAL MANAGEMENT LLC	FPI	IN30016710131681				INUSEP207315/NA	81221	0.0733
46	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC	FPI FPI	IN30016710131681 IN30005410112315	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAAJF0103H EXEMPTCATG		INUSFP207315/NA IN-US-FP-2878-16/ NA	81221 5142	0.0733
46 47 48	FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC INTERNATIONAL MONETARY FUND - MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	FPI FPI		CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - FAST, MUMBAI					
46 47 48	INTERNATIONAL MONETARY FUND - MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLEY ASIA (SINGAPORE) PTE.	FPI FPI FPI	IN30005410112315	CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54	EXEMPTCATG		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA	5142	0.0046
47 48 49	INTERNATIONAL MONETARY FUND - MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	FPI FPI FPI FPI	IN30005410112315 IN30005410080999	GTBANE N.A. CUSTODY SERVICES FEC. 11THER, G. GLOCK PLOT C54 AND C55, BEC ABONG. FLAST, MUMBOR. FLAST, FL	EXEMPTCATG AAATJ9049G		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA	5142 2843	0.0046
46 47 48 49 50	INTERNATIONAL MONETARY FUND - MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLEY ASIA BINGAPORE) PTE. NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX	FPI FPI FPI FPI FPI	IN30005410112315 IN30005410080999 IN30005410109192	OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C. 54 MD C-55, BEC. BADDA AST, MUMBBAI OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C. 54 MD C-55, BEC. BADDA EAST, MUMBBAI OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C. 54 MD C-55, BEC. BADDA EAST, MUMBBAI OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C. 54 MD C-55, BEC. BADDA EAST, MUMBBAI PLAN CAS, BEC. BADDA EAST, MUMBBAI PLAN CAS, BEC. BADDA EAST, MUMBBAI PLAN CHAST. BADDA EAST, MUMBBAI PLAN CHAST BADDA EAST, MUMBBAI PLAN CHAST BADDA EAST, MUMBBAI PLAN CHAST BADDA BADDA.	EXEMPTCATG AAATJ9049G AAECM3575F		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-SG-FP-0966-19/ NA	5142 2843 3687	0.0046 0.0026 0.0033
46 47 48 49 50 51	INTERNATIONAL MONETARY FUND. MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MOREAS STANLEY SAN (SINGLEODE) FTE. MOREAS STANLEY SAN (SINGLEODE) FTE. MORTHERN HISTO, TOLLICTIVE EMERGING MARKETS SMALL CAP INDIX FUND. NON LENDING.	FPI FPI FPI FPI FPI FPI FPI	IN30005410112315 IN30005410080999 IN30005410109192 IN30005410085015	OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C.54 MD C-55, BEC. BADDA AST, MIMBBAI OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C.54 MD C-55, BEC. BADDA EAST, MIMBBAI OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C.54 MD C-55, BEC. BADDA EAST, MIMBBAI OTBBANK AL CUSTODY SERVICES REC. 11TH R.R., G. BLOCK PLOT C.54 MD C-55, BEC. BADDA EAST, MIMBBAI JEMORGAN CHAST BANK AL AND AUGUSTODY 6th CLOCK, PLANDING AL CHAST BANK AL AND AUGUSTODY 6th CLOCK STANDARD CHAST BANK AL AND AUGUSTODY 6th CLOCK STANDARD CHAST BENK T.A. AND AUGUST CHAST STANDARD CHAST C	EXEMPTCATG AAATJ9049G AAECM3575F AACTN1585B		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-SG-FP-0966-19/ NA IN-US-FP-0952-15/NA	5142 2843 3687 30683	0.0046 0.0026 0.0033 0.0277
46 47 48 49 50 51 52	INTERNATIONAL MONETARY FUND. MANAGER A CHIN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLY ASIA SINGADORIE PET. NORTHERN THUST COLICITIVE EMERGING MARKETS SMALL CAP INDEX FUND. NON LIKONIG. BOW PERSONALVORSORGE DES KANTONS ZURICH OF A INTERNATIONAL CORE EQUITY FUND OF A INTERNATIONAL VECTOR EQUITY FUND	FPI FPI FPI FPI FPI FPI FPI FPI	IN30005410112315 IN30005410080999 IN30005410109192 IN30005410085015 IN30343810014327	OTBANN AL CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE, MADRA CAST, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE MADRA CAST, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE MADRA CAST, MUMBAN PROMOGRACH CAST, GARDA CAST, MUMBAN OTBAN CAST, GARDA CAST, GARDA CAST, GARDA CAST, GARDA CAST, STANDARD OTBAN CAST, GARDA CAST, GARDA CAST, GARDA CAST, GARDA CAST, GARDA CAST, STANDARD OTBAN CAST, GARDA	EXEMPTCATG AAATI9049G AAECM3575F AACTN15858 AACTB5009N		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-SG-FP-0966-19/ NA IN-US-FP-0952-15/NA INCHFP113016/CIRCULA	5142 2843 3687 30683 8022	0.0046 0.0026 0.0033 0.0277 0.0072
46 47 48 49 50 51 52 53	INTERNATIONAL MONETARY FUND - MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLEY ASIA ISINGAPORE PITE. NORTHERS TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND NO INTERNATIONAL CORE EQUITY FUND DEA INTERNATIONAL CORE EQUITY FUND.	FPI FPI FPI FPI FPI FPI FPI FPI	IN30005410112315 IN300054101080999 IN30005410109192 IN30005410085015 IN30343810014327 IN30152430049717	OTBANN AL CUSTODY SERVICES FEC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBRAC - AST, MUMBAN CITBANN AL CUSTODY SERVICES FEC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBRAC - LEST, MUMBAN CITBANN AR A CUSTODY SERVICES FEC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CAMBRAC - ACST, MUMBAN PHORICAGE CASTEL SERVICES AND C-55 MOD C-55, RICE CAMBRAC - LEST, MUMBAN PHORICAGE CASTEL BOAN AS CUSTODY 66 PLOCOR, PARADIGINA MINISTRATION AND MAN AS TO ASSOCIATE OF THE ASSOCIATION OF	EXEMPTCATG AAAT19049G AAECM3575F AACTN1585B AACTR5009N AABTD3438N		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-SG-FP-0966-19/ NA IN-US-PP-0952-15/NA INCHFP113016/CIRCULU INCAFP268915/ NA	5142 2843 3687 30683 8022 2891	0.0046 0.0026 0.0033 0.0277 0.0072
46 47 48 49 50 51 52 53 54	INTERNATIONAL MONETARY FUND - MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLEY ASIA ISINGAPORE PITE. NORTHERS TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND NOR LUNGO. BUK PERSONALVORSORGE DES KANTONS ZURICH DEA INTERNATIONAL CORE EQUITY FUND DEA METERNATIONAL VECTOR EQUITY FUND	FPI	IN30005410112315 IN300054101080999 IN30005410109192 IN30005410085015 IN30343810014327 IN30152430049717 IN30152430049709	CIRBAN HA. CUSTODY SERVICE SIFE: 11TH FIR. G. BLOCK PLOT C-54 MOD C-55, RICE AMBRAC - AST, MUMBAR CIRBANK HA. CUSTODY SERVICES FIFC: 11TH FIR. G. BLOCK PLOT C-54 MOD C-55, RICE AMBRAC - LEST, MUMBAR CIRBANK HA. CUSTODY SERVICES FIFC: 11TH FIR. G. BLOCK PLOT C-54 MOD C-55, RICE CAMBACA - LEST, MUMBAR PROMISED HAS BEEN SERVICES HAVE COMPARED HAVE COMPA	EXEMPTCATG AAAT:9049G AAE CM3575F AACTM3585B AACTM3509N AABTD3438N AABTD3438N AABTD3519Q		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-0966-19/ NA IN-US-FP-0952-15/NA INCHFP113016/CIRCULU INCAFP268915/ NA INCAFP268915/ NA	5142 2843 3687 30683 8022 2891	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026
446 47 48 48 48 49 550 551 552 553 555 566	INTERNATIONAL MONETARY FUND. HAMAGER A JOHN HANGOCK FUNDS HEMERGING MARKETS FUND MORGAN STANETY ASIA ISINGAPORE PITE. NORTHER TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND NON LINDON BUX PERSONALVORSORGE DES KANTONS ZURICH OFA INTERNATIONAL CORE EQUITY FUND OFA INTERNATIONAL VECTOR EQUITY FUND OFA INTERNATIONAL VECTOR EQUITY FUND OMMISSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	FPI	IN30005410112315 IN30005410080999 IN30005410080999 IN3000541008015 IN300541008015 IN30343810014327 IN30152430049717 IN30152430049709 IN30005410112036	CIRBAN HA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN CIRBANN HA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN CIRBANN HA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN CIRBANN HA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN FIR.R, C BLOCK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN STANDAGED CHARTE DAMAS SECURITIES SERVICES, 380 FLOOR 23-25 M.G. PLOD FORT, MUMBAN SERVICES	EXEMPTCATG AAAT:9049G AAECM3575F AACTN15858 AACT85009N AABT03438N AABT03519Q AADT06744K		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-066-19/ NA IN-US-FP-0952-15/NA IN-US-FP-0952-15/NA INCAFP268915/ NA INCAFP268915/ NA INCAFP268915/ NA INCAFP268915/ NA	5142 2843 3687 30683 8022 2891 2921 52	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026
446 447 448 449 449 550 551 552 553 553	INTERNATIONAL MONETARY FUND. MANAGER A JOHN HANCOCK FUNDS HEMERGING MARKETS FUND MORGAN STANLEY ASIA ISINGAPORE PITE. NORTHERS TRUST COLLECTIVE EMIRGING MARKETS SMALL CAP INDEX FAUND AND LIKEOME. BUX PERSONALVORSORGE DES KANTONS ZURICH DES METERNATIONAL CORE EQUITY FUND DIA METERNATIONAL CORE EQUITY FUND DIA METERNATIONAL CORE TOUTY FUND DIA METERNATIONAL VECTOR EQUITY FUND EMERGING MARKETS SMALL CAPITALIZATION EQUITY MORK FUND FUNDE SIMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO SFORS AMD PERMERGING SAD ANDICE ETF	FPI	IN30005410112315 IN30005410019212 IN30005410019192 IN30005410019192 IN30005410019192 IN300152430049717 IN30152430049717 IN30152430049717 IN30152430049717 IN30152430049717	CIRBANN AL CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MON C-55, BECRAMORA- LAST, MUMBAN CIRBANN KAL CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MON C-55, BECRAMORA- LAST, MUMBAN CIRBANN KAL CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MON C-55, BECRAMORA- LAST, MUMBAN CIRBANN KAL CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MON C-56, BECRAMORA- LAST, MUMBAN FIR.R, CHARLES FIR.R, CHARLES FIR.R, G BLOCK PLOT C-54 MONIFORM CONTROL FIR.R, CHARLES FIR.R, G BLOCK PLOT C-54 MON CONTROL FIR.R, CHARLES FIR.R, G BLOCK PLOT C-54 MON C-56, BECRAMORA CHARLES FIR.R, G BLOCK PLOT C-54 MON	EXEMPTCATG AAAT:9049G AAAC:49575F AACTHISSOSH AAGT03438N AABT03438N AABT03438N AABT03519Q AAADT06744K AAAACS365K		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-0666-19/ NA IN-US-FP-0852-15/NA INCHFP113016/CIRCUL/ INCAFP268915/ NA INCAFP268915/ NA INUS-FP-0827-20/ NA INUS-FP-0827-20/ NA INUS-FP-16217/CIRCUL/	5142 2843 3687 3683 8022 2891 2921 52 72312	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026 0.0000 0.0653
446 448 449 449 550 551 552 553 554 555 66	INTERNATIONAL MONETARY FUND. MAMAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANEY ASIA SINARGAPRIE PTE. NORTHERN HUST COLICITURE EMERGING MARKETS SMALL CAP INDEX FUND. NOUL LENDING. BUX PERSONALVORSORGE DES SANTONS ZURICH DES ANTERNATIONAL CORE COUNTY FUND DIFA INTERNATIONAL CORE COUNTY FUND DIFA INTERNATIONAL VICTOR EQUITY FUND DIFA INTERNATIONAL VICTOR EQUITY FUND DIMESSIONAL EMERGING CORE FUNTY FUND EMERGING MARKET SMALL CAPITALIZATION EQUITY MOSE FUND PUBLIC EMPLOYES ENTERMENT ASSOCIATION OF NEW MEDICO DES SAND EMERGING TO THE MARKET ETF. A WORRE MARKET SMALL CAPITALIZATION OF NEW MEDICO TO SAND EMERGING ASSOCIATION OF NEW MEDICO TO SAND EMERGING ASSOCIATION OF THE MEDICO TO SAND EMERGENCE ASSOCIATION OF THE MEDICO TO SAND EMERGING ASSOCIATION OF THE MEDICO TO SAND EMERGING OF THE MEDICAL SAND OF THE MEDICO TO	FPI	IN30005410112315 IN30005410019212 IN3000541009999 IN30005410019192 IN30005410085015 IN30034810014327 IN30152430049707 IN30152430049707 IN30152430049707 IN30152430049707 IN300348810017116 IN30014210743212	CIRBAN NA CUSTODY SERVICES FIFC: 11TH FIR.R, G BOOK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MIMMAN CIRBANN NA CUSTODY SERVICES FIFC: 11TH FIR.R, G BOOK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN CIRBANN NA CUSTODY SERVICES FIFC: 11TH FIR.R, G BOOK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN CIRBANN NA CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBORA. FAST, MUMBAN FIRMOGRAD CHOSE BANK TAX ROOK SIRE CUSTODY 6th FLOOR, FUNDING CHOSE BANK TAX ROOK SIRE CUSTODY 6th FLOOR, FUNDING CHOSE BANK TAX ROOK SIRE CUSTODY 6th FLOOR 23-25 M.G. ROOD FORT, MUMBAN STANDARD CHARTER DBANK SIC LUSTODY 6th FLOOR 23-25 M.G. ROOD FORT, MUMBAN STANDARD CHARTER DBANK SIC LUSTODY 6th FLOOR 23-25 M.G. ROOD FORT, MUMBAN SIT MODIFICATION SIRE CUSTODY 6th FLOOR 74 MOD C-55, RICE BANKS TAX ROOK SIRE CUSTODY 6th FLOOR, FLOOR SIRE SIRE SIRE SIRE CUSTODY 6th FLOOR, FLOOR SIRE SIRE SIRE SIRE SIRE SIRE SIRE SIR	EXEMPTCATG AAAT9049G AAECM3575F AACTH1586B AACT85009N AABT03438N AABT03438N AAAT0519Q AADT06744E AAAAT5365K AAAAT5970H		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-0666-19/ NA IN-US-FP-0852-15/NA IN-US-FP-0825-15/NA INCAFP13016/CIRCUL/ INCAFP268915/ NA INCAFP268915/ NA INUS-FP-0827-20/ NA INUS-FP-0827-20/ NA INUS-FP-0827-20/ NA INUS-FP-16217/CIRCUL/ INUS-FP-16615/A.P.(DIR	5142 2843 3687 30683 8022 2891 2921 52 77312	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026 0.0000 0.0653
146 147 148 149 150 151 152 153 154 155 155 157 157 158 158 158 158 158 158 158 158 158 158	INTERNATIONAL MONETARY FUND. MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLEY ASIA SINGADORE I PTE. MORGAN STANLEY ASIA SINGADORE I PTE. MORTHERN THUST COLLICTIVE EMERGING MARKETS SMALL CAP INDEX FUND. NON LENDING BMY RESONALYOBSORGE DES MATTONS ZURICH JOHN MERRATIONAL VOER EQUITY FUND DIMISSIONAL SHERGING CORE EQUITY FUND DIMISSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST EMERGING MARKETS SMALL CAPITALIZATION EQUITY RIGOX PUND RUBLIC EMPLOYEES SETIREMENT ASSOCIATION OF NEW MEXICO SPOR SAND EMERGING SAN ADRICK ETF. AN WORLD KULL SOOR EQUITY PROTFOLIO OF DIA NIVESTMENT	FPI FPI FPI FPI FPI FPI FPI FPI	IN3005410112315 IN3005410109999 IN3005410109192 IN3005410109192 IN3005410085015 IN30043810014327 IN30152430049717 IN30152430049709 IN30005410112036 IN30343810017116 IN30343810017116 IN30016710031513	OTBANN AL CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE, MADAIN ALTS, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE ABOAD ALTS, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE ABOAD NEW SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CAMPION ALTS, MUMBAN PROMOGRAN CHASE BOWN ALT NEW SERVICES FIR.R G BLOCK PLOT C-54 MOD C-55, RICE CAMPION ALTS, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CAMPION ALTS, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CAMPION ALTS, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CAMPION ALTS, MUMBAN OTBANK NA. CUSTODY SERVICES FIFC: 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CAMPION ALTS, MUMBAN NESSOCIOMENTE, WE HIGHWAY CORRECTOR LOST, MUMBAN NO. 1142, FORT MUMBAN NO. 1144, FO	EXEMPTCATG AAAT9049G AAECM3575F AACTH1585B AACT85009N AABT03438N AABT03438N AAA0T05719Q AA0T06744K AAAAT5565E AABT07419N AAGT54588E		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-1649-15/NA IN-US-FP-0966-19/ NA IN-US-FP-0952-15/NA INCHFP13016/CIRCULZ INCAFP268915/ NA INCAFP268915/ NA INUS-FP-0827-20/ NA INUS-FP-0827-20/ NA INUS-FP-16217/CIRCULZ INUS-FP-16515/A.P.(DIR INUS-FP-16515/A.P.(DIR INUS-FP-16515/A.P.(DIR INUS-FP-16515/A.P.(DIR INUS-FP-16515/A.P.(DIR INUS-FP-16515/A.P.(DIR	5142 2843 3687 30683 8022 2891 2921 52 77312 1757 29130	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026 0.0000 0.0653 0.0016
146 147 148 148 149 149 150 151 152 153 153 155 155 155 155 155 155 155 155	INTERNATIONAL MONETARY FUND. MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MOREAUS STANLEY SAG ISSINGADORS I PTE. MOREAUS STANLEY SAG ISSINGADORS I PTE. MORTHERIN TRIST, COLLICTIVE EMERGING MARKETS SMALL CAP INDEX FUND. NON LENDING. BRY RESONALVORSORGE DES EARTONS ZURICH DEA INTERNATIONAL CORE EQUITY FUND OF ANTERNATIONAL VICTOR FOUNTY FUND OMERSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO SPORS SIND EMERGING ASSA ADGIC ETF. A WORLD ELV. SONE EQUITY PORTIFOLIO OF DEA INVESTMENT DIMENSIONS GROUP INC.	FPI FPI FPI FPI FPI FPI FPI FPI	IN3005410112315 IN30005410010999 IN30005410109192 IN30005410109192 IN30005410085015 IN3004831001427 IN30152430049719 IN30015410112016 IN3004810017116 IN30014210743212 IN30016710031513 IN30005410025384	CIRBANN AL CUSTON SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE, MADRA CAST, MUMBAN CIRBANN AL CUSTON SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE ABOARD - ALST, MUMBAN CIRBANN AL CUSTON SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE ABOARD - ALST, MUMBAN PHOMICARY CAST, SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE CABANDA - LAST, MUMBAN PHOMICARY CAST, SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE ABOARD - ALST, MUMBAN PHOMICARY CAST, SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE ABOARD - ALST, MUMBAN PHOMICARY CAST, SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE ABOARD - ALST, MUMBAN PHOMICARY CAST, SERVICES FEC. 11TH FLR. G. BLOCK PLOT C-54 MOD C-55, RICE ABOARD - ALST, MUMBAN MOD C-55, RICE	EXEMPTCATG AAAT39049G AAECM3575F AACTN1585B AACTN1585B AACT85000H AABT03438H AABT03438H AABT05744K AAAAT3565E AAAT3655E AAAT55958E AACT9049F		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-0866-19/ NA IN-US-FP-0852-15/NA IN-US-FP-0852-15/NA IN-US-FP-08515/ NA IN-US-FP-0872-20/ NA IN-US-FP-0872-720/ NA	5142 2843 3687 30683 8022 2891 2921 52 772312 21757 29130	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026 0.0000 0.0653 0.0016 0.0263 0.0120
448 449 550 555 555 556 557 558 559 560 561	INTERNATIONAL MONETARY FUND. MANNAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLEY SAG ISSINGAPOREI PET. MORTHERN HIRST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND. NON LINDING. BOY REFRONALYDISCORE DES MATTONS ZURICH OF A INTERNATIONAL CORE EQUITY FUND DAMISSIONAL SHERGING CORE EQUITY FUND DAMISSIONAL SHERGING CORE EQUITY MARKET ETF OF DIMENSIONAL EFF TRUST EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND VARIOUS EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO SPOR S.M DE PLANFROING ASIA ADDITION TO PLAN MEXICO SPOR S.M DE PLANFROING ASIA ADDITION TO PLAN MEXICO LAUFDRING STATE TAGERS RETIREMENT SYSTEM - AGR CAPITAL MANAGEMENT, LLC 1 CITY OF LOS ANGELES IRE AND POLICE PENSION R AN LERSONNO MARKETS SMALL CAPITALIZATION FQUITY INDEX FUNDS CALLEDONAL STATE TAGERS RETIREMENT SYSTEM - AGR CAPITAL MANAGEMENT, LLC 1 CITY OF LOS ANGELES IRE AND POLICE PENSION R AN	FPI FPI FPI FPI FPI FPI FPI FPI	IN300541012315 IN300054101080999 IN30005410109192 IN30005410109192 IN30005410085015 IN300343810014327 IN30152430049717 IN30152430049719 IN30152430049719 IN30152430049719 IN30152430049719 IN30152430049715 IN30016710112036	CIRBAN HA. CUSTODY SERVICE FIFC. 11TH FIR.R, G BOOK PLOT C-54 MOD C-55, RICE AMBORA - EAST, MUMBARI CIRBANK HA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BOOK PLOT C-54 MOD C-55, RICE AMBORA - LAST, MUMBARI CIRBANK HA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CARROLA - LAST, MUMBARI PARMOCAS HARD SERVICES HARD HARD SERVICES FIRE CLOTH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CARRODA - LAST, MUMBARI PARMOGRAD HASSE BANK HARD HARD SERVICES, 38D FLOOR 23-25 MAD C-55, RICE CARRODA - LAST, MUMBARI STANDARDO CHARTER DAMES SECURITIES SERVICES, 38D FLOOR 23-25 M.G. ROLD FORT, MUMBARI STANDARDO CHARTER DAMES SECURITIES SERVICES, 38D FLOOR 23-25 M.G. ROLD FORT, MUMBARI STANDARDO CHARTER DAMES SECURITIES SERVICES, 38D FLOOR 23-25 M.G. ROLD FORT, MUMBARI STANDARDO CHARTER DAMES SECURITIES SERVICES, 38D FLOOR 23-25 M.G. ROLD FORT, MUMBARI SERVICES SERVICES SERVICES SERVICES SERVICES, 38D FLOOR 23-25 M.G. ROLD FORT, MUMBARI SERVICES SER	EXEMPTCATG AAAT:9049G AAECM3575F AACTNISSSB AACTNISSSB AACTSS009N AABTD3438N AABTD3438N AABTD3438N AABTD3438N AABTD3519Q AADTD6744K AAAAES365K AABTP6710H AAGTS483E AACT9049F AAFFC0559D		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-0966-19/ NA IN-US-FP-0952-15/NA IN-US-FP-0952-15/NA IN-US-FP-0859-15/ NA IN-US-FP-0872-20/ NA IN-US-FP-0872-720/ NA	5142 2843 3667 30683 8022 2891 2921 52 72312 1757 29130 11260 207380 5232	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026 0.0000 0.0653 0.0016 0.0263 0.0120 0.1872
