

20<sup>th</sup> July, 2016

<p><b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street <b>Mumbai - 400 001</b></p> <p><b><u>Scrip Code: 532300</u></b></p>	<p><b>National Stock Exchange of India Limited</b> Listing Department Exchange Plaza Bandra Kurla Complex, Bandra (E), <b>Mumbai - 400 051.</b></p> <p><b><u>NSE Symbol – WOCKPHARMA</u></b></p>
---	--

Dear Sirs,

**Sub: Statement showing holding of securities and Shareholding Pattern**

In compliance with Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 30<sup>th</sup> June, 2016.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



**Narendra Singh**  
**Company Secretary**

Encl: As above



**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations,**

<b>1</b>	<b>Name of Listed Entity:</b> Wockhardt Limited		
<b>2</b>	<b>Scrip Code/Name of Scrip/Class of Security:</b> 532300/WOCKPHARMA		
<b>3</b>	<b>Share Holding Pattern Filed under:</b> Reg. 31(1)(b)		
	<b>a.</b>	If under 31(1)(b) then indicate the report for Quarter ending 30th June, 2016	
	<b>b.</b>	If under 31(1)(c) then indicate date of allotment/extinguishment - N.A.	
<b>4</b>	<b>Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-</b>		
	<b>Particulars</b>	<b>Yes*</b>	<b>No*</b>
<b>1</b>	Whether the Listed Entity has issued any partly paid up shares?		No
<b>2</b>	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
<b>3</b>	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
<b>4</b>	Whether the Listed Entity has any shares in locked-in?		No
<b>5</b>	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*(Signature)*



**Wockhardt Limited**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Number of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)*	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)*	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)				(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	9	81,985,382	0	0	81,985,382	74.19	81,985,382	N.A.	81,985,382	74.19	18,528,540	N.A.	0	0.00	0	0.00	81,985,382
(B)	Public	91015	28,383,801	0	0	28,383,801	25.68	28,383,801	N.A.	28,383,801	25.68	102,926,387	N.A.	0	0.00	N.A.	N.A.	27,434,427
(C)	Non Promoter - Non Public				0													
(C1)	Shares Underlying DRs	2	139,720	0	0	139,720	0.13	139,720	N.A.	139,720	0.13	0	N.A.	0	0.00	N.A.	N.A.	124,120
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	N.A.	0	0.00	0	N.A.	0	0.00	N.A.	N.A.	0
	<b>Total</b>	<b>91026</b>	<b>110,508,903</b>	<b>0</b>	<b>0</b>	<b>110,508,903</b>	<b>100.00</b>	<b>110,508,903</b>	<b>N.A.</b>	<b>110,508,903</b>	<b>100.00</b>	<b>121,454,927</b>	<b>N.A.</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>109,543,929</b>

Notes:

- \* The Company has issued 121,454,927 Optionally Convertible Cumulative Redeemable Preference Shares (OCCRPS). Further, Shareholding as a % assuming full conversion of convertible securities of the Company (OCCRPS) is not ascertainable due to following reasons:
- As the ratio of conversion of OCCRPS into equity shares is dependent on the price to be calculated on the 'Relevant Date' which is future date, it is not possible to ascertain the number of equity shares to be allotted on conversion and hence the Shareholding , as a % assuming full conversion of convertible securities after the conversion of the OCCRPS into equity shares is not ascertainable.
- The total Equity Share Capital of the Company comprises of 11,05,08,903 Equity Shares of Rs. 5/- each which includes 'Shares underlying DRs'. Hence, for the purpose of calculation of % for the column 'Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)', the Company has considered '110508903' (i.e. Total No. of Equity Shares as above) as denominator.



