

18th January, 2019

BSE Limited
Corporate Relations Department
P J Towers,
Dalal Street
Mumbai 400 001

Scrip Code: 532300

National Stock Exchange of India Limited
Exchange Plaza
Bandra-Kurla Complex,
Bandra (E),
Mumbai 400 051

NSE Symbol – WOCKPHARMA

Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 31st December, 2018.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**


Narendra Singh
Company Secretary



Encl: As above



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Wockhardt Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532300
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)
a.	If under 31(1)(b) then indicate the report for Quarter ending: 31st December, 2018
b.	If under 31(1)(c) then indicate date of allotment/extinguishment: Not Applicable
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-

A circular purple stamp with the text "WOCKHARDT LTD." around the perimeter and a star in the center. To the right of the stamp is a handwritten signature in blue ink.

Wockhardt Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants) ¹	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a) ²	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	12	81985382	0	0	81985382	74.07	81985382	0	81985382	74.07	0	74.07	0	0	1000000	1.22	81985382
(B)	Public	111201	28700821	0	0	28700821	25.93	28700821	0	28700821	25.93	0	25.93	0	0	NA	NA	27839581
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(C2)	Shares held by Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
Total		111213	110686203	0	0	110686203	100.00	110686203	0	110686203	100.00	0	100.00	0	0	1000000	0.90	109824963

¹ The Company has redeemed all its 12,14,54,927 Optionally Convertible Cumulative Redeemable Preference Shares ('OCCRPS Series 2') of face value of Rs. 5 each on due date of redemption i.e. 31st December, 2018.

² Pledge has been created on 10,00,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) on 19th December, 2018.



Wockhardt Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) ¹	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a) ²		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family⁵		4	887625	0	0	887625	0.80	887625	0	887625	0.80	0	0.80	0	0	0	0.00	887625
	Habil F Khorakiwala	AABPK4415C	1	442785	0	0	442785	0.40	442785	0	442785	0.40	0	0.40	0	0	0	0.00	442785
	Murtaza Habil Khorakiwala	AAZPK7040H	1	226200	0	0	226200	0.20	226200	0	226200	0.20	0	0.20	0	0	0	0.00	226200
	Huzaifa Habil Khorakiwala	ABLPK6715C	1	216000	0	0	216000	0.20	216000	0	216000	0.20	0	0.20	0	0	0	0.00	216000
	Nafisa Habil Khorakiwala	AAGPK6495H	1	2640	0	0	2640	0.00	2640	0	2640	0.00	0	0.00	0	0	0	0.00	2640
(b)	Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Any Other (Specify)⁵		8	81097757	0	0	81097757	73.27	81097757	0	81097757	73.27	0	73.27	0	0	1000000	1.23	81097757
	Promoters' Trust³		4	10800000	0	0	10800000	9.76	10800000	0	10800000	9.76	0	9.76	0	0	0	0.00	10800000
	Themisto Trustee Company Private Limited	AABTH2543J	1	5400000	0	0	5400000	4.88	5400000	0	5400000	4.88	0	4.88	0	0	0	0.00	5400000
	Ananke Trustee Company Private Limited	AAETA2974M	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.63	0	0	0	0.00	1800000
	Callirhoe Trustee Company Private Limited	AABTL1561G	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.63	0	0	0	0.00	1800000
	Pasithe Trustee Company Private Limited	AABTH2544R	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.63	0	0	0	0.00	1800000
	Bodies Corporate⁴		4	70297757	0	0	70297757	63.51	70297757	0	70297757	63.51	0	63.51	0	0	1000000	1.42	70297757
	Themisto Trustee Company Private Limited	AAHFH9240E	1	60497757	0	0	60497757	54.66	60497757	0	60497757	54.66	0	54.66	0	0	1000000	1.65	60497757
	Ananke Trustee Company Private Limited	AAZFA7680B	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0	0	0.00	3200000
	Callirhoe Trustee Company Private Limited	AAFL2617M	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0	0	0.00	3200000
	Pasithe Trustee Company Private Limited	AAHFH9707N	1	3400000	0	0	3400000	3.07	3400000	0	3400000	3.07	0	3.07	0	0	0	0.00	3400000
	Sub Total (A)(1)		12	81985382	0	0	81985382	74.07	81985382	0	81985382	74.07	0	74.07	0	0	1000000	1.22	81985382
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(e)	Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		12	81985382	0	0	81985382	74.07	81985382	0	81985382	74.07	0	74.07	0	0	1000000	1.22	81985382

¹ The Company has redeemed 1,85,28,540 Optionally Convertible Cumulative Redeemable Preference Shares ('OCCRPS Series 2') of face value of Rs. 5 each held by Khorakiwala Holdings and Investments Private Limited on 31st December, 2018

² Pledge has been created on 10,00,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) on 19th December, 2018

³ The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust respectively.

