

14<sup>th</sup> July, 2017

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001  <b><u>Scrip Code: 532300</u></b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai 400 051.  <b><u>NSE Symbol – WOCKPHARMA</u></b>
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Dear Sirs,

**Sub: Statement showing holding of securities and Shareholding Pattern**

In compliance with Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 30<sup>th</sup> June, 2017.

Kindly acknowledge receipt of the same.

Thanking you,

For Wockhardt Limited



**Narendra Singh**  
Company Secretary



Encl: As above



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: Wockhardt Limited
2	Scrip Code/Name of Scrip/Class of Security: 532300
3	Share Holding Pattern Filed under: Reg. 31(1)(b) a. If under 31(1)(b) then indicate the report for Quarter ending 30th June, 2017 b. If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars		Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	Yes	
3	Whether the Listed Entity has any shares against which depository receipts are issued?	Yes	
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No



**Wockhardt Limited**
**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a % of	(IX)			(X)	(XI) = (VII)+(X) As	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	13	81985382	0	0	81985382	74.15	81985382	0	81985382	74.15	83310	73.86	0	0	0	0	81985382
(B)	Public	127475	28449926	0	0	28449926	25.73	28449926	0	28449926	25.73	462786	26.02	0	0	NA	NA	27530434
(C)	Non Promoter - Non Public					0			0			0		0	0	NA	NA	
(C1)	Shares Underlying DRs	2	127920	0	0	127920	0.12	127920	0	127920	0.12	0	0.12	0	0	NA	NA	112320
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	<b>Total</b>	<b>127490</b>	<b>110563228</b>	<b>0</b>	<b>0</b>	<b>110563228</b>	<b>100</b>	<b>110563228</b>	<b>0</b>	<b>110563228</b>	<b>100</b>	<b>546096</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>109628136</b>

1. The total Equity Share Capital of the Company comprises of 11,05,63,228 Equity Shares of Rs. 5/- each which includes 'Shares underlying DRs'. Hence, for the purpose of calculation of % for the column 'Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)', the Company has considered '11,05,63,228' (i.e. Total No. of Equity Shares as above) as denominator.

2. The Company had issued 121,454,927 Optionally Convertible Cumulative Preference Shares ('OCCRPS'). These OCCRPSS are held by one of the promoters of the Company and four banks.

3. In the column 'No. of Shares Underlying Outstanding convertible securities (including Warrants)', actual no. of Shares that will be allotted to OCCRPSS holders have been mentioned on the assumption of full conversion of OCCRPSS.

4. In the column 'Shareholding as a % assuming full conversion securities (as a percentage of diluted share capital)', the percentage is arrived at taking the conversion price of Rs. 1112.03 (i.e. Conversion Price of Rs. 1112.03 is as on 4th June 2016, which is Relevant Date). Hence, the denominator for the purpose of calculation of percentage in this column is the enhanced Capital (i.e. Total No. of Fully paid up Equity shares held as on 30/06/2017 plus Equity Shares that will arise assuming full conversion of OCCRPSS)