**Wockhardt Limited**
**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)*	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)*	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class X	Class Y*	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
<b>1 Indian</b>																		
<b>(a) Individuals / Hindu Undivided Family</b>		4	8,87,625	0	0	8,87,625	0.80	8,87,625	0	8,87,625	0.80	0	0.00	0	0.00	0.00	0.00	8,87,625
Habil F Khorakiwala	AABPK4415C		4,42,785	0	0	4,42,785	0.40	4,42,785	0	4,42,785	0.40	0	0.00	0	0.00	0	0.00	4,42,785
Murtaza Habil Khorakiwala	AAZPK7040H		2,26,200	0	0	2,26,200	0.20	2,26,200	0	2,26,200	0.20	0	0.00	0	0.00	0	0.00	2,26,200
Huzaifa Habil Khorakiwala	ABLPK6715C		2,16,000	0	0	2,16,000	0.20	2,16,000	0	2,16,000	0.20	0	0.00	0	0.00	0	0.00	2,16,000
Nafisa Habil Khorakiwala	AAGPK6495H		2,640	0	0	2,640	0.00	2,640	0	2,640	0.00	0	0.00	0	0.00	0	0.00	2,640
<b>(b) Central Government / State Government(s)</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
<b>(c) Financial Institutions / Banks</b>		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
<b>(d) Any Other (Bodies Corporate)</b>		5	8,10,97,757	0	0	8,10,97,757	73.39	8,10,97,757	0	8,10,97,757	73.39	0	0.00	0.00	0.00	0.00	0.00	8,10,97,757
Themisto Trustee Company Private Limited	AAHFH9240E		6,58,97,757	0	0	6,58,97,757	59.63	6,58,97,757	0	6,58,97,757	59.63	0	0.00	0.00	0.00	0.00	0.00	6,58,97,757
Pasithe Trustee Company Private Limited	AAHFH9707N		52,00,000	0	0	52,00,000	4.71	52,00,000	0	52,00,000	4.71	0	0.00	0.00	0.00	0.00	0.00	52,00,000
Ananke Trustee Company Private Limited	AAZFA7680B		50,00,000	0	0	50,00,000	4.52	50,00,000	0	50,00,000	4.52	0	0.00	0.00	0.00	0.00	0.00	50,00,000
Callirhoe Trustee Company Private Limited	AAFFL2617M		50,00,000	0	0	50,00,000	4.52	50,00,000	0	50,00,000	4.52	0	0.00	0.00	0.00	0.00	0.00	50,00,000
Khorakiwala Holdings and Investments Private Limited	AAACK1955D		0	0	0	0	0.00	0	N.A.	0	0.00	1,85,28,540	N.A.	0.00	0.00	0.00	0.00	0
<b>Sub Total (A)(1)</b>		9	8,19,85,382	0	0	8,19,85,382	74.19	8,19,85,382	N.A.	8,19,85,382	74.19	1,85,28,540	N.A.	0.00	0.00	0.00	0.00	8,19,85,382
<b>2 Foreign</b>																		
<b>(a) Individuals (Non-Resident Individuals / Foreign Individuals)</b>		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0
<b>(b) Government</b>		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0
<b>(c) Institutions</b>		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0
<b>(d) Foreign Portfolio Investor</b>		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0
<b>(e) Any Other (Specify)</b>		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0
<b>Sub Total (A)(2)</b>		0	0	0	0	0	0.00	0	0	0	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0
<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		9	8,19,85,382	0	0	8,19,85,382	74.19	8,19,85,382	N.A.	8,19,85,382	74.19	1,85,28,540	N.A.	0.00	0.00	0.00	0.00	8,19,85,382

**Notes:**

The shares appearing under "Bodies Corporate" are held by the said Companies (excluding Khorakiwala Holdings and Investments Private Limited) in capacity as a trustee of trusts being partner in partnership firms. Khorakiwala Holdings and Investments Private Limited holds 18,528,540 OCCRPS of Rs. 5/- each.