448 449 449 550 551 552 553 554 555 56 56 57 56 56 56 56 56 56 56 56 56 56 56 56 56	INTERNATIONAL MONETARY FUND. MANAGER A JOIN HANCOCK FUNDS II EMERGING MARKETS FUND MODERAL STAILLY AGA ISSINGAPORE IPTE. NORTHERN THUS! GOLLICTIVE (EMIGRING MARKETS SMALL CAP INDEX FUND. HON LENGING BUX PERSONALVORSORGE DES FANTONS ZURICH DEA INTERNATIONAL CORE EQUITY FUND DEA METERNATIONAL VECTOR EQUITY FUND DEMERSIONAL BURGERICH CORE EQUITY FUND ENDERSSIONAL BURGERICH CORE EQUITY FUND ENDERSSIONAL BURGERICH CORE EQUITY FUND DEMERSIONAL BURGERICH CORE EQUITY MARKET ETF OF DIMENSIONAL LET TRUST ENERGING MARKETS SMALL CAPITALIZATION EQUITY NIDEX FUND PUBLIC EMPLOYEE'S RETIREMENT ASSOCIATION OF NEW MEDICO SPOR S AND P EMERGING ASSA PAGIFIC ETF T. A WORLD TO U.S. CORE EQUITY PORTFOLIO OF DEA INVESTMENT CARPORNA STAIL TEACHERS RETIREMENT SISTEM - AGR CAPITAL MANAGEMENT, LI. CITY OF LOS ANGELES FIRE AND POLICE PERSION PLAN	FP1 FP1 FP1 FP1 FP1 FP1 FP1 FP1	IN300541012315 IN300054101080999 IN30005410109192 IN30005410109192 IN30005410019192 IN30005410085015 IN30343810014327 IN30152430049717 IN30152430049719 IN3005410017116 IN30016210017116 IN3001621001513 IN30005410025384 IN30016710161102 IN3000541002536	CIRBAN N.A. CUSTODY SERVICES FIFC. 11TH FIR.R. G. BLOCK PLOT C-54 MOD C-55, RICE AMBRAC - AST, MUMBAR CIRBAN N.A. CUSTODY SERVICES FIFC. 11TH FIR.R. G. BLOCK PLOT C-54 MOD C-55, RICE ABBACA. LAST, MUMBAR CIRBAN N.A. CUSTODY SERVICES FIFC. 11TH FIR.R. G. BLOCK PLOT C-54 MOD C-55, RICE ABBACA. AST, MUMBAR PROMISSAN CHARLES AND MAN SERVICES FIFC. 11TH FIR.R. G. BLOCK PLOT C-54 MOD C-55, RICE CAMBRAC - AST, MUMBAR PROMISSAN CHARLES BANK SECURITIS SERVICES, 38D FLOOR 23-25 MCB. BLOCK PLOT C-54 MCB. C-55, RICE ABBACA. SEA, MUMBAR PROMISSAN CHARLES BANK SECURITIS SERVICES, 38D FLOOR 23-25 MCB. BLOCK PLOT C-54 MCB. C-55, RICE ABBACA. AST, MUMBAR PROMISSAN CHARLES SERVICES SERVICES SERVICES, SERV	EXEMPTCATG AAAT:9049G AAECM3875F AACTNIS8SB AACT85009N AABT03438N AABT03438N AABT03438N AABT05748K AAAF5919Q AADT0674K AAAF5919C AACT06745K AAAF5910H AAGT54583E AACCT9049F AAFC05590 AABTC0471C		IN-US-FP-2878-16/ NA IN-US-FP-1669-15/NA IN-US-FP-1669-15/NA IN-US-FP-0652-15/NA IN-US-FP-0652-15/NA IN-US-FP-0652-15/NA IN-US-FP-0652-16/NA IN-US-FP-0652-16/NA IN-US-FP-0652-16/NA IN-US-FP-0652-16/NA IN-US-FP-0652-16/NA IN-US-FP-0652-14/NA IN-US-FP-0652-14/NA IN-US-FP-0652-14/NA IN-US-FP-0652-14/NA IN-US-FP-0652-14/NA	5142 2843 3667 30683 8022 2891 2921 52 72312 1757 29130 11260 207380 5232	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026 0.0026 0.0000 0.0653 0.0016 0.0263 0.0120 0.1872
466 447 448 448 449 449 550 551 552 553 554 555 566 57 568 560 661	INTERNATIONAL MONETARY FUND. MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANEY ASIA SINARGAPRIE PTE. NORTHERN HUST COLICTURE EMERGING MARKETS SMALL CAP INDOX FUND. NOULLENOME. BUX PERSONALVORSORGE DES SANTONS ZURICH OFA INTERNATIONAL CORE COUNTY FUND DIFA INTERNATIONAL CORE COUNTY FUND DIFA INTERNATIONAL VICTOR EQUITY FUND DIFA INTERNATIONAL VICTOR EQUITY FUND DIMESSIONAL EMERGING CORE FOUNT MARKET ETF OF DIMENSIONAL ELET TRUST EMERGING MARKETS SMALL CAPITALIZATION EQUITY MOEX FUND PUBLIC EMPLOYES RETIREMENT ASSOCIATION OF NEW MEDICO TO SAND DE INTERNATION ASSOCIATION OF NEW MEDICO CALIFORNA STATE TRACHERS RETIREMENT SYSTEM - AGR CAPITAL CHY OF LOS ANGELES FIRE AND POLICE PENSION FLAN EMERGING MARKETS SMALL CAPITALIZATION EQUITY NOEX FUND B.	591 591 591 591 591 591 591 591 591 591	IN300541012315 IN30005410080999 IN30005410109192 IN3000541009192 IN30005410085015 IN30343810014327 IN30152430049709 IN3005410017016 IN30343810017116 IN30343810017116 IN30343810017116 IN30343810017116 IN30343810016710031533	CIRBAN HA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBORA - EAST, MUMBARI CIRBANK HA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE AMBORA - LEAST, MUMBARI CIRBANK HA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CAMBORA - LEAST, MUMBARI PROMOGRAN CHASE FIR.M. SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI PROMOGRAN CHASE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI PROMOGRAN CHASE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI PROMOGRAN CHASE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI PROMOGRAN CHASE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI PROMOGRAN CHASE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.C. SCLOPPIECE, WILL FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.C. SCLOPPIECE, WILL FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.C. SCLOPPIECE, WILL FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.C. SCLOPPIECE, WILL FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.M. SCLOPPIECE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.M. SCLOPPIECE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.M. SCLOPPIECE FIR.M. SERVICES FIR.C. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.M. ALC USTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.M. ALC USTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEAST, MUMBARI FIR.M. ALC USTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BIC CAMBORA - LEA	EXEMPICATG AAAT:9049G AAACM3575F AACTNIS858 AACTNIS858 AACT8509N AABT03438N AABT03438N AAABT0349Q AADT06744K AAAAF3557K AAAAF3657K AAAAF3675N AAAF75049F AAFC05590 ABBT00471C AAAAC3543M		IN-US-FP-2878-16/ NA IN-US-FP-1669-15/NA IN-US-FP-1669-15/NA IN-US-FP-0952-15/NA IN-US-FP-0952-15/NA IN-US-FP-0952-15/NA IN-US-FP-0827-20/ NA IN-US-FP-0827-20/ NA IN-US-FP-0827-20/ NA IN-US-FP-0827-20/ NA IN-US-FP-0827-20/ NA IN-US-FP-0952-14/NA	5142 2843 3667 30683 8022 2891 2921 52 72312 1757 29130 13260 207380 5232 7518	0.0046 0.0026 0.0033 0.0277 0.0072 0.0026 0.0026 0.0026 0.0026 0.0000 0.0653 0.0016 0.0263 0.0120 0.1872 0.0047
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166 167 188 189 199 160 161 162 163 163 164 165 165 165 165 165 165 165 165	INTERNATIONAL MONETARY FUND. MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MOREMA STANLEY SAG ISSINGAPORES IPTE. MOREMA STANLEY SAG ISSINGAPORES IPTE. MOREMAN STANLEY SAG ISSINGAPORES IPTE. BOYR RESONALVORSORGE DES MARTONS ZURCH OF A INTERNATIONAL COLETT E ENERGING MARKETS SMALL CAP INDICK FUND. NON LENDING. BOYR RESONALVORSORGE DES MARTONS ZURCH OF A INTERNATIONAL VICTOR EQUITY FUND COMPSIONAL INFERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETT TRUST EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX PUND FUNDES DE MARTON SAG INCHESTION FUND FUND FUNDER PUND SPOR SAM DE PEMERGING ASSA ADCIR CITE LA WORLD FULL OCHE GUNTY PORTÍCULO DE DEA INVESTMENT DAMESIONES GROUP INC. CULTO FLOS ANGELES RETREMENT ASSOCIATION OF THE DIMENSIONAL ENTERGING MARKETS SAMAL CAPITALIZATION EQUITY NICES FUND SHARES IN MARKETS SAMAL CAPITALIZATION EQUITY NICES FUND SHARES IN MARKETS SAMAL CAPITALIZATION EQUITY NICES FUND SHARES IN MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL SHARES IN MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL SHARES IN MARKETS TARGETED VALUE FUND SHARES IN MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL SHARES INFORMATION COMMANY MARKET SHARE SHARE SAG IN THE STREET OF THE OR INVESTMENT TIMES COMMANY MARKET SHARE SHARE SAG IN STREET SOFT THE OR INVESTMENT THUST COMMANY MARKET SHARE SALL VORTE OF SUTTING THE DIMENSIONAL THE MARKET METHALTONAL SHALL CAPITAL FUND RESTRUTIONAL TOTAL MA	FPI	IN30005410112315 IN30005410109999 IN30005410109192 IN30005410109192 IN30005410109192 IN30005410109192 IN3005410109192 IN3005410112016 IN300153430049717 IN30152430049717 IN30152430049717 IN30152430049717 IN30015410112016 IN30016410743212 IN30016710161102 IN30005410015313 IN30005410015313 IN30005410015313 IN30005410015313 IN30005410015313 IN3000541004533 IN30016710100511 IN30016710041027 IN30016710041022 IN30016710041022 IN30016710102368 IN30016710142985 IN30016710142985	GIRBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ACEST, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, MUMBAN FIRM AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, TAMURSAN FIRM AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, TAMURSAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, TAMURSAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-55, RICE, BANDA. ALSA, MUMBAN RICE, GLOCK PLOT, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-56, RICE, BANDA. ALSA, MUMBAN RICE, GLOCK PLOT, CANADA CONTRACT, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON CONTRACT, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON CONTRACT, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-56, RICE, BANDA CAS, MUMBAN CITBANN AL CUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-56, RICE, BANDA CAS, CHARLES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-56, RICE, BANDA CAS, CHARLES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-56, RICE, BANDA CAS, CHARLES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-56, RICE, BANDA CAS, CHARLES FIFC. 11THER, G. BLOCK PLOT C-54 MON C-56, RICE, BANDA CAS, CHARLES FIFC. 11THER, G. BLOCK PLOT C-56 CHARNA NA CLUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-56 ROAD C-56, RICE, BANDA CAS, CHARLES MAN, SOMMAN MARG, P.O. BOX NO. 1142, PORT MUMBAN CITBANN NA CLUSTODY SERVICES FIFC. 11THER, G. BLOCK PLOT C-56 ROAD C-56, RICE, BANDA CAS, CHARLES MAN, SO	EREMPTCATG AAAT19049G AAECM3575F AACTH15868 AACTH5089N AART03438N AART03438N AAART03519Q AADT05744E AAACT5565K AAACT5049F AACT5049F AAFC0558D AAACT040F AAACT07067E AAAT07766B AAAT07768G AAAT07768G AADT07181A AAAT07768G		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-1649-15/NA IN-US-FP-0965-19/ NA IN-US-FP-0965-15/NA IN-US-FP-0965-15/NA IN-US-FP-0927-20/ NA IN-US-FP-0927	5142 2843 3687 30683 8022 2891 2921 52 72312 1757 29130 11360 207380 5232 7518 11454 60664 7087 4111 5297 169050 25734	0.0046 0.0026 0.0033 0.0277 0.0072 0.0072 0.00026 0.0027 0.00048 0.1526 0.00232 0.1181
466 47 48 48 48 49 49 551 552 553 554 555 56 66 67 666 77 77 77 77 77	INTERNATIONAL MONETARY FUND. MANAGER A CHIN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLY ASIA SINGADORIS PET. MORTHERN TRUST COLLICTIVE EMERGING MARKETS SMALL CAP INDEX FUND. NON LIKROING. BOW RESONALVOSSORIGE DES FANTONS ZURICH DO ANTERNATIONAL COLLICTIVE EMERGING MARKETS SMALL CAP INDEX FUND. FOR METERNATIONAL OFFICE REQUITY FUND DIMENSIONAL PRESCRICK CORE EQUITY FUND DIMENSIONAL EMERGING CORE EQUITY WARKET EFF OF DIMENSIONAL ETF TRUST LIMENGING MARKETS SMALL CAPITALIZATION EQUITY RIOK FUND PRUBLE BRIPPOYETS RETREMENT ASSOCIATION OF NEW MERICO SPOR SAND PEMERGING ASSA RACITIC ETF. AU ROIND DUS LOGE EQUITY PROTECTION OF DEA INVESTMENT DIMENSIONS GROUP INC. CALEFORMA SIZE TITUSERES RETREMENT SYSTEM - AQIC CAPITA MANAGEMENT, LLC TOTO FUS A MARKETS SMALL CAPITALIZATION EQUITY RIOCK FUND B EMERGING MARKETS SMALL CAPITALIZATION EQUITY RIOCK PUND B SHARESHOMA SCHAUSTER STRUMENT TRUST HO SA EMERGING MARKETS SMALL CAPITALIZATION EQUITY RIOCK PUND B SHARESHOMA SCHAUSTER STRUMENT TRUST HO SA EMERGING MARKETS SMALL CAPITALIZATION EQUITY RIOCK PUND B SHARESHOMA SCHAUSTER STRUMENT TRUST HO SA EMERGING MARKETS SMALL CAPITALIZATION EQUITY RIOCK PUND B SHARESHOMA SCHAUSTER STRUMENT TRUST HO SA EMERGING MARKETS SMALL CAPITALIZATION EQUITY RIOCK PUND B CHEMINATIONAL SMALL CAPITALIZATION EQUITY RIOCK PUND B CHEMINATIONAL STRUMENT COMMANY THAT STRUMENT COMMANY THE ST	EPI EPI EPI EPI EPI EPI EPI EPI	IN30005410112315 IN30005410109999 IN30005410109192 IN30005410109192 IN30005410109192 IN30005410109192 IN300541001901 IN300152430049717 IN30152430049717 IN30152430049717 IN30152430049717 IN30015210112016 IN30014210743212 IN30015710015113 IN30005410015131 IN30005410015131 IN30005410015131 IN3000541001511 IN30005410015131 IN3000541001511 IN30005410013284 IN3001571001013284 IN300157100123284	CIRBANN AL CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE MADRIA CAST, MUMBAR CIRBANN AL CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CIRBANN AL CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR PROMOGRAN CHASE BANK ALL RICE SERVICES FIR. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, RICE CHANGE ALL RICE SERVICES FIR.R. 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR TISTAMORIC CHAST FIR.R. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CIRBANN AL CUSTODY SERVICES FIR.C 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR TISTAMORIC CHAST FIR.R. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR TISTAMORIC CHAST FIR.R. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR TISTAMORIC CHAST FIR.R. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR TISTAMORIC CHAST FIR.R. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR RICE SCLUTIFIES SERVICES, SIDE FIR.R. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR RICE SCLUTIFIES SERVICES, SIDE FIR.R. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR RICE SCLUTIFIES SERVICES SIDE SERVICES SIDE CHAST. 15TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CITRAMN NA AL CUSTODY SERVICES FIFC. 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CITRAMN NA AL CUSTODY SERVICES FIFC. 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CITRAMN NA AL CUSTODY SERVICES FIFC. 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CITRAMN NA AL CUSTODY SERVICES FIFC. 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CITRAMN NA AL CUSTODY SERVICES FIFC. 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CITRAMN NA AL CUSTODY SERVICES FIFC. 11TH FIR.R. G BLOCK PLOT C-54 MOD C-55, RICE MADRIA - LAST, MUMBAR CITRAMN NA AL CUSTODY SERVICES FIFC. 11TH FIR.R.	EREMPTCATG AAAT19049G AAECM3575F AACTH1586B AACTH1586B AACT8009N AABT0343BN AABT0343BN AAAGT05319Q AADT06744K AAAAC5365K AAAAT59659G AACT9049F AACT19049F AACT19049F AACT19049F AAAT07578G AAAT07786G AAAT07786G AAAT07158G		IN-US-FP-2878-16/ NA IN-US-FP-1649-15/NA IN-US-FP-1649-15/NA IN-US-FP-0852-15/NA IN-US-FP-0852-15/NA IN-US-FP-0852-15/NA IN-US-FP-0822-20/ NA IN-US-FP-0822-20/ NA IN-US-FP-0822-20/ NA IN-US-FP-0822-20/ NA IN-US-FP-0825-16/NA I	\$142 2843 3687 30683 8022 2891 52 272312 1757 29110 11260 207380 5232 77518 13454 60664 7087 4111 5297 1109050 22774 11083 81908	0.0046 0.0026 0.0033 0.0277 0.0072 0.0072 0.0026 0.0027 0.0068 0.0121 0.0048 0.0027 0.0048 0.0032 0.0048 0.0032 0.0048
466 477 488 499 550 551 552 553 554 5555 660 661 662 663 666 667 668 669 770 771 772	INTERNATIONAL MONETARY FUND. MANAGER A JOHN HANCOCK FUNDS II EMERGING MARKETS FUND MORGAN STANLEY ASIA SINGADORE I PTE. MORGAN STANLEY ASIA SINGADORE I PTE. MORTHERN THUST COLLICTIVE EMERGING MARKETS SMALL CAP INDEX FUND. NON LENDING. BOYR PERSONALYOSSORGE DES KANTONS ZURICH JOHN RESNATIONAL VICTOR EQUITY FUND DIMISSIONAL SHERGING CORE EQUITY WIND DIMISSIONAL SHERGING CORE EQUITY WIND DIMISSIONAL SHERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST EMERGING MARKETS SMALL CAPITALIZATION EQUITY RIVEX FUND SPOR SAND EMERGING ASIA ADRICK ETF A. WORLD EVEN CORE EQUITY PORTFOLIO OF PAR INVESTMENT DIMESSIONS (ROUD INC. LAUFORMAN STAT HAGER'S RTIREMENT SYSTEM - AQIC CAPITAL MANAGEMENT, LIC. EMERGING MARKETS SMALL CAPITALIZATION EQUITY MORE FUND INC. LAUFORMAN STAT HAGER'S RTIREMENT SYSTEM - AQIC CAPITAL MANAGEMENT, LIC. EMERGING MARKETS STANLE CAPITALIZATION EQUITY MORE FUND INC. SHARES INDIA SC MAURITUS COMPANY SHARES INDIA SC MAURITUS COMPANY SHARES INDIA SC MAURITUS COMPANY QUEENSLAND RIVESTMENT TRUST IN Q 2 SE MERGING MARKETS SMALL CAPITALIZATION EQUITY NORE FUND INC. THAT STREET GORAL ALL CAPITALIZATION EQUITY FUND VANCUARDAN ON MARKET SMALL CAPITALIZATION EQUITY ENDER FORTIOUD THE DIRECTOR MARKET SMALL CAPITALIZATION EQUITY ENDER FORTIOUD VANCUARDAN ON MARKET SMALL CAPITALIZATION EQUITY ENDER FORTIOUD VANCUARDAN ON MARKET SMALL CAPITALIZATION EQUITY ENDER FUNDAL TOTAL REPRESENTED AND SAME STANLE CAPITALITY ENDER FORTIOUD VANCUARDAN ON MARKET SMALL CAPITALITY ENDER STATES OF THE DRA INVESTMENT THAS COMPANY VANCUARDAN ON MARKET SMALL CAPITALITY ENDER STATES OF THE DRA INVESTMENT THAS COMPANY VANCUARDAN ON MARKET SMALL CAPITALITY ENDER STATES OF THE DRA INVESTMENT THAS COMPANY VANCUARDAN ON MARKET SMALL CAPITALITY WORD EN SI INVESTARE MARKET HORDER HARDON HAND HAND HAND HAND HAND HAND HAND HAN	EPI EPI EPI EPI EPI EPI EPI EPI	IN30005410012215 IN30005410010999 IN30005410109192 IN30005410085015 IN30043810014327 IN30152430049779 IN3005410011206 IN30014210743212 IN30014210743212 IN3001541003513 IN300541001571015102 IN300541001571015284 IN3005410013268 IN3005410013268 IN3005410013268 IN3005410013268 IN3005410013268 IN3005410013268 IN3005410013268 IN3005410013268 IN3005410013268 IN3005410013269 IN3005410015710059172	CIRBANN AL CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN CIRBANN KA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN CIRBANN KA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN PROMORAN CHASE BANK KA. MIND SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN PROMORAN CHASE BANK KA. MIND SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN CIRBANN KA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MO, GLOCK PLOT MUMBAN CIRBANN KA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MO, GLOCK PLOT MUMBAN CIRBANN KA. CUSTODY SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN FIRST SCHOOL PLOT SERVICES FIFC. 11TH FIR.R, G BLOCK PLOT C-54 MOD C-55, BICK BANKA CAST, MUMBAN	EXEMPTCATG AAAT19049G AAACT9049G AACCHS\$75F AACTHS\$85B AACT8585B AAGT85009N AABT03438N AABT03438N AAABT03438N AAAGT96710H AAGT54588E AACT96744K AAACT96747C AAAT54588E AACCT9049F AAFC05590 AABTC0471C AAAT64586SAM AAACT9674B AAAT706768L AAAT700268L AAAT700268L AAAT70756G AAAT7746453E AAAT70756G AAAT7746453E AAAT7746453E AAAT7746453E AAAT77746AC AABTV0979C AABTV0979C AABTV0979C AABTV0979C AABTV0979C		IN-US-FP-2878-16/ NA IN-US-FP-1669-15/NA IN-US-FP-0669-19/ NA IN-US-FP-0669-19/ NA IN-US-FP-0669-19/ NA IN-US-FP-0669-19/ NA IN-US-FP-0689-19/ NA IN-US-FP-0829-720/ NA IN-US-FP	\$142 2843 3687 30683 8022 2891 52 272312 1757 29110 11260 207380 5232 77518 13454 60664 7087 4111 5297 1109050 22774 11083 81908	0.0046 0.0026 0.0033 0.0277 0.0072 0.0072 0.0072 0.00653 0.0016 0.0263 0.0120 0.0047 0.0068 0.0121 0.0068 0.0121 0.0064 0.0037 0.0064 0.0037 0.0068 0.0121 0.0068