**Wockhardt Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including securities)	Shareholding, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total									
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)		(XIII)		(XIV)		
<b>1</b>	<b>Indian</b>																		
<b>(a)</b>	<b>Individuals / Hindu Undivided Family</b>		<b>4</b>	<b>887625</b>	<b>0</b>	<b>0</b>	<b>887625</b>	<b>0.80</b>	<b>887625</b>	<b>0</b>	<b>887625</b>	<b>0.80</b>	<b>0</b>	<b>0.80</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>887625</b>
	Habil F Khorakiwala	AABPK4415C	1	442785	0	0	442785	0.40	442785	0	442785	0.40	0	0.40	0	0	0	0	442785
	Murtaza Habil Khorakiwala	AAZPK7040H	1	226200	0	0	226200	0.20	226200	0	226200	0.20	0	0.20	0	0	0	0	226200
	Huzafa Habil Khorakiwala	ABLPG6715C	1	216000	0	0	216000	0.20	216000	0	216000	0.20	0	0.20	0	0	0	0	216000
	Nafisa Habil Khorakiwala	AAGPK6495H	1	2640	0	0	2640	0.00	2640	0	2640	0.00	0	0.00	0	0	0	0	2640
<b>(b)</b>	<b>Central Government / State Government(s)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(c)</b>	<b>Financial Institutions / Banks</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(d)</b>	<b>Any Other (Specify)</b>		<b>9</b>	<b>81097757</b>	<b>0</b>	<b>0</b>	<b>81097757</b>	<b>73.35</b>	<b>81097757</b>	<b>0</b>	<b>81097757</b>	<b>73.35</b>	<b>83310</b>	<b>73.06</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>81097757</b>
	<b>Promoter Trust</b>		<b>4</b>	<b>10800000</b>	<b>0</b>	<b>0</b>	<b>10800000</b>	<b>9.77</b>	<b>10800000</b>	<b>0</b>	<b>10800000</b>	<b>9.77</b>	<b>0</b>	<b>9.72</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>10800000</b>
	Themisto Trustee Company Private Limited	AABTH2543J	1	5400000	0	0	5400000	4.88	5400000	0	5400000	4.88	0	4.86	0	0	0	0	5400000
	Ananke Trustee Company Private Limited	AAETA2974M	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.62	0	0	0	0	1800000
	Callirhoe Trustee Company Private Limited	AABTL1561G	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.62	0	0	0	0	1800000
	Pasithe Trustee Company Private Limited	AABTH2544R	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.62	0	0	0	0	1800000
	<b>Bodies Corporate</b>		<b>5</b>	<b>70297757</b>	<b>0</b>	<b>0</b>	<b>70297757</b>	<b>63.58</b>	<b>70297757</b>	<b>0</b>	<b>70297757</b>	<b>63.58</b>	<b>83310</b>	<b>63.34</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>70297757</b>
	Themisto Trustee Company Private Limited	AAHFH9240E	1	60497757	0	0	60497757	54.72	60497757	0	60497757	54.72	0	54.45	0	0	0	0	60497757
	Pasithe Trustee Company Private Limited	AAHFH9707N	1	3400000	0	0	3400000	3.08	3400000	0	3400000	3.08	0	3.06	0	0	0	0	3400000
	Ananke Trustee Company Private Limited	AAZFA7680B	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.88	0	0	0	0	3200000
	Callirhoe Trustee Company Private Limited	AAFFL2617M	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.88	0	0	0	0	3200000
	Khorakiwala Holdings and Investments Private Limited	AAACK1955D	1										83310	0.07					
	<b>Sub Total (A)(1)</b>		<b>13</b>	<b>81985382</b>	<b>0</b>	<b>0</b>	<b>81985382</b>	<b>74.15</b>	<b>81985382</b>	<b>0</b>	<b>81985382</b>	<b>74.15</b>	<b>83310</b>	<b>73.86</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>81985382</b>
<b>2</b>	<b>Foreign</b>																		
<b>(a)</b>	<b>Individuals (Non-Resident Individuals / Foreign Individuals)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(b)</b>	<b>Government</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(c)</b>	<b>Institutions</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(d)</b>	<b>Foreign Portfolio Investor</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>(e)</b>	<b>Any Other (Specify)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Sub Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
	<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>13</b>	<b>81985382</b>	<b>0</b>	<b>0</b>	<b>81985382</b>	<b>74.15</b>	<b>81985382</b>	<b>0</b>	<b>81985382</b>	<b>74.15</b>	<b>83310</b>	<b>73.86</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>81985382</b>

- The shares appearing under Category "Any Other" "Bodies Corporate" are held by the said Companies (excluding Khorakiwala Holdings and Investments Private Limited) in capacity as a Trustee of respective Trusts being partner in Partnership Firms.
- The shares appearing under Category "Any Other" "Promoter Trust" are held by the said Companies in the capacity as a Trustee of respective Trusts
- Khorakiwala Holdings and Investments Private Limited holds 18,528,540 OCCRPS of Rs. 5/- each.





