

26<sup>th</sup> March, 2021

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street Mumbai - 400 001  <b><u>Scrip Code: 532300</u></b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai - 400 051  <b><u>NSE Symbol: WOCKPHARMA</u></b>
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Dear Sirs,

**Sub: Disclosure under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulation), 2015**

We are enclosing herewith disclosures received from Promoter Group entity under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulations), 2015.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For **Wockhardt Limited**



**Gajanand Sahu**  
**Company Secretary**

Encl : As above



**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

Name of the Company : Wockhardt Limited  
ISIN of the Company : INE049B01025

**Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / member of the Promoter group/designated person/ Directors/immediate relative to/others etc.)	Securities held prior to acquisition/ disposal		Securities acquired / Disposed [i.e. Creation of Pledge]				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ disposal of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)	Exchange on which the trade was executed
		Type of securities (for e.g. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of Shareholding	Type of securities (for e.g. Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value (Rs.)	Transaction type (Buy/-sale/ Pledge/ Revoke/ Invoke/Others - please specify)	Type of securities (for e.g. - Shares, Warrants, Convertible Debentures, Rights Entitlement, etc.)	No. and % of shareholding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Name: Themisto Trustee Company Private Limited PAN: AAHFH9240E Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051 Contact Nos: 022-26534444	Promoter Group	Equity Shares	6,04,95,957 <sup>@</sup> [Shareholding: 54.61%]	Equity Shares	2,00,000 <sup>#</sup>	8,86,10,000 <sup>#</sup>	Creation of Pledge	Equity Shares	6,04,95,957 <sup>@</sup> [Shareholding: 54.61%]	23/03/2021	23/03/2021	24/03/2021	Creation of Pledge	NA

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm).

3. # The Pledge has been created on 2,00,000 Nos. of Equity shares. To arrive at value, Nos. of Shares pledged has been multiplied by closing market price of Wockhardt Limited of BSE on 23<sup>rd</sup> March, 2021.

4. Promoters hold total 7,98,95,342 (72.12 percentage) Equity Shares in Wockhardt Limited. Pledge was earlier created on 1,39,00,000 No. of Equity Shares (12.55 percentage) held by Promoters in the Company. With the creation of Pledge on above mentioned 2,00,000 Nos. of Equity Shares (0.18 percentage), the Pledged Shares now increased to 1,41,00,000 No. of Equity Shares (12.73 percentage).

**Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of Contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
16	17	18	19	20	21	22
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.



Name & Signature: Dr. H. F. Khorakiwala  
Director of Themisto Trustee Company Private Limited  
Designation : Promoter  
Date : 24/03/2021 Place: Mumbai

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

Name of the Company : Wockhardt Limited  
ISIN of the Company : INE049B01025

**Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / member of the Promoter group/designated person/Directors/immediate relative to/others etc.)	Securities held prior to acquisition/ disposal		Securities acquired / Disposed [i.e. Creation of Pledge]				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ disposal of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)	Exchange on which the trade was executed
		Type of securities (for e.g. - Shares, Warrants, Convertible Debentures, Rights entitlements etc.)	No. and % of Shareholding	Type of securities (for e.g. Shares, Warrants, Convertible Debentures, Rights entitlement, etc.)	No.	Value (Rs.)	Transaction type (Buy/-sale/ Pledge/ Revoke/ Invoke/Others - please specify)	Type of securities (for e.g. - Shares, Warrants, Convertible Debentures, Rights Entitlement, etc.)	No. and % of shareholding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
Name: Themisto Trustee Company Private Limited PAN: AAHFH9240E Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051 Contact Nos: 022-26534444	Promoter Group	Equity Shares	6,04,95,957 <sup>@</sup> [Shareholding: 54.61%]	Equity Shares	8,50,000 <sup>#</sup>	34,24,65,000 <sup>#</sup>	Creation of Pledge	Equity Shares	6,04,95,957 <sup>@</sup> [Shareholding: 54.61%]	26/03/2021	26/03/2021	26/03/2021	Creation of Pledge	NA

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm).

3. # The Pledge has been created on 8,50,000 Nos. of Equity shares on 26<sup>th</sup> March, 2021. To arrive at value, Nos. of Shares pledged has been multiplied by closing market price of Wockhardt Limited of BSE on 26<sup>th</sup> March, 2021.

4. Promoters hold total 7,98,95,342 (72.12 percentage) Equity Shares in Wockhardt Limited. Pledge was earlier created on 1,41,00,000 No. of Equity Shares (12.73 percentage) held by Promoters in the Company. With the creation of Pledge on above mentioned 8,50,000 Nos. of Equity shares (0.77 percentage), the Pledged Shares now increased to 14,95,0000 No. of Equity Shares (13.50 percentage).

**Details of trading in derivatives on the securities of the company by Promoter, member of the promoter group, designated person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).**

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of Contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
16	17	18	19	20	21	22
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.



Name & Signature: Dr. H. F. Khorakiwala  
Director of Themisto Trustee Company Private Limited  
Designation : Promoter  
Date : 26/03/2021 Place: Mumbai