Wockhardt Limited Details of shares/de						Closure Date :	31-03-2020	
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
16/04/2020 16/04/2020	1 1	150 600	000005 000005	W0000137 W0000256	MR K K PUJARI K V RAJU		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	400	000005	W0000230 W0000315	APOORVA UPADHYAYA		IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0000406	SAMAR CHATTERJEE		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0000417	MR ANUPAM KUMAR		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000541	MR J J PASCAL SHILAWANT VIJAY P		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	300 300	000005 000005	W0000573 W0000608	MUNISH DUGGAL		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	300	000005	W0000636	MR DILIP DEV		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000678	DR NIPA GANDHI		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000735	LAXMIKANT G KHANOLKA		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	300 600	000005 000005	W0000906 W0000913	SRIKUMAR P NAIR M MOHANNA		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	600	000005	W0000913 W0000929	DEBOJYOTI SENGUPTA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0000777	MR DEEPAK A NAIK		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000943	AJAY V GEORGE		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0001071	RAJESH MEHTA		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	1200 150	000005 000005	W0001105 W0001137	DR VINOD K MATTOO N RAVIKUMAR		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	600	000005	W0005003	PETER IVOR DSOUZA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0005391	KAMAL JEET SINGH VIJ		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0000966	A K GARG		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	600 600	000005 000005	W0001022	L G PANCHAL		IEPF2017	IEPF2017
16/04/2020	1	150	000005	W0007748 W0007857	ANVAR GULAB SOLANKI DR VASUDEV ISHWARBHA		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	600	000005	W0007859	BHAGVANBHAI SHAH		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007878	SAKU SURESH CHANDRA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0008020	SHANTANU A NETKE		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	300 600	000005 000005	W0008254 W0008321	AVINASH SWAROOP IONA STANLEY		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	300	000005	W0008321 W0006276	R NAGENDRAN		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0006423	MAKARAND ORPE		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0006466	APOORV PANDEY		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0006842	RAMESH KUMAR CHAWLA		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	300 600	000005 000005	W0006857 W0007147	CHOWDRY ASSOCIATES DALJEET SINGH GULATI		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	600	000005	W0007147 W0007154	JAYANTHI KRISHNAN		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0007345	N R MUKUNDARAJAN		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0008353	KAPANA VINAY KUMAR		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0008478	RAMAMOHANRAO SURABAT		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	600 300	000005 000005	W0008544 W0008555	SUHAS PINGLE SURESH KUMAR GIDWANI		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	400	000005	W0008730	RAGINI ASHWIN SAMPAT		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0009726	JITENDRA MEHTA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0010153	K K VORA		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0010163	MONAV CHAHWALA		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	200 600	000005 000005	W0010164 W0010741	VINOD T CHAHWALA AJODHYABAI SOMANI		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	600	000005	W0010741 W0011240	RAMACHANDRAN C S		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0011313	SUDESH SHARMA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0011148	RITU RAINA		IEPF2017	IEPF2017
16/04/2020	1 1	600 300	000005 000005	W0011580 W0012270	SUJATA EKBOTE		IEPF2017 IEPF2017	IEPF2017
16/04/2020 16/04/2020	1	300	000005	W0012570 W0012525	NISHITH VARMA BHAGIRATH SINGH RAJ		IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	300	000005	W0012620	SALMA PERVEEN		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0012793	ARVINDSINH G SOLANKI		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0012914	KHURSID FIROZ CHAUHA		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	600 300	000005 000005	W0013088 W0013128	RAMJI SHUKLA OM PRAKASH SINGH		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	400	000005	W0013128 W0013258	A T M RANGARAMANUJAM		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0014003	RITA GANDHI		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0014004	SALONI GANDHI		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1	200	000005	W0018690	PRAVIN NATHALAL SHAH		IEPF2017	IEPF2017
16/04/2020	1 1	300 300	000005 000005	W0019847 W0016489	BAHADUR SINH ROAL CHIRAG V DESAI		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	300	000005	W0010489 W0018324	MANISH SHAH		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0021170	KRISHNA HAVALDAR		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0021420	LAKSHMI M		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	400 600	000005 000005	W0023617 W0023772	KAMAKSHI KADUR SESHA SHANTHI M		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	300	000005	W0023772 W0024210	MINA MALLICK		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0024089	MOHAN K S		IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0024097	R SANDHYA		IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0024855	DILIP BANDYOPADHYAY		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0024955	ZAHID A HUSAIN		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	600 600	000005 000005	W0025016 W0027296	NITA BHANSALI ARVIND KELKAR		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	600	000005	W0027230 W0027386	VIJAY LAXMI ARORA		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0026416	LAKSHMI PANCHAPAKESA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0027012	DR AWADH KUMAR		IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0028373	DILIPKUMAR T JETHANI		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	600 600	000005 000005	W0028544 W0028766	GIRISH NARAIN PANDE SETH P C		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	400	000005	W0028766 W0028831	RAJESH KUMAR		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0029284	A M LAZAR		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0029601	M KAMALADEVI		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0029649	DEVA NARAIN NATH SIN		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	600 600	000005 000005	W0029829 W0030011	MANVINDER SINGH DIVYA DIWAN		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	300	000005	W0030011 W0030941	MALLISWARI KAJA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0031148	KANUBHAI R PATEL		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0031461	SEEMA ARVIND DESHPAN		IEPF2017	IEPF2017