⁴ The shares appearing under Category "Any Other" "Bodies Corporate" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithea Consultants and HNZ Consultants respectively.

⁵ Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company.



Wockhardt Limited
Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) ¹	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Institutions																		
(a) Mutual Fund		18	2402798	0	0	2402798	2.17	2402798	0	2402798	2.17	0	2.17	0	0	NA	NA	2401898
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(c) Alternate Investment Funds		4	125700	0	0	125700	0.11	125700	0	125700	0.11	0	0.11	0	0	NA	NA	125700
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(e) Foreign Portfolio Investor ²		104	5471307	0	0	5471307	4.94	5471307	0	5471307	4.94	0	4.94	0	0	NA	NA	5468907
Delaware Group Global and International Funds- Delaware Emerging Markets Fund	AAATD9379H	1	1200000	0	0	1200000	1.08	1200000	0	1200000	1.08	0	1.08	0	0	NA	NA	1200000
(f) Financial Institutions / Banks		9	127425	0	0	127425	0.12	127425	0	127425	0.12	0	0.12	0	0	NA	NA	126925
(g) Insurance Companies		2	1328271	0	0	1328271	1.20	1328271	0	1328271	1.20	0	1.20	0	0	NA	NA	1326871
Life Insurance Corporation of India	AAACL0582H	1	1326871	0	0	1326871	1.20	1326871	0	1326871	1.20	0	1.20	0	0	NA	NA	1326871
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(i) Any Other (Specify)		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0	NA	NA	10200
Foreign Bank		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0	NA	NA	10200
Sub Total (B)(1)		139	9465701	0	0	9465701	8.55	9465701	0	9465701	8.55	0	8.55	0	0	NA	NA	9460501
2 Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
3 Non-Institutions																		
(a) Individuals		105150	15048729	0	0	15048729	13.60	15048729	0	15048729	13.60	0	13.60	0	0	NA	NA	14216832
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs		105143	14484023	0	0	14484023	13.09	14484023	0	14484023	13.09	0	13.09	0	0	NA	NA	13652126
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs		7	564706	0	0	564706	0.51	564706	0	564706	0.51	0	0.51	0	0	NA	NA	564706
(b) NBFCs registered with RBI		13	15929	0	0	15929	0.01	15929	0	15929	0.01	0	0.01	0	0	NA	NA	15929
(c) Employee Trusts		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(d) Overseas Depositories (holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(e) Any Other (Specify)		5899	4170462	0	0	4170462	3.77	4170462	0	4170462	3.77	0	3.77	0	0	NA	NA	4146319
Trusts		5	39900	0	0	39900	0.04	39900	0	39900	0.04	0	0.04	0	0	NA	NA	39900
Foreign Nationals		1	600	0	0	600	0.00	600	0	600	0.00	0	0.00	0	0	NA	NA	600
Hindu Undivided Family		2653	573944	0	0	573944	0.52	573944	0	573944	0.52	0	0.52	0	0	NA	NA	573944
Non Resident Indians (Non Repat)		632	202502	0	0	202502	0.18	202502	0	202502	0.18	0	0.18	0	0	NA	NA	200102
Directors / Relatives of Directors		5	57600	0	0	57600	0.05	57600	0	57600	0.05	0	0.05	0	0	NA	NA	57600
Non Resident Indians (Repat)		1468	824643	0	0	824643	0.75	824643	0	824643	0.75	0	0.75	0	0	NA	NA	821943
Clearing Member		350	582544	0	0	582544	0.53	582544	0	582544	0.53	0	0.53	0	0	NA	NA	582544
Bodies Corporate		785	1888729	0	0	1888729	1.71	1888729	0	1888729	1.71	0	1.71	0	0	NA	NA	1869686
Sub Total (B)(3)		111062	19235120	0	0	19235120	17.38	19235120	0	19235120	17.38	0	17.38	0	0	NA	NA	18379080
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		111201	28700821	0	0	28700821	25.93	28700821	0	28700821	25.93	0	25.93	0	0	NA	NA	27839581

¹ The Company has redeemed 10,29,26,387 Optionally Convertible Cumulative Redeemable Preference Shares ('OCCRPS Series 2') of face value of Rs. 5 each held by 4 (four) banks on 31st December, 2018

² FPI holdings include FII holdings


Wockhardt Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No. of Voting Rights			Total as a % of Total Voting rights			No.	As a % of total Shares held	No.	As a % of total Shares held	
									Class X	Class Y	Total								
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)				(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust [under SEBI (Share based Employee Benefit) Regulations, 2014]		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

