

2<sup>nd</sup> January, 2020

<p><b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street Mumbai - 400 001</p> <p><u>Scrip Code: 532300</u></p>	<p><b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai - 400 051</p> <p><u>NSE Symbol: WOCKPHARMA</u></p>
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Dear Sirs,

**Sub: Disclosure under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulation), 2015**

We are enclosing herewith disclosures received from Promoter Group entity under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulations), 2015 in relation to:

- i. creation of pledge on 65, 00,000 equity shares of the Company; and
- ii. sale of 5,97,903 equity shares.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For **Wockhardt Limited**

**Narendra Singh**  
**Company Secretary**

Encl : As above

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

Name of the Company : Wockhardt Limited  
ISIN of the Company : INE049B01025

**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired / Disposed [i.e. Pledge]				Securities held post acquisition/disposal		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)
		Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction type (Buy/ sale/ Pledge/ Revoke / Invoke)	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Themisto Trustee Company Private Limited PAN: AAHFH9240E Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East); Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	6,04,97,757 <sup>@</sup> [Shareholding: 54.63%]	Equity Shares	65,00,000 <sup>#</sup>	1,60,48,50,000 <sup>#</sup>	Pledge	Equity Shares	6,04,97,757 <sup>@</sup> [Shareholding: 54.63%]	27/12/2019	27/12/2019	31/12/2019	Pledge of Equity Shares

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm).

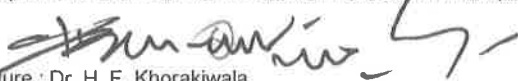
3. # The Pledge has been created on additional 65,00,000 Nos. of Equity shares to extend requisite collateral for loan taken. To arrive at value, Nos. of Shares pledged have been multiplied by closing market price of Wockhardt Limited in BSE on 27<sup>th</sup> December, 2019.

4. Promoters hold total 8,04,76,579 (72.67%) Equity Shares in Wockhardt Limited. Pledge was earlier created on 1,33,00,000 Nos of Equity Shares (12.01%) held by Promoters in the Company. With the creation of Pledge on above mentioned 65,00,000 Nos. of Equity Shares (5.87%), the Pledged Shares now increased to 1,98,00,000 No. of Equity Shares (17.88%).

**Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed Company and other such persons as mentioned in Regulation 6(2)**

Type of Contract	Contract specifications	Trading in derivatives (Specify type of contract, Futures or Options etc.)				Exchange on which the trade was executed
		Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
15	16	17	18	19	20	21
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature :  Dr. H. F. Khorakiwala  
Director of Themisto Trustee Company Private Limited  
Designation : Promoter  
Date : 31/12/2019 Place : Mumbai

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

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ISIN of the Company : INE049B01025

**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired / Disposed [i.e. Sale]				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)
		Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of Shareholding	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction type (Buy/ sale/ Pledge/ Revoke / Invoke)	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Themisto Trustee Company Private Limited CIN:U74999MH2013PTC243902 PAN: AABTH2543J Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	49,97,903 <sup>@</sup> [Shareholding: 4.51%]	Equity Shares	5,97,903 <sup>#</sup>	14,41,18,540 <sup>#</sup>	Sale	Equity Shares	44,00,000 <sup>@</sup> [Shareholding: 3.97%]	30/12/2019	31/12/2019	31/12/2019	Market sale

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust

3. As on date, pledged shareholding of the Promoters stands 17.88 % of total share capital (which includes pledge created on 27<sup>th</sup> December, 2019) of Wockhardt Limited ('the Company').

**Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed Company and other such persons as mentioned in Regulation 6(2)**

Type of Contract	Contract specifications	Trading in derivatives (Specify type of contract, Futures or Options etc.)				Exchange on which the trade was executed
		Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
15	16	17	18	19	20	21
-	-	-	-	-	-	NSE (4,00,000 shares) & BSE (1,97,903 Shares)

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature : Dr. H. F. Khorakiwala  
Director of Themisto Trustee Company Private Limited  
Designation : Promoter  
Date : 31/12/2019 Place : Mumbai