Wockhardt Limited Details of shares/de			01/04/2020	To 31/03/202		Closure Date :	31-03-2020	
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
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16/04/2020	1	600	000005	W0032250	SACHIN POPATLAL MEHT		IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0032812	BANO PATEL		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0033114	A SELVAKUMARI		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	600 600	000005 000005	W0033128 W0033129	RENU JACOB P T CHACKO		IEPF2017 IEPF2017	IEPF2017 IEPF2017
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16/04/2020	1	600	000005	W0033138 W0033216	R LALITHA		IEPF2017 IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033216 W0033564	USHA AGGARWAL		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0033590	DEBNARAYAN BHATTACH		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033550 W0034014	RAMESH NATH KAPOOR		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034370	PUSHPA BHATIA		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0034512	RAMPRAKASH VARSHNEY		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034554	DEEPAK RAINA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034587	MANPREET SETHI		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034601	RENU GUPTA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0033849	ASHOK SRIVASTAVA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034785	PRAVEEN		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0034818	ASHOK ATLURI		IEPF2017	IEPF2017
16/04/2020	1	1200	000005	W0035032	VIJAI PRATAP MALHOTR		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0035189	SANJAY M ISRANI		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0035279	PHADKE VINAYAKA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035535	VIPLA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035586	JASNEEM S LOKHANDWAL		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035681	BAPUBHAI BHARWAD		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035766	GANDHEY KRANTHI RAO		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0035868	ARJUN N		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0036156	DR SHABBIR E CHOONIA		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	400 600	000005 000005	W0036157	MUNIRA S CHOONIA VIJAYADURGA N		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1	600 600	000005	W0036145 W0036198	VIJAYADURGA N SUDHA RAVINDRA DHARG		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	400	000005	W0036198 W0036327	LEELA MOTIRAM CHAVAN		IEPF2017	IEPF2017
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16/04/2020	1	300	000005	W0036338 W0036347	AMRUT ATMARAM MOHITE		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0036232	KARANDIKAR S M		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0036646	SREENAWDA GHOSH		IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0036836	USHA RANI MAHAJAN		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0036966	HASMUKHBHAI		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0037151	CHANDAN M		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0037336	CORE PARENTERALS LIM		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0037427	VIJAYA RAJGOPAL		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0037902	PRAVIN CHANDRA RATIL		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0037903	HANSA PRAVIN CHANDRA		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0038432	UTI-INDIA GROWTH FUN		IEPF2017	IEPF2017
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16/04/2020	1	200	000005	W0039340	ALTAF KAZI		IEPF2017	IEPF2017
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16/04/2020	1	300	000005	W0040594	MUKESH DOSHI		IEPF2017	IEPF2017
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16/04/2020	1	200	000005	W0042775	CHANDU A SODIA		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0043058	ANASUYA HARIDAS DATT		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	300	000005	W0043218 W0043724	BEEJAL J VED GAURI DILIP KOIMATTU		IEPF2017	IEPF2017
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16/04/2020	1	600	000005	W0041307 W0044439	GOPIKISHAN KISHANLAL		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0044433 W0044577	GIRISH BHANJIBHAI DE		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0044727	SHYAM BHAJANTRI		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0044727 W0045143	SHAMBHU PRASAD SINGH		IEPF2017	IEPF2017
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16/04/2020	1	600	000005	W0047176	NAGESH G		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0047325	NANDAKUMAR K		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0047952	AGGARWAL N K		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0048297	KANCHANBEN J MEHTA		IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0048701	HIMATLAL CHHANALAL T		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0049016	ANJALI MANDLEKAR		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0049429	SURAJ PRAKASH KANWAL		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0049918	RANI JAIN		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0050164	MANOJ KUMAR ROY		IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0050402	RUPINDER SINGH ARORA		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0052098	HALIMABAI J KHAN		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0052257	JAYANTILAL TRIBHOVAN		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0052537	MAMTA ASHOK TIBREWAL		IEPF2017	IEPF2017
16/04/2020	1 1	600	000005	W0052655	MANOJ MALOO		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1	600 300	000005 000005	W0052803 W0052823	UPJIT SINGH MAL SURAJMANI INVESTMENT		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	300	000005	W0052823 W0052963	SHANTI JOSHI		IEPF2017	IEPF2017
16/04/2020	1	400	000005	W0052963 W0054104	GOENKA R		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0054104 W0054401	MANJU SRIVASTAVA		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0054459	VIKAS MITTAL		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0054467	KIRAN BHATT		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0054914	MAHESH L SHAH		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0055974	NAV FINSTOCK PVT LTD		IEPF2017	IEPF2017
16/04/2020	1	200	000005	W0056067	SARLA DHANANI		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0053136	PRADIP JAYANTILAL SH		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0056136	LALITA GORAY		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0056362	KUMAVAT SHRAVANKUMAR		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0060052	DR GEETA ARORA		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0060442	RAO P P R		IEPF2017	IEPF2017
16/04/2020	1	100	000005	W0061266	PREM LATA		IEPF2017	IEPF2017

