

5<sup>th</sup> November, 2020

**BSE Limited** 

Corporate Relations Department P J Towers, Dalal Street Mumbai - 400 001

**Scrip Code: 532300** 

**National Stock Exchange of India Limited** 

Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai - 400 051

NSE Symbol: WOCKPHARMA

Dear Sirs,

Sub: Disclosure under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulation), 2015

We are enclosing herewith disclosures received from Promoter Group entity under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulations), 2015.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For Wockhardt Limited

gajanan

Gajanand Sahu Company Secretary

Encl: As above



#### FORM C

### SEBI (Prohibition of Insider Trading) Regulations, 2015 [Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]

Name of the Company : Wockhardt Limited ISIN of the Company : INE049B01025

# Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities <del>acquired</del> / Disposed [i.e. Gift]				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intima tion to comp any	Mode of acquisition/ Disposal (on market / public rights/ preferential
		Type of security (for e.g Shares Warrants, Convertibl e Debenture s etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertibl e Debenture s etc.)	No.	Value (Rs.)	Transaction type (Buy/ sale/ Pledge/ Revoke/ Invoke)	Type of security (for e.g Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	То	marl t	offer/ off market/ inter se transfer, ESOPs etc.)
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Themisto Trustee Company Private Limited PAN: AAHFH9240E Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	6,04,97,757 <sup>@</sup> [Shareholding: 54.62%]	Equity Shares	1,800#	5,60,160#	Sale (Gift)	Equity Shares	6,04,95,957 <sup>@</sup> [Shareholding: 54.62%]	13/10/2020	1310/2020	04/11/2020	Gift

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

# Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed Company and other such persons as mentioned in Regulation 6(2)

	Exchange on which the trade was executed					
Type of Contract	Contract		Buy			
	specifications	Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
15	16	17	18	19	20	21
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature: Dr. H. F. Khorakiwala

Director of Themisto Trustee Company Private Limited

Designation : Promoter

Date : 04/11/2020 Place: Mumbai

<sup>2. @</sup> Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm).

<sup>3. #.</sup> To arrive at value, Nos. of shares transferred by way of Gift has been multiplied by closing market price of Wockhardt Limited of NSE on 13th October, 2020.

<sup>4.</sup> As on the date of this transaction, pledged shareholding of the Promoters stands 16.16 % of total share capital.

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		Type of security (for e.g Shares Warrants, Convertibl e Debenture s etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertibl e Debenture s etc.)	No.	Value (Rs.)	Transaction type (Buy/ sale/ Pledge/ Revoke/ Invoke)	Type of security (for e.g Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	То	marke tra	offer/ off market/ inter se transfer, ESOPs etc.)
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Miqdad H Khorakiwala PAN: BVDPK2842M Address: Casa Khorakiwala, 31E, Vakil Lane, Dr. Gopal Rao Deshmukh Marg, Mumbai 400 026	Promoter Group	Equity Shares	Nil	Equity Shares	1,800#	5,60,160#	Buy (Gift)	Equity Shares	1,800 [Shareholding: 0.002%]	13/10/2020	13/10/2020	04/11/2020	Gift
Contact Nos: : 022-26534444													ļ

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15	16	17	18	19	20	21
-	-	-	-	-	-	_

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

SD/-

Name & Signature: Miqdad H Khorakiwala

Designation: Promoter Group

Date : 04/11/2020 Place: Mumbai

<sup>2. #.</sup> To arrive at value, Nos. of shares acquired by way of Gift has been multiplied by closing market price of Wockhardt Limited of NSE on 13th October, 2020.

<sup>3.</sup> As on date of this transaction, pledged shareholding of the Promoters stands 16.16 % of total share capital.