

27<sup>th</sup> April, 2020

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street <b>Mumbai 400 001</b>  <b><u>Scrip Code: 532300</u></b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), <b>Mumbai 400 051</b>  <b><u>NSE Symbol: WOCKPHARMA</u></b>
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Dear Sir/Madam,

**Sub: Submission of Certificate pursuant to Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

In compliance with Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the copy of certificate by a Practising Company Secretary for the half year ended 31<sup>st</sup> March, 2020.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



**Narendra Singh**  
**Company Secretary**

Encl: As above



# Virendra Bhatt

Company Secretary

Office:

Office No. 03, A Wing, 9th Floor,  
Pinnacle Corporate Park

BKC CST Link Rd., MMRDA Area,  
Bandra Kurla Complex,

Bandra East, Mumbai- 400 051

Tel.: 022 – 2652 9367 / 68

Mobile No.: +91 98200 48670

Email: bhattivirendra1945@yahoo.co.in

Name of the Company : WOCKHARDT LIMITED

CIN : L24230MH1999PLC120720

Registered Office : Wockhardt Research Centre, D - 4, MIDC,  
Chikalthana, Aurangabad – 431006

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR  
ENDED 31<sup>ST</sup> MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **WOCKHARDT LIMITED** maintained by **LINK INTIME INDIA PRIVATE LIMITED, the Registrar and Share Transfer Agent (RTA) of the Company**, pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **WOCKHARDT LIMITED** and based on the information provided by the Company/RTA, hereby certify that the Company has delivered during the half year ended on **31<sup>st</sup> March, 2020**.

- A. Share Certificates relating to the Share Transfer and Transmission forms received during the period from **01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020** as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B. Physical Share certificates in respect of request for exchange of duplicate certificates have been issued within thirty days of lodgment.

Date: 27<sup>th</sup> April, 2020

Place: Mumbai

UDIN: A001157B000181304

Note:

Due to COVID - 19 Lockdown, we are not able to verify the information physically, therefore we rely on the information as provided by the Registrar and Share Transfer Agent in electronic mode.

VIRENDRA  
BHATT

Virendra G. Bhatt  
Practicing Company Secretary  
ACS No.: 1157 / COP No.: 124

Digitally signed by VIRENDRA BHATT  
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