Wockhardt Limited
Details of shares/debentures transfers from 01/04/2020 To 31/03/2021 Closure Date: 31-03-2020

Wockhardt Limited Details of shares/det	oentures tra	ansfers from	01/04/20	020 To 31/03/202		Closure Date :	31-03-2020	
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
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16/04/2020	1	100	000005	W0065923	SANJAY KUMAR		IEPF2017	IEPF2017
16/04/2020	1	600	000005	W0065986	PROMILLA DEEPAK KULK		IEPF2017	IEPF2017
16/04/2020	1	300	000005	W0066011	HANUMAN LAL SHARMA		IEPF2017	IEPF2017
16/04/2020	1	150 2	000005	WBR00002	MINATI SEN GUPTA		IEPF2017	IEPF2017
16/04/2020 16/04/2020	1 1	200	000005 000005	00066051 00066174	ARCADIA SHARES AND S KALPANA SHARMA		IEPF2017 IEPF2017	IEPF2017 IEPF2017
16/04/2020	1	2733	000005	99999901	D E M A T ISUSPENSE		IEPF2017	IEPF2017
16/04/2020	1	85237	000005	IEPF2017	IEPF2017		ND000001	NSDL
16/04/2020	1	200	000005	00067596	SUSHAMA UDAY KHARKAR		ND000001	NATIONAL SECURITY DE
29/04/2020 29/04/2020	1 1	200 600	000005 000005	W0012498 W0011165	SAROJ SHEKHAR SHAH RAKESH KUMAR VASHIST		ND000001 ND000001	NATIONAL SECURITY DE NATIONAL SECURITY DE
11/05/2020	1	1200	000005	00066095	PARUL JAUHRI		ND000001	NATIONAL SECURITY DE
18/06/2020	1	400	000005	W0029763	NAREN ADHIYA		00067598	NARENDRA J ADHIA
14/07/2020	1	600	000005	00067581	LAXMAN GANGADHAR JAD		CD000001	CENTRAL DEPOSITORY S
16/07/2020	1	200	000005	W0030192	RATILAL G PATEL		00067599	RAJENDRA R MEHTA
20/07/2020 20/07/2020	1 1	600 400	000005 000005	W0028590 W0027170	JITENDRA KUMAR KABITA SINGHAL		IEPF2017 IEPF2017	IEPF2017 IEPF2017
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20/07/2020	1	300	000005	W0031131	AJITKUMAR L PANCHAL		IEPF2017	IEPF2017
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20/07/2020	1	600	000005	W0034746	KANTA NAYAR		IEPF2017	IEPF2017
20/07/2020	1	600	000005	W0034748	M NAYAR		IEPF2017	IEPF2017
20/07/2020	1	400	000005	W0033175	NEERJA SAXENA		IEPF2017	IEPF2017
20/07/2020	1 1	200	000005 000005	W0043877 W0044087	NANDEESWARA REDDY S		IEPF2017 IEPF2017	IEPF2017
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20/07/2020	1	600	000005	W0033857	NANDINI MEHTA		IEPF2017	IEPF2017
20/07/2020	1	300	000005	W0033909	AJIT RANJAN CHAKRABO		IEPF2017	IEPF2017
20/07/2020	1	300	000005	00066126	NIRAJ KR SOOD		IEPF2017	IEPF2017
20/07/2020 20/07/2020	1 1	150 600	000005 000005	W0000544 W0011356	D R ALLAPURE OM PRAKASH ARORA		IEPF2017 IEPF2017	IEPF2017 IEPF2017
20/07/2020	1	600	000005	W0011350 W0011357	SURINDER KUMAR ARORA		IEPF2017	IEPF2017
20/07/2020	1	600	000005	W0007149	MALA SEKHRI		IEPF2017	IEPF2017
20/07/2020	1	400	000005	W0012142	SHALISH BAFNA		IEPF2017	IEPF2017
20/07/2020	1	600	000005	W0014249	RAHUL SARAF		IEPF2017	IEPF2017
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20/07/2020 20/07/2020	1	300 300	000005	W0051414 W0050143	KALAVATI SONEGARA SURESH NARAINDAS RAH		IEPF2017 IEPF2017	IEPF2017 IEPF2017
20/07/2020	1	600	000005	W0047948	PUSHPENDRA SHARMA		IEPF2017	IEPF2017
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03/08/2020	1	300	000005	W0045871	KHATOON MOHAMMEDBHAI		00067600	SAIFEE MOHAMMEDBHAI
29/09/2020 06/10/2020	1 1	400 600	000005 000005	00067598 00067449	NARENDRA J ADHIA VIJAY SINGH KHANNA		CD000001 ND000001	CENTRAL DEPOSITORY S NATIONAL SECURITY DE
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29/10/2020	1	300	000005	W0041329	RAMESHBHAI B PATEL		ND000001	NATIONAL SECURITY DE
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13/11/2020 13/11/2020	1 1	400 600	000005 000005	W0008935 W0008566	ARWABAI RAMPURAWALA TAPAS KUMAR BANERJEE		IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0008675	PARMINDER KAUR		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0008381	M SATHYANARAYAN		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0008467	PUSHPAMALA S DIGHE		IEPF2017	IEPF2017
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13/11/2020	1	600	000005	W0011307 W0011170	SANG RAM DWIVEDI		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0011722	VISHWA BANDHU BHASIN		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0012046	MADHU SHASTRI		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0010358	SHANKAR GOPALAKRISHN		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	400 200	000005 000005	W0011126 W0024010	MANISHA CHAUDHRY SREELAKSHMI S		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0024010 W0023939	D S PRAMEELA		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0021506	AMRITA CLARENCE ATHA		IEPF2017	IEPF2017
13/11/2020	1	400	000005	W0022164	HANSRAJ AMIRCHAND AR		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	200 300	000005 000005	W0023593 W0020277	UNNATIBEN KIRANBHAI DINESH T		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0020277 W0020998	TRIBHOWANDAS TAPODHA		IEPF2017	IEPF2017
13/11/2020	1	400	000005	W0019855	JAGDISH P PATEL		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0013117	SANGEETA SRIVASTAVA		IEPF2017	IEPF2017
13/11/2020	1	600	000005	00066088	HETAL A SHAH		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	300 400	000005 000005	W0000344 W0008348	MR ANUPAM K SRIVASTA KAMAL THAKOR		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	1500	000005	W0008348 W0001069	MR MILIND HARDAS		IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	00067100	KAMLESH C SHAH		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0006086	SANJAY BHAURAO KHADT		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0005592	DEEPAK BAGTA		IEPF2017	IEPF2017
13/11/2020	1	200 1800	000005	W0000959	S SWAMINATHAN R SESHADRI		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	1800 300	000005 000005	W0000874 W0000942	R SESHADRI ALLEN CARNEIRO		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0000342 W0000738	MS MONISHA JHIRAD		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0043741	AMRUT R PATEL		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0043811	TEMPLETON MUTUAL FUN		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0038789	BATRA Y P		IEPF2017	IEPF2017

