

17th July, 2021

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol – WOCKPHARMA</u>
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Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 30th June, 2021.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



Gajanand Sahu
Company Secretary

Encl: As above



Wockhardt Limited

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Wockhardt Limited					
2.	Scrip Code/Name of Scrip/Class of Security: 532300					
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)					
	a.	If under 31(1)(b) then indicate the report for Quarter ending 30th June, 2021				
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable				
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-					
	Sr. No.	Particulars	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter Public
	1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
	2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No	No	No	No
	3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
	4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
	5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
	6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes	No	No
	7	Whether company has equity shares with differential voting rights?	No	No	No	No
	8	Whether the listed entity has any significant beneficial owner?	Yes			

Wockhardt Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)*		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)	(VIII) As a % of	(IX)	(X)	(XI) = (IX)+(X) As a %	(a)	(b)	(c)	(d)	(e)			
(a)	Promoter & Promoter Group	13	7719342	0	0	7719342	69.627	7719342	0	69.627	0	0.0000	2658800	34442400	7719342			
(b)	Public	144832	33585811	0	0	33585811	30.3173	33585811	0	30.3173	0	0.0000	NA	NA	33616912			
(c)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0			
(d)	Shares Underlying Ols	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0			
(e)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	NA	NA	0			
	Total	144845	110781153	0	0	110781153	100.0000	110781153	0	100.0000	0	0.0000	26588000	24,000,000	110721254			

* If Promoters have so far pledged total 24.21% of total share capital of the Company (comprising of 26,618,000 equity shares) on various dates which includes pledge created on 22,30,000 equity shares on 30th June, 2021. However, out of the total pledge created on 22,30,000 equity shares on 30th June, 2021, the company had initiated the request to create the pledge on 2,30,000 equity shares on 30th June, 2021, but the same was accepted by the depositories on 1st July, 2021. Hence, the pledge created on 2,30,000 is not reflected in the current quarter's shareholding pattern. Total Pledge created during the quarter is 1,56,08,000 equity shares (excluding 2,30,000 equity shares) on various dates. Pledge has been released during the quarter on 37,70,000 equity shares on various dates.

Wockhardt Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	No. of shareholders (II)	No. of fully paid up equity shares held (VI)	Partly paid-up equity shares held (VII)	No. of shares underlying Depository Receipts (VIII)	Total nos. shares held (VII) + (VIII) = (IX)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X)/(IX)+(X) As a % of (XII)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)	
						No. of Voting Rights					Total as a % of (IX)+(X)	No. (a)	As a % of total Shares held (b)	No. (a) ¹		As a % of total Shares held (b)
						Class: e.g. X	Class: e.g. Y	Total (X)								
1	India															
(a)	Individuals / Hindu Undivided Family	5	506091	0	0	506091	0.8179	0	0.8179	0	0.0000	0	0.0000	506091		
	Habib F. Khorakwala	1	459451	0	0	459451	0.4147	0	0.4147	0	0.0000	0	0.0000	459451		
	Murtaza Habib Khorakwala	1	226200	0	0	226200	0.2042	0	0.2042	0	0.0000	0	0.0000	226200		
	Hussain Habib Khorakwala	1	216000	0	0	216000	0.1950	0	0.1950	0	0.0000	0	0.0000	216000		
	Nafisa Habib Khorakwala	1	2540	0	0	2540	0.0024	0	0.0024	0	0.0000	0	0.0000	2540		
	Muqad H. Khorakwala	1	1800	0	0	1800	0.0016	0	0.0016	0	0.0000	0	0.0000	1800		
	Zahabisa Khorakwala	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
(b)	Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
(c)	Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
(d)	Any Other (Specify)	8	76289251	0	0	76289251	68.8648	76289251	68.8648	0	0.0000	2658000	34.8516	76289251		
	Promoter Trust ¹	4	6493294	0	0	6493294	5.8614	6493294	5.8614	0	0.0000	0	0.0000	6493294		
	Thamasa Trustee Company Private Limited	1	3800000	0	0	3800000	3.4907	3800000	3.4907	0	0.0000	0	0.0000	3800000		
	Paichee Trustee Company Private Limited	1	1000000	0	0	1000000	0.9027	1000000	0.9027	0	0.0000	0	0.0000	1000000		
	Anank Trustee Company Private Limited	1	972716	0	0	972716	0.8781	972716	0.8781	0	0.0000	0	0.0000	972716		
	Callihee Trustee Company Private Limited	1	720578	0	0	720578	0.6505	720578	0.6505	0	0.0000	0	0.0000	720578		
	Partnership Firm ²	4	69795957	0	0	69795957	63.0035	69795957	63.0035	0	0.0000	2658000	36.0939	69795957		
	Thamasa Trustee Company Private Limited	1	59995957	0	0	59995957	54.1572	59995957	54.1572	0	0.0000	2658000	44.3163	59995957		
	Paichee Trustee Company Private Limited	1	3400000	0	0	3400000	3.0991	3400000	3.0991	0	0.0000	0	0.0000	3400000		
	Anank Trustee Company Private Limited	1	3200000	0	0	3200000	2.8886	3200000	2.8886	0	0.0000	0	0.0000	3200000		
	Callihee Trustee Company Private Limited	1	3200000	0	0	3200000	2.8886	3200000	2.8886	0	0.0000	0	0.0000	3200000		
	Booze Corporate ³	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Dattmou Holdings Private Limited	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Palampur Holdings and Investments Private Limited	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Khorakwala Holdings and Investments Private Limited	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0		
	Sub Total (A)(1)	13	77195342	0	0	77195342	69.6827	77195342	69.6827	0	0.0000	2658000	34.4425	77195342		
2	Foreign															
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
(b)	Government	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
(c)	Institutions	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
(d)	Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
(e)	Any Other (Specify)	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
	Sub Total (A)(2)	0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0		
	Total Shareholding Of Promoter And Promoter Group (A)-(A)(1)+(A)(2)	13	77195342	0	0	77195342	69.6827	77195342	69.6827	0	0.0000	2658000	34.4425	77195342		