**Wockhardt Limited**
**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)= (VII)+(X) As a	(XII)		(XIII)		(XIV)	
<b>1</b>	<b>Institutions</b>																		
(a)	Mutual Fund		18	1661966	0	0	1661966	1.50	1661966	0	1661966	1.50	0	1.49	0	0	NA	NA	1661066
(b)	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Foreign Portfolio Investor		111	4214694	0	0	4214694	3.81	4214694	0	4214694	3.81	0	3.79	0	0	NA	NA	4212294
(f)	Financial Institutions / Banks		16	98366	0	0	98366	0.09	98366	0	98366	0.09	462786	0.51	0	0	NA	NA	97866
(g)	Insurance Companies		2	1328271	0	0	1328271	1.20	1328271	0	1328271	1.20	0	1.20	0	0	NA	NA	1326871
	Life Insurance Corporation Of India	AAACL0582H		1326871	0	0	1326871	1.20	1326871	0	1326871	1.20	0	1.19	0	0	NA	NA	1326871
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(i)	Any Other (Specify)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Sub Total (B)(1)		147	7303297	0	0	7303297	6.60	7303297	0	7303297	6.60	462786	6.99	0	0	NA	NA	7298097
<b>2</b>	<b>Central Government/ State Government(s)/ President of India</b>																		
	Sub Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
<b>3</b>	<b>Non-Institutions</b>																		
(a)	Individuals			0	0										0		NA	NA	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		120261	15562424	0	0	15562424	14.08	15562424	0	15562424	14.08	0	14.00	0	0	NA	NA	14656675
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		7	553815	0	0	553815	0.50	553815	0	553815	0.5	0	0.5	0	0	NA	NA	553815
(b)	NBFCs registered with RBI		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(c)	Employee Trusts		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
(e)	Any Other (Specify)		7060	5030390	0	0	5030390	4.55	5030390	0	5030390	4.55	0	4.53	0	0	NA	NA	5021847
	Trusts		7	1209	0	0	1209	0.00	1209	0	1209	0.00	0	0.00	0	0	NA	NA	1209
	Foreign Nationals		2	350	0	0	350	0.00	350	0	350	0.00	0	0.00	0	0	NA	NA	350
	Hindu Undivided Family		2834	635307	0	0	635307	0.57	635307	0	635307	0.57	0	0.57	0	0	NA	NA	635307
	Foreign Companies		1	4209	0	0	4209	0.00	4209	0	4209	0	0	0.00	0	0	NA	NA	4209
	Non Resident Indians (Non Repat)		566	189034	0	0	189034	0.17	189034	0	189034	0.17	0	0.17	0	0	NA	NA	186634
	Directors / Relatives of Directors		5	57600	0	0	57600	0.05	57600	0	57600	0.05	0	0.05	0	0	NA	NA	57600
	Non Resident Indians (Repat)		1725	795632	0	0	795632	0.72	795632	0	795632	0.72	0	0.72	0	0	NA	NA	792932
	Clearing Member		811	1130456	0	0	1130456	1.02	1130456	0	1130456	1.02	0	1.02	0	0	NA	NA	1130456
	Bodies Corporate		1109	2216593	0	0	2216593	2.00	2216593	0	2216593	2	0	1.99	0	0	NA	NA	2213150
	Sub Total (B)(3)		127328	21146629	0	0	21146629	19.13	21146629	0	21146629	19.13	0	19.03	0	0	NA	NA	20232337
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		127475	28449926	0	0	28449926	25.73	28449926	0	28449926	25.73	462786	26.02	0	0	NA	NA	27530434

Note : 102,926,387 OCCRPS are held by 4 Banks.  
FPI holdings include FII holdings



**Wockhardt Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)				(X)	(XI)= (VII)+(X) As	(XII)		(XIII)		(XIV)
1 Custodian/DR Holder		2	127920	0	0	127920	0.12	127920	0	127920	0.12	0	0.12	0	0	NA	NA	112320
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
<b>Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)</b>		<b>2</b>	<b>127920</b>	<b>0</b>	<b>0</b>	<b>127920</b>	<b>0.12</b>	<b>127920</b>	<b>0</b>	<b>127920</b>	<b>0.12</b>	<b>0</b>	<b>0.12</b>	<b>0</b>	<b>0</b>	<b>NA</b>	<b>NA</b>	<b>112320</b>