Wockhardt Limited
Details of shares/debentures transfers <u>from</u> 01/04/2020 To 31/03/2021 Closure Date: 31-03-2020

Wockhardt Limited Details of shares/deb			01/04/2020			Closure Date :	31-03-2020	
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME		FOLIO	BUYER NAME
13/11/2020 13/11/2020	1 1	1200 600	000005 000005	W0038525 W0037192	FLEDGELING NOMINEES JAYANT VITHALDAS		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0037192 W0037005	VINOD GUPTA		IEPF2017	IEPF2017
13/11/2020	1	400	000005	W0037138	AMINABAI AHMEDALI LO		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036854	BRAHMAIAH CHARY M S		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036904	SANDEEP SACHDEVA		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036906	PUSHPA SACHDEVA		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	600 600	000005 000005	W0035538 W0035662	REHMAN NAGAURI A CHUDASAMA V J		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0035804	SURESH C ANAND		IEPF2017	IEPF2017
13/11/2020	1	2400	000005	W0035925	QIPTIYYA CHINWALA		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036005	SATISH MOLASHI		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036651	RUCHIRA NARAYANAN		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036676	WAMAN RAMCHANDRA RAJKUMARI TIWARI		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	600 600	000005 000005	W0036455 W0036200	RAKA JAIN		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0036155	AMMAR SHABBIR CHOONI		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036173	DR S K DUBEY		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0036174	THAKUR A K		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0013033	DAHYABHAI U THAKORE		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	200 600	000005 000005	W0024520 W0024622	RAMCHANDRA SIRPOTDAR CHALUPARAMPIL KURIEN		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0024622 W0024681	DINYAR JAMSHEDJI MOB		IEPF2017	IEPF2017
13/11/2020	1	400	000005	W0027397	VINOD KUMAR GUPTA		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0027415	ANITA MITTAL		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0027416	PREETI AGGARWAL		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	300 600	000005 000005	W0027417 W0027695	ROHIT AGGARWAL DHIRAJ A SHAH		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	200	000005	W0027693 W0029080	MADHU JAIN		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0029081	MADAN JAIN		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0029189	NALINI RATHANLAL		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0030331	RENUKA P THACKER		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0033668	S SUBBULAKSHMI		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	200 300	000005 000005	W0033312 W0034396	SUMA CHACKO PRANESH RANJAN SHUKL		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0034467	CHARU VOHRA		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0031852	SAVITA KUMARI		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0031915	LIMBAGIRI MYAKA		IEPF2017	IEPF2017
13/11/2020	1	400	000005	W0031213	KANUBHAI PUNJABHAI P		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	600 400	000005 000005	W0026399 W0029720	SHASHI GUPTA RADHA KANT KUMAR		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	15600	000005	W0040229	DEUTSCHE BANK TRUST		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0048414	HARI RAM GUPTA		IEPF2017	IEPF2017
13/11/2020	1	600	000005	W0048234	RAJIV GUPTA		IEPF2017	IEPF2017
13/11/2020	1	2400	000005	W0048235	HARI RAM GUPTA		IEPF2017	IEPF2017
13/11/2020	1	400	000005	W0047667	KUNDANBEN MUKESHBHAI		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	200 300	000005 000005	W0051901 W0053010	AGRAWAL JAYSHREE RAJ NAIR		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	600	000005	W0052400	BALUBHAI YASHVANT BU		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0052417	THANKAPPAN PILLAI K		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0050404	BASAVRAJ CHANAPPA KA		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0051016	KAMAL K ASRANI		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	200 200	000005 000005	W0054739 W0057733	HANSRAJ M MUTHA RAMAN DAS ADVANI		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	400	000005	W0059630	BANARASI DEVI		IEPF2017	IEPF2017
13/11/2020	1	300	000005	W0056721	RAVISHANKAR V		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0059919	HEMANT M MANIAR		IEPF2017	IEPF2017
13/11/2020	1	200	000005	W0061554	MOHD. SUHAIB		IEPF2017	IEPF2017
13/11/2020 13/11/2020	1 1	400 300	000005 000005	W0061831 W0063824	SUBRAMANIAN P CHANDUBHAI MAGANLAL		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/11/2020	1	63600	000005	IEPF2017	IEPF2017		ND000001	NSDL
26/11/2020	1	300	000005	00067023	AMOL GANESH JOSHI		ND000001	NATIONAL SECURITY DE
04/12/2020	1	600	000005	W0010144	ABDULMAJID AHAMED SH		00067602	SAJIDAHMED ABDULMAJI
04/12/2020	1	600	000005	00066739	K R BHANGALE		ND000001	NATIONAL SECURITY DE
10/12/2020 11/12/2020	1 1	600 400	000005 000005	W0042519 00067601	AMRITLAL KOTAK RAGINI B BARFIWALA		00067603 CD000001	HANSA AMRITLAL KOTAK CENTRAL DEPOSITORY S
19/12/2020	1	600	000005	W0005911	SHAILESH C KOTHARI		00067604	ULKA S KOTHARI
23/12/2020	1	200	000005	W0040084	JAYSHREEBEN R SONI		ND000001	NATIONAL SECURITY DE
31/12/2020	1	200	000005	00067597	RAMESHBHAI DAHYABHAI		ND000001	NATIONAL SECURITY DE
04/01/2021	1	100	000005	W0040988	PANKAJ BABULAL MODI		CD000001	CENTRAL DEPOSITORY S
07/01/2021 12/01/2021	1 1	300 600	000005 000005	W0000175 00067568	MR SUNIL PAGARE ANOOP SINGH GURNAM S		CD000001 ND000001	CENTRAL DEPOSITORY S NATIONAL SECURITY DE
13/01/2021	1	200	000005	00066759	SURESH KUMAR BAID		IEPF2017	IEPF2017
13/01/2021	1	10	000005	00066912	SUNIL KUMAR JAIN		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0000571	PRAVIN VINAYAK PARKA		IEPF2017	IEPF2017
13/01/2021	1	400	000005	W0001143	VASANT KULKARNI		IEPF2017	IEPF2017
13/01/2021 13/01/2021	1 1	300 600	000005 000005	W0013237 W0012458	VASUNDHARA CHEPUR SUBHASH KULKARNI		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/01/2021	1	600	000005	W0012458 W0012460	BALKISAN SARDA		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0012996	SURESHKUMAR D SOMANI		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0020195	SUSELA BEN C		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0046659	DEEPAK MOTILAL SAWLA		IEPF2017	IEPF2017
13/01/2021 13/01/2021	1 1	400 600	000005 000005	W0034790 W0033035	SANJEEV MALHOTRA RENUKA MEHTA		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/01/2021	1	600	000005	W0033035 W0029697	ABHA YADAV		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/01/2021	1	300	000005	W0025037 W0025129	RAMESHWARIDEVI MAHES		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0025228	APPAJI SOMANING PATI		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W0024788	AUXAELLA VAZ		IEPF2017	IEPF2017
13/01/2021	1	300	000005	W0024158	ATULKUMAR GIRISHKANT		IEPF2017	IEPF2017
13/01/2021 13/01/2021	1 1	300 200	000005 000005	W0065426 W0061922	MOHANA PANCHAPAKESAN RAJESH MITTAL		IEPF2017 IEPF2017	IEPF2017 IEPF2017
13/01/2021	1	200	000005	W0063351	SAMEER KAPOOR		IEPF2017	IEPF2017