¹ Promoters are in for pledged total 34.21% of total share capital of the Company (comprising of 26,16,000 equity shares) on various dates which includes pledge created on 22,30,000 equity shares on 30th June, 2021. However, out of the total pledge created on 22,30,000 equity shares on 30th June, 2021, the company had in the request to create the pledge on 2,30,000 equity shares on 30th June, 2021, but the same was accepted by the depositories on 1st July, 2021. Hence, the pledge created on 2,30,000 is not reflected in the current quarter's shareholding pattern. Total Pledge created during the quarter is 1,54,68,000 equity shares (excluding 2,30,000 equity shares) on various dates. Pledge has been released during the quarter on 37,76,000 equity shares on various dates.

² The shares appearing under Category "Any Other" "Promoters Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habib Khorakwala Trust, Amathee Discretionary Trust, Lythea Discretionary Trust and HNZ Discretionary Trust respectively.

³ The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firm i.e. Humza Consultants, Amathee Consultants, Lythea Consultants and HNZ Consultants respectively.

⁴ Ms. Zahabisa Khorakwala, Dattmou Holdings Private Limited, Palampur Holdings and Investments Private Limited and Khorakwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.

Note: (1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders (I)	Nos. of shareholders (II)	No. of fully paid up equity shares held (IV)	Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (I)+(II)+(III)+(VI)+(VII) As a % of	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)
							Class	Class eg: (X)	Total (IX)			No. (a)	As a % of total Shares held (a)	No. (a)	As a % of total Shares held (b)	
1																
(a) Mutual Fund	5	22290	0	0	22290	0.0201	22290	0	22290	0.0201	0	0.0000	N/A	N/A	22290	
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	N/A	N/A	0		
(c) Alternate Investment Funds	2	65620	0	0	65620	0.0592	65620	0	65620	0.0592	0	0.0000	N/A	N/A	65620	
(d) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	N/A	N/A	0		
(e) Foreign Portfolio Investor	75	3024943	0	0	3024943	2.7306	3024943	0	3024943	2.7306	0	0.0000	N/A	N/A	3024943	
(f) Financial Institutions / Banks	6	840	0	0	840	0.0008	840	0	840	0.0008	0	0.0000	N/A	N/A	840	
(g) Insurance Companies	2	1475	0	0	1475	0.0013	1475	0	1475	0.0013	0	0.0000	N/A	N/A	1475	
(h) Provident Funds / Pension Funds	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	N/A	N/A	0		
(i) Any Other (Specify)	2	10200	0	0	10200	0.0092	10200	0	10200	0.0092	0	0.0000	N/A	N/A	10200	
Foreign Bank	2	10200	0	0	10200	0.0092	10200	0	10200	0.0092	0	0.0000	N/A	N/A	10200	
Sub Total (B)(1)	92	3125368	0	0	3125368	2.8212	3125368	0	3125368	2.8212	0	0.0000	N/A	N/A	3125368	
2																
Central Government / State Government(s) / President of India	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	N/A	N/A	0		
Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	N/A	N/A	0		
3																
(a) Non-institutions																
Individuals	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	N/A	N/A	0		
i. Individual shareholders holding nominal share capital up to Rs. 2 Lakhs	136704	19911995	0	0	19911995	17.9747	19911995	0	19911995	17.9747	0	0.0000	N/A	N/A	19911995	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs	23	5593527	0	0	5593527	5.0492	5593527	0	5593527	5.0492	0	0.0000	N/A	N/A	5593527	
Rajshree Ramesh Rameshram	2500005	2500005	0	0	2500005	2.2567	2500005	0	2500005	2.2567	0	0.0000	N/A	N/A	2500005	
NBCU (Registered with RBI)	1	546	0	0	546	0.0005	546	0	546	0.0005	0	0.0000	N/A	N/A	546	
(b) Trusts/Employee Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	N/A	N/A	0		
(c) Any Other (Specify)	602	4514375	0	0	4514375	4.1222	4514375	0	4514375	4.1222	0	0.0000	N/A	N/A	4514375	
IPF	1	192728	0	0	192728	0.1785	192728	0	192728	0.1785	0	0.0000	N/A	N/A	192728	
Trusts	2	700	0	0	700	0.0006	700	0	700	0.0006	0	0.0000	N/A	N/A	700	
Hindu Undivided Family	2957	864477	0	0	864477	0.7803	864477	0	864477	0.7803	0	0.0000	N/A	N/A	864477	
Non-Resident Indians (Non-Repatriation)	730	229495	0	0	229495	0.2095	229495	0	229495	0.2095	0	0.0000	N/A	N/A	229495	
Other Director / Relative	2	1000	0	0	1000	0.0009	1000	0	1000	0.0009	0	0.0000	N/A	N/A	1000	
Non-Resident Indians (Repatriation)	142	906475	0	0	906475	0.8183	906475	0	906475	0.8183	0	0.0000	N/A	N/A	906475	
Body Corporate, Ltd Liability Partnership, DR	50	138562	0	0	138562	0.1251	138562	0	138562	0.1251	0	0.0000	N/A	N/A	138562	
Clearing Member	234	1424093	0	0	1424093	1.2855	1424093	0	1424093	1.2855	0	0.0000	N/A	N/A	1424093	
Bodies Corporate	814	1191775	0	0	1191775	1.0758	1191775	0	1191775	1.0758	0	0.0000	N/A	N/A	1191775	
Sub Total (B)(3)	148740	30460443	0	0	30460443	27.4961	30460443	0	30460443	27.4961	0	0.0000	N/A	N/A	30460443	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	144832	33865811	0	0	33865811	30.3173	33865811	0	33865811	30.3173	0	0.0000	N/A	N/A	33865811	

Note:
[1] PAN would not be displayed on website of Stock Exchange(s).

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	No. of Shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 2012 As a % of (A+B+C)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
							No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
							Class eg: X	Class eg: Y	Total								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (viii) As a % of	(ix)	(x)	(xi) = (vii)(x) As a %	(xii)	(xiii)	(xiv)	(xv)	(xvi)			
1 Custodian/DR Holder	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
2 Employee Benefit Trust (under SEBI Share based Employee Benefit) Regulations, 2018	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		

Note :
 (1) PAN would not be displayed on website of Stock Exchange(s).