**Wockhardt Limited**
**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) *	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) *	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a) (Not Applicable)		As a % of total Shares held(b) (Not Applicable)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Fund		24	1,499,254	0	0	1,499,254	1.36	1,499,254	0	1,499,254	1.36	0	0.00	0	0.00	NA	1,498,354	
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Foreign Portfolio Investor		70	3001790	0	0	3001790	2.72	3001790	0	3001790	2.72	0	0.00	0	0.00	NA	3001790	
(f)	Financial Institutions / Banks		10	67169	0	0	67169	0.06	67169	N.A.	67169	0.06	102,926,387	N.A.	0	0.00	NA	66669	
(g)	Insurance Companies		3	1,328,346	0	0	1,328,346	1.20	1,328,346	0	1,328,346	1.20	0	0.00	0	0.00	NA	1,326,946	
	Life Insurance Corporation Of India	AAACL0582H		1,326,946	0	0	1,326,946	1.20	1,326,946	0	1,326,946	1.20	0	0.00	0	0.00	NA	1,326,946	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(i)	Any Other (Foreign Institutional Investors)		45	5310124	0	0	5310124	4.81	5310124	0	5310124	4.81	0	0.00	0	0.00	NA	5307724	
	Swiss Finance Corporation (Mauritius) Limited	AAFCS2799Q		1355625	0	0	1355625	1.23	1355625	0	1355625	1.23	0	0.00	0	0.00	NA	1355625	
	Merrill Lynch Capital Markets Espana S.A. S.V.	AACCM7105R		1406390	0	0	1406390	1.27	1406390	0	1406390	1.27	0	0.00	0	0.00	NA	1406390	
	<b>Sub Total (B)(1)</b>		<b>152</b>	<b>11206683</b>	<b>0</b>	<b>0</b>	<b>11206683</b>	<b>10.15</b>	<b>11206683</b>	<b>N.A.</b>	<b>11206683</b>	<b>10.15</b>	<b>102,926,387</b>	<b>N.A.</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>11201483</b>	
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>0</b>	
	<b>Sub Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>0</b>	
<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals			0	0												NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		85437	11558148	0	0	11558148	10.46	11558148	0	11558148	10.46	0	0.00	0	0.00	NA	10622917	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	1859867	0	0	1859867	1.68	1859867	0	1859867	1.68	0	0.00	0	0.00	NA	1859867	
(b)	NBFCs registered with RBI		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	0	
(e)	Any Other (Specify)		5413	3759103	0	0	3759103	3.41	3759103	0	3759103	3.41	0	0.00	0	0.00	NA	3750160	
	Trusts		5	1,009	0	0	1,009	0.00	1,009	0	1,009	0.00	0	0.00	0	0.00	NA	1,009	
	Foreign Nationals		2	350	0	0	350	0.00	350	0	350	0.00	0	0.00	0	0.00	NA	350	
	Hindu Undivided Family		1903	475,557	0	0	475,557	0.43	475,557	0	475,557	0.43	0	0.00	0	0.00	NA	475,557	
	Non Resident Indians (Non Repat)		442	213,143	0	0	213,143	0.19	213,143	0	213,143	0.19	0	0.00	0	0.00	NA	210,743	
	Directors / Relatives of Directors		5	57,600	0	0	57,600	0.05	57,600	0	57,600	0.05	0	0.00	0	0.00	NA	57,600	
	Non Resident Indians (Repat)		1421	805,169	0	0	805,169	0.73	805,169	0	805,169	0.73	0	0.00	0	0.00	NA	802,469	
	Clearing Member		637	427,483	0	0	427,483	0.39	427,483	0	427,483	0.39	0	0.00	0	0.00	NA	427,483	
	Bodies Corporate		998	1,778,792	0	0	1,778,792	1.61	1,778,792	0	1,778,792	1.61	0	0.00	0	0.00	NA	1,774,949	
	<b>Sub Total (B)(3)</b>		<b>90863</b>	<b>17177118</b>	<b>0</b>	<b>0</b>	<b>17177118</b>	<b>15.55</b>	<b>17177118</b>	<b>0</b>	<b>17177118</b>	<b>15.55</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>16232944</b>	
	<b>Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)</b>		<b>91015</b>	<b>28383801</b>	<b>0</b>	<b>0</b>	<b>28383801</b>	<b>25.68</b>	<b>28383801</b>	<b>0</b>	<b>28383801</b>	<b>25.68</b>	<b>102,926,387</b>	<b>N.A.</b>	<b>0</b>	<b>0.00</b>	<b>NA</b>	<b>27434427</b>	

Note:

102,926,387 OCCRPS are held by 4 Banks



**Wockhardt Limited**
**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of share holders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1 Custodian/DR Holder		2	139,720	0	0	139,720	0.13	139,720	0	139,720	0.13	0	0.13	0	0.00	N.A.	124,120	
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	N.A.	0	
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		2	139,720	0	0	139,720	0.13	139,720	0	139,720	0.13	0	0.13	0	0.00	N.A.	124,120	