Wockhardt Limited Details of shares/debentures transfers from	01/04/2020	То	31/03/2021	Closure Date :	31-03-2

Details of shares/det	entures tra	insfers from	01/04/202	0 To	31/03/20	21	Closure Date :	31-03-2020	
TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIC	1	SELLER NAME		FOLIO	BUYER NAME
13/01/2021	1	200	000005	W006	0896	MAMTA MITTAL		IEPF2017	IEPF2017
13/01/2021	1	100	000005	W005	3221	SONI MAHENDRAKUMAR K		IEPF2017	IEPF2017
13/01/2021	1	300	000005	W005	9744	RIPA BHATE		IEPF2017	IEPF2017
13/01/2021	1	300	000005	W005	8353	NUTAN K SHETH		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W005	2656	SAVITA MALOO		IEPF2017	IEPF2017
13/01/2021	1	600	000005	W004	8253	SURESH D SONANI		IEPF2017	IEPF2017
13/01/2021	1	10710	000005	IEPF2	017	IEPF2017		ND000001	NSDL
28/01/2021	1	600	000005	00067	602	SHAIKH SAJIDAHMED A		CD000001	CENTRAL DEPOSITORY S
01/02/2021	1	100	000005	W004	4418	RUHI GOSALIA		CD000001	CENTRAL DEPOSITORY S
02/02/2021	1	200	000005	W001	8484	INDERMAL A CHOPRA		ND000001	NATIONAL SECURITY DE
15/02/2021	1	600	000005	W001	0752	SURESH VISHVAMBHAR K		ND000001	NATIONAL SECURITY DE
23/02/2021	1	600	000005	W003	5883	AMOL A NAVALKAR		ND000001	NATIONAL SECURITY DE
01/03/2021	1	200	000005	00067	599	RAJENDRA R MEHTA		CD000001	CENTRAL DEPOSITORY S
02/03/2021	1	200	000005	W003	6241	TIWARI P L		00067605	RAMA P TIWARI
12/03/2021	1	600	000005	00067	595	REEMA GUPTA		ND000001	NATIONAL SECURITY DE
18/03/2021	1	200	000005	W003	6478	ASHOK BALDOTA		CD000001	CENTRAL DEPOSITORY S
22/03/2021	1	600	000005	W001	0340	SACHIN KUMAR		CD000001	CENTRAL DEPOSITORY S



Point IX (C) COMMITTEE MEETINGS (continued)

S. No.	Type of	Date of	Total Number	Atten	idance
	meeting	meeting	of Members as		
			on the date of		
			the meeting	Number of	% of
				directors	attendance
				attended	
11	Corporate Social Responsibility Committee	11/05/2020	4	4	100
12	Corporate Social Responsibility Committee	29/01/2021	4	4	100
13	Risk Management Committee	29/06/2020	4	4	100
14	Risk Management Committee	23/03/2021	4	4	100
15	Credit Facilities Committee	11/05/2020	3	3	100
16	Credit Facilities Committee	17/07/2020	3	3	100
17	Credit Facilities Committee	23/09//2020	3	3	100
18	Credit Facilities Committee	18/01/2021	3	3	100
19	Credit Facilities Committee	03/02/2021	3	3	100
20	Credit Facilities Committee	24/02/2021	3	3	100
21	Credit Facilities Committee	17/03/2021	3	3	100
22	Credit Facilities Committee	25/03/2021	3	3	100
23	Share Allotment Committee	23/09/2020	3	3	100
24	Share Allotment Committee	16/12/2020	3	3	100
25	Share Allotment Committee	09/03/2021	3	3	100
26	Capital Raising Committee	13/10/2020	4	2	50

Point No. III - PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

- 32. Wockhardt Bio AG, the immediate Holding Company of Wockhardt Bio Limited, is yet to infuse the share capital in Wockhardt Bio Limited.
- 28. Wockhardt Services S.A. DE C.V. is under the process of liquidation.

Thanking You.

For Wockhardt Limited

Gajanand Sahu Company Secretary

Gajanan

ACS: 15791

Date: 08th July, 2021



Form No.: MGT-8

[Pursuant to Section 92(2) of the Companies Act, 2013 and Rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records and books and papers of **Wockhardt Limited** (Hereinafter referred as "the Company") having CIN: L24230MH1999PLC120720as required to be maintained under the Companies Act, 2013 ("the Act") and the rules made thereunder for the financial year ended 31st March, 2021("audit period"). In my opinion and to the best of my information and according to the examinations carried outby me and explanations furnished to me by the Company, its officers and agents, I am of the opinion that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year prima facie correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
- 1. Its status under the Act The Company is a Listed Public Company;
- 2. Maintenance of registers/records & making entries therein were made generally within the time prescribed;
- 3. Filing of forms and returns as stated in the Annual Return, with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court or other authorities within/beyond the prescribed time-
 - During the audit period, the Company has filed various forms within time, forms with additional fees and few under the Companies Fresh Start Scheme, 2020 ("CFSS"),details of which are provided in <u>Annexure I.</u>
- 4. Calling/ convening /holding meetings of Board of Directors or its committees, if any, and the meetings of the members of the Company on due dates as stated in the Annual

Return in respect of whichmeetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the Minute Book / registers maintained for the purpose and the same have been signed-

During the audit period, the Company has held Meetings of Board and various Committees as per the provisions of the Companies Act, 2013 and Rules made thereunder. The Annual General Meeting of the members was held on Monday $03^{\rm rd}$ August, 2020.

During the audit period, none of the business was transacted by way of circular resolution and postal ballot.

- 5. Closure of Register of Members / Security holders, as the case may be-During the audit period, theRegister of Members and Share Transfer Books of the Company was closed once during the Financial Year from 28th July, 2020 to03rdAugust, 2020 (both days inclusive).
- 6. Advances/loans to its directors and/or persons or firms or companies referred in Section 185 of the Act-
 - During the audit period, as per the AuditedFinancial Statements and Statutory Auditors report thereon, the Company has not given any loans or provided any security or given any guarantee to its Directors or any other person in whom the Director is interested.
- 7. Contracts/arrangements with related parties as specified in Section 188 of the Act-During the audit period, as per the Minutes of Meetings of Board and Committees, produced before me and as per the Statutory Auditors report, the Company has entered intoRelated Party Transactions and the Company has complied with Section 188 of the Companies Act, 2013.

The disclosure of transactions with the Related Parties covered under Section 188 of the Companies Act, 2013 are mentioned in Note No.: 43 of Audited Financial Statements.

8. Issue or allotment or transfer or transmission or buy back of securities/ redemption of preference shares or debentures/ alteration or reduction of share capital/ conversion of shares/ securities and issue of security certificates in all instances-

The documents relating to transfers and transmission of securities are maintained with the Registrar and Transfer Agent.

As per the records produced before me, the Company has transferred 1,81,924 Equity Shares on which dividend not claimed for the Financial Year 2007, 2012-13 and 2013-14 (1st interim dividend) to Investor Education and Protection Fund. Further the Company has transferred equity shares to Investor Education and Protection Fund of financial year 2013-14 (2nd interim dividend) in April 2021.

During the year, the Company has allotted of 46,150 equity shares of Rs. 5/- each pursuant to Wockhardt Employees Stock Option Scheme – 2011.

During the year, the Company has redeemed the following preference shares on 19th October, 2020:

- (i) 160,000,000 0.01% Non-Convertible Cumulative Redeemable Preference Shares of Face value of Rs. 5 each; and
- (ii) 500,000,000 4% Non-Convertible Non-Cumulative Redeemable Preference Shares of Face value of Rs. 5 each

During the year, there were no instances of issue or allotment or buy-back of securities/ redemption of debentures / alteration or reduction of share capital/ conversion of shares/securities.

- Keeping in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act-8000 Bonus shares were kept in abeyance due to pending litigation/ court cases/ stop transfers etc.
- 10. Declaration/ payment of dividend; transfer of unpaid/ unclaimed dividend/other amounts as applicable to the Investor Education and Protection Fund in accordance with Section 125 of the Act-
 - During the audit period, as per the information provided by the Company, unpaid or unclaimed dividend of Rs. 48,83,095 relating to the Financial Year 2012-13 and 2013-14 (First Interim)was transferred to Investor Education and Protection Fund and the Second Interim Dividend for the Financial year 2013-14 was transferred in the month of April, 2021 within the due date.
- 11. Signing of Audited Financial Statements as per the provisions of Section 134 of the Act and report of directors is as per sub sections (3), (4) and (5) thereof-
 - During the audit period, the Company hasprima facie complied with signing of the Audited Financial Statements as per the provisions of Section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof.

