

26<sup>th</sup> November, 2019

<b>BSE Limited</b> Corporate Relations Department P J Towers, Dalal Street Mumbai - 400 001  <b><u>Scrip Code: 532300</u></b>	<b>National Stock Exchange of India Limited</b> Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai - 400 051  <b><u>NSE Symbol: WOCKPHARMA</u></b>
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Dear Sirs,

**Sub: Disclosure under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulation), 2015**

We are enclosing herewith disclosures received from Promoter / Promoter Group entities under Regulation 7(2) of the SEBI (Prohibition of Insider Trading Regulations), 2015 in relation to:

- (i) sale of 15,08,803 equity shares; and
- (ii) creation of pledge on 8,50,000 equity shares of the Company.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

For **Wockhardt Limited**



**Narendra Singh**  
Company Secretary

Encl : As above



**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

Name of the Company : Wockhardt Limited  
ISIN of the Company : INE049B01025

**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired-/ Disposed				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition-of shares/-sale of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)
		Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction type (Buy/ Sale/ Pledge/ Revoke/ Invoke)	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Themisto Trustee Company Private Limited CIN:U74999MH2013PTC243902 PAN: AABTH2543J Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	54,00,000 <sup>@</sup>  [Shareholding: 4.88%]	Equity Shares	4,02,097	11,28,05,721	Sale	Equity Shares	49,97,903 <sup>@</sup>  [Shareholding: 4.51%]	20/11/2019	22/11/2019	22/11/2019	Market Sales

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust.

3. Apart from the above sale of shares by Habil Khorakiwala Trust; Amalthea Discretionary Trust, Lysithea Discretionary Trust and HN2 Discretionary Trust have also sold total 11,06,706 shares (1%) from 20<sup>th</sup> - 22<sup>nd</sup> November, 2019.

4. As on date, pledged shareholding of the Promoters stands 5.06% of total share capital (which includes pledge created on 20<sup>th</sup> November, 2019) of Wockhardt Limited ('the Company').

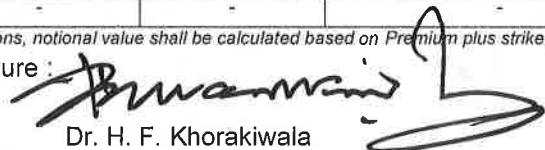
5. The promoters intend to invest the proceeds of sale of 15,08,803 Equity Shares (sold on 20<sup>th</sup> - 22<sup>nd</sup> November, 2019) in Wockhardt Ltd., in near future, in accordance with the earlier approval of the Board of Directors and/ or Shareholders of the Company.

**Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed Company and other such persons as mentioned in Regulation 6(2)**

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of Contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
15	16	17	18	19	20	21
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature :



Dr. H. F. Khorakiwala  
Director of Themisto Trustee Company Private Limited

Designation : Promoter

Date : 22/11/2019

Place : Mumbai

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

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**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired / Disposed				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition-of shares/-sale of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)
		Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction type (Buy/ Sale/ Pledge/ Revoke/ Invoke)	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Ananke Trustee Company Private Limited CIN: U74900MH2013PTC243903 PAN: AAETA2974M Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	18,00,000 <sup>@</sup>  [Shareholding: 1.63%]	Equity Shares	3,27,284	8,99,26,877	Sale	Equity Shares	14,72,716 <sup>@</sup>  [Shareholding: 1.33%]	20/11/2019	22/11/2019	22/11/2019	Market Sales

- Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.  
2. @ Ananke Trustee Company Private Limited holds these shares in its capacity as the Trustee of Amalthea Discretionary Trust.  
3. Apart from the above sale of shares by Amalthea Discretionary Trust; Habil Khorakiwala Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust have also sold total 11,81,519 (1.07%) shares each from 20<sup>th</sup> - 22<sup>nd</sup> November, 2019.  
4. As on date, pledged shareholding of the Promoters stands 5.06% of total share capital (which includes pledge created on 20<sup>th</sup> November, 2019) of Wockhardt Limited ('the Company').  
5. The promoters intend to invest the proceeds of sale of 15,08,803 Equity Shares (sold on 20<sup>th</sup> - 22<sup>nd</sup> November, 2019) in Wockhardt Ltd., in near future, in accordance with the earlier approval of the Board of Directors and/ or Shareholders of the Company.

**Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed Company and other such persons as mentioned in Regulation 6(2)**

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of Contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
15	16	17	18	19	20	21
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature :

  
Dr. H. F. Khorakiwala  
Director of Ananke Trustee Company Private Limited

Designation : Promoter  
Date : 22/11/2019  
Place : Mumbai

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

Name of the Company : Wockhardt Limited  
ISIN of the Company : INE049B01025

**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired/ Disposed				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)
		Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction type (Buy/ Sale/ Pledge/ Revoke/ Invoke)	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Callirhoe Trustee Company Private Limited CIN: U74120MH2013PTC243736 PAN: AABTL1561G Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	18,00,000@  [Shareholding: 1.63%]	Equity Shares	4,79,422	12,64,18,205	Sale	Equity Shares	13,20,578@  [Shareholding: [1.19] %]	21/11/2019	22/11/2019	22/11/2019	Market Sales

- Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.  
2. @ Callirhoe Trustee Company Private Limited holds these shares in its capacity as the Trustee of Lysithea Discretionary Trust.  
3. Apart from the above sale of shares by Lysithea Discretionary Trust; Habil Khorakiwala Trust, Amalthea Discretionary Trust and HNZ Discretionary Trust have also sold total 10,29,381 shares from 20<sup>th</sup> - 22<sup>nd</sup> November, 2019.  
4. As on date, pledged shareholding of the Promoters stands 5.06% of total share capital (which includes pledge created on 20<sup>th</sup> November, 2019) of Wockhardt Limited ('the Company').  
5. The promoters intend to invest the proceeds of sale of 15,08,803 Equity Shares (sold on 20<sup>th</sup> - 22<sup>nd</sup> November, 2019) in Wockhardt Ltd., in near future, in accordance with the earlier approval of the Board of Directors and/ or Shareholders of the Company.

**Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed Company and other such persons as mentioned in Regulation 6(2)**

Type of Contract	Contract specifications	Trading in derivatives (Specify type of contract, Futures or Options etc.)				Exchange on which the trade was executed
		Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
15	16	17	18	19	20	21
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature :



Dr. H. F. Khorakiwala  
Director of Callirhoe Trustee Company Private Limited

Designation : Promoter

Date : 22/11/2019

Place : Mumbai

**FORM C**

**SEBI (Prohibition of Insider Trading) Regulations, 2015  
[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

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ISIN of the Company : INE049B01025

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Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired-/ Disposed				Securities held post acquisition/ disposal		Date of allotment advise/ acquisition of shares/-sale of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)
		Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction type (Buy/ Sale/ Pledge/ Revoke/ Invoke)	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Pasithee Trustee Company Private Limited CIN:U74999MH2013PTC243906 PAN: AABTH2544R Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	18,00,000 <sup>@</sup>  [Shareholding: 1.63%]	Equity Shares	3,00,000	8,48,08,837	Sale	Equity Shares	15,00,000 <sup>@</sup>  [Shareholding: 1.35%]	20/11/2019	20/11/2019	22/11/2019	Market Sales

Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.

2. @ Pasithee Trustee Company Private Limited holds these shares in its capacity as the Trustee of HNZ Discretionary Trust.

3. Apart from the above sale of shares by HNZ Discretionary Trust; Habil Khorakiwala Trust, Amalthea Discretionary Trust and Lysithea Discretionary Trust have also sold total 12,08,803 shares (1.09%) from 20<sup>th</sup> - 22<sup>nd</sup> November, 2019.

4. As on date, pledged shareholding of the Promoters stands 5.06% of total share capital (which includes pledge created on 20<sup>th</sup> November, 2019) of Wockhardt Limited ('the Company').

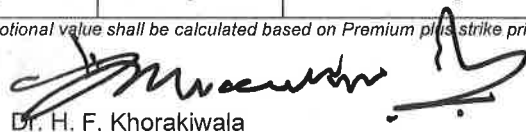
5. The promoters intend to invest the proceeds of sale of 15,08,803 Equity Shares (sold on 20<sup>th</sup> - 22<sup>nd</sup> November, 2019) in Wockhardt Ltd., in near future, in accordance with the earlier approval of the Board of Directors and/ or Shareholders of the Company.

**Details of trading in derivatives of the Company by Promoter, Employee or Director of a listed Company and other such persons as mentioned in Regulation 6(2)**

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of Contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts *lot size)	Notional Value	Number of units (contracts *lot size)	
15	16	17	18	19	20	21
-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature :



Dr. H. F. Khorakiwala  
Director of Pasithee Trustee Company Private Limited

Designation : Promoter  
Date : 22/11/2019  
Place : Mumbai

**FORM C**

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[Regulation 7(2) read with Regulation 6 (2) – Continual disclosure]**

Name of the Company : Wockhardt Limited  
ISIN of the Company : INE049B01025

**Details of change in holding of Securities of Promoter, Employee or Director of a listed company and other such persons as mentioned in Regulation 6(2)**

Name, PAN, CIN/ DIN & Address with contact nos.	Category of person (Promoters / KMP/ Directors/ Immediate relatives/ others etc.)	Securities held prior to acquisition/ disposal		Securities acquired / Disposed [i.e. Creation of Pledge/ Release of Pledge]				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to company	Mode of acquisition/ Disposal (on market / public rights/ preferential offer/ off market/ inter se transfer, ESOPs etc.)
		Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of Shareholding	Type of security (for e.g. Shares Warrants, Convertible