12. Constitution/ appointment/ re-appointments/ retirement/ filling up casual vacancies/ disclosures of the Directors, Key Managerial Personnel and the remuneration paid to them-

As on 31st March, 2021, the Company has Eleven Directors consisting of Six Independent Directors, Three Executive Directors, One Non-Executive Non-Independent Director and One Nominee Director nominated by EXIM Bank.

During the period under review, there were following changes in the constitution:

- 1. Cessation of directorship due to completion of term of Mr. Baldev Raj Arora (DIN: 00194168) who was appointed on 28th May, 2015 for a period of 5 years, accordingly the term ended on 27th May, 2020
- 2. Re-appointment of Ms. Zahabiya Khorakiwala (DIN: 00102689), who retired by rotation, at the Annual General Meeting held on 03rd August, 2020.
- 3. Appointment of Mr. Akhilesh Gupta (DIN- 00359325) as an Additional (Non-Executive, Independent) Director of the Company w.e.f. 29th August, 2020.
- 4. Resignation of Mr. Narendra Singh from the post of Company Secretary with effect from 11th May, 2020.
- 5. Appointment of Mr. Gajanand Sahu as Company Secretary with effect from 12th May, 2020.

During the period under review, the Company has paid the following remuneration/sitting fees including perquisites to the Directors and Key Managerial Personnels:

(Amount in Rs.in crores.)

Sr.	Name	Designation	Total
No.			remuneration/
			sitting fees
			including
			perquisites
1.	Dr. Habil.F.Khorakiwala	Executive Director,	2.80
		Chairperson	
2.	Mr. Aman Mehta	Independent Director	0.11
3.	Mr. D S Brar	Independent Director	0.16
4.	Dr. Sanjaya Baru	Independent Director	0.14
5.	Mrs. Tasneem Mehta	Independent Director	0.15

6.	Mr. Vinesh Kumar Jairath	Independent Director	0.15
7.	Mr. Akhilesh Krishna Gupta	Independent Director	0.07
	(with effect from 29th		
	August, 2020)		
8.	Mrs. Rima Marphatia	Non-Executive -	0.06
		Nominee Director	
9.	Dr.Huzaifa.H.Khorakiwala	Executive Director	2.40
10.	Dr. Murtaza .H.Khorakiwala	Executive	2.40
		Director,Managing	
		Director	
11.	Ms. Zahabiya.H.Khorakiwala	Non-Executive-Non	0.06
		Independent Director	
12.	Mr. Baldev Arora (till 27th	Independent Director	0.03
	May, 2020)		
13.	Mr. Manas Datta	Chief Financial Officer	4.11
			(excluding
			stock options
			Rs. 4.02
			crores)
14.	Mr. Gajanand Sahu (with	Company Secretary	0.61
	effect from 12 th May, 2020)		
15.	Mr. Narendra Singh (till 11 th	Company Secretary	0.25
	May, 2020)		

13. Appointment/ reappointment/ filling up casual vacancies of auditors as per the provisions of Section 139 of the Act-

There were no such instances of appointment or reappointment or casual vacancies of the Auditor.

14. Approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act-

During the year, none of the events were occurred where the approvals from the Central Government, Tribunal, Regional Director, Registrar or Court / other authorities are required to be obtained by the Company under the Companies Act, 2013.

15. Acceptance/renewal/repayment of deposits-

As per the Minutes of Meetings of the Board and Committees produced before me and as per the Audited Financial Statements the Company has not accepted any deposits from public.

16. Borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable-As per the Audited Financial Statements and Statutory Auditors report thereon, the Company has borrowed from Banks and related parties, details of which are provided in Note No.: 17 and 20 of Audited Financial Statements.

During the audit period, the Company has modified a charge on account of the divestment of its business to Dr. Reddy Laboratories Limited.

17. Loans and investments or guarantees given or providing of securities to other bodies corporate or persons falling under the provisions of Section 186 of the Act –

As per the Audited Financial Statements and Statutory Auditors report thereon, the Company has given loans in accordance with the provisions of Section 186 of the Companies Act, 2013.

The Company has obtained approval from the Members vide Postal Ballot on 15th March, 2018 to give Loans, Guarantees and/or providing security (ies) and/or make investment upto Rs. 6000 crores.As on 31st March, 2020, the Loans, Guarantees and Investments of the Company is within the limit approved by the Members.

18. Alteration of the provisions of the Memorandum and/ or Articles of Association of the Company-

During the period under review, the Company has not altered its Memorandum of Association and Articles of Association.

Place: Mumbai	Virendra G. Bhatt
Date:,2021	Practicing Company Secretary
	ACS No.: 1157/COP. No.: 124
	Peer Review Cert. No.: 491/2016

UDIN:

Note:

I have conducted online verification and examination of records, as facilitated by the Company due to Covid-19 and subsequent lockdown situation for the purpose of issuing this Certificate

<u>ANNEXURE – I</u>

<u>Various Forms filed withtheRegistrar of Companies under CFSS Scheme/ Additional Fee</u> <u>Waiver Scheme by Ministry of Corporate Affairs:</u>

Sr. No.	Particulars	Form No.	Date of Filing	SRN	Remarks
1.	Resolution passed by Board of Directors Meeting Dated:30 th March, 2020: Resolution passed for Alteration/Extension of terms of reduction of 16 Cr. Preference shares of face value of Rs.5/- each held by Khorakiwala Holdings and investment Private limited - Promoter's Entity.	MGT-14	06.05.2020	R38284576	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24th March, 2020.
2.	Postal Ballot Resolution passed by shareholders on-16th March, 2020 Resolution passed for approval of transfer of business undertaking, a Division of the Company to Dr. Reddy's Laboratories limited.	MGT-14	06.05.2020	R38285276	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24th March, 2020.
3.	Filing of the Board Resolution passed on 11th May, 2020 1. Approval of financial statement (standalone and consolidated) for the year ended 31st March,2020. 2. Approval to raise capital by way of public or private offerings including through a Qualified Institutional Placement ('QIP') to eligible investors through an issuance of equity shares or other eligible securities for an amount not exceeding Rs. 1,500 crore. 3. Approval of the Board's Report including its Annexure & other related Reports for the year ended 31st March, 2020. 4. Appointment of Mr. Virendra G. Bhatt,	MGT-14	12.06.2020	R41568007	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24 th March, 2020.

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	Practicing Company Secretary be and is hereby appointed as Secretarial Auditor for conducting Secretarial Audit of the Company for the Financial Year 2019-20. 5. Appointment of Mr. Gajanand Sahu as a Company Secretary and Compliance Officer (Acting) w.e.f. 12 th May,2020. 6. Taking note of Resignation of Mr. Narendra Singh from the position of Company Secretary and Compliance Officer w.e.f 11 th May,2020. 7. Completion of term of appointment of Mr. Baldev Raj Arora on 27 th May,2020.				
4.	Modification of Charge dated: 10th July, 2020 Bank: SBICAP Trustee Company Limited Charge ID: 100050012	CHG-1	13.08.2020	R49718554	Filed under additional Fees waiver scheme as per MCA General Circular No.: 23/2020 dated 17 th June, 2020.
5.	Credit Facility Committee Meeting Dated: 17 th July,2020: Approval to avail Common Covid 19 Emergency Credit Line Facility from State Bank of India.	MGT-14	24.08.2020	R51064723	Filed under additional Fees waiver scheme as per MCA General Circular No.: 11/2020 dated 24th March, 2020.
6.	Appointment of Mr. Gajanand Sahu as Compliance Officer	IEPF-2	06.10.2020	R65861460	Form filed under the Companies Fresh Start Scheme, 2020.
7.	Appointment of Mr. Gajanand Sahu as CS and resignation of Mr. Narendra Singh as CS	DIR-12	05.10.2020	R65652935	Form filed under the Companies Fresh Start Scheme, 2020.
8.	Allotment of 21950 Equity Shares under ESOP Scheme	PAS-3	26.10.2020	R68669100	Form filed under the Companies Fresh Start Scheme, 2020.
9.	Change in Significant Beneficial Ownership u/s 90	BEN-2	21.12.2020	R76369966	Form filed under the

	by virtue of shares and				Companies
	exercise of significant				Fresh Start
	influence of Mr. Habil				Scheme, 2020.
	Fakhruddin				
	Khorakiwala.(BEN-1 received on 05-10-2020)				
10.	Change in Significant	BEN-2	18.12.2020	R75868877	Form filed
	Beneficial Ownership u/s 90				under the
	by virtue of shares and				Companies
	exercise of significant				Fresh Start
	influence of Mr. Murtaza HabilKhorakiwala.(BEN-1				Scheme, 2020.
	received on 05-10-2020)				
11.	Change in Significant	BEN-2	20.12.2020	R76131523	Form filed
	Beneficial ownership u/s 90				under the
	by virtue of shares and				Companies
	exercise of significant influence of Mrs.				Fresh Start Scheme, 2020.
	NafisaHabilKhorakiwala.(BEN-				Scheme, 2020.
	1 received on 05-10-2020)				
12.	Change in Significant	BEN-2	20.12.2020	R76133198	Form filed
	Beneficial ownership u/s 90 by virtue of shares and				under the Companies
	exercise of significant				Fresh Start
	influence of Mrs.				Scheme, 2020.
	ZahabiyaKhorakiwala.(BEN-1				
12	received on 05-10-2020)	DEN 2	20.12.2020	D76124154	E C1 1
13.	Change in Significant Beneficial ownership u/s 90	BEN-2	20.12.2020	R76134154	Form filed under the
	by virtue of shares and				Companies
	exercise of significant				Fresh Start
	influence of Mr. Huzaifa				Scheme, 2020.
	Khorakiwala.(BEN-1 received				
14.	on 05-10-2020) Board Resolution dated 18 th	MGT-14	13-03-2021	T08647893	Form filed with
1	January, 2021:	Mar 11	13 03 2021	100017033	an additional
					fees of Rs.
	To avail unsecured short term				1200/-
	loans from Khorakiwala Holdings Khorakiwala				
	Holdings knorakiwaia Holdings andInvestments				
	Private Limited for an amount				
	not exceeding Rs. 30 crore				
15.	Shareholder's Resolution	MGT-14	14-09-2020	R55753917	Filed under
	Dated: 03 rd August,2020:				additional Fees waiver scheme
	1. To receive, consider and				as per MCA
	adopt the Audited Financial				General Circular
	Statement of the Company				No.: 11/2020
	for the Financial year ended 31st March, 2020 together				dated 24 th March, 2020.
	with				1 1 1 a 1 C 1 1 , 2 U 2 U .
	2. The Reports of the Board of				
	Directors and Auditors				
	thereon.				
	3. To appoint a Director in				1

piaco	of Ms.		
ZahabiyaKhora			
00102689), w	5		
rotation and b	peing eligible,		
offers hersel	lf for re-		
appointment.			
4. Ratification of	remuneration		
payable to Cos	t Auditors for		
the Financial Y			
5. Approval for	raising of		
additional capi			
one or more	-		
private offerin			
through a			
Institutions	=		
('QIP') to eligi	ible investors		
through an			
equity shares			
eligible secur			
amount not e			
1,500 crore pu	O .		
provisions of			
and 62(1)(c)			
applicable prov			
of the Compani			
	20120, 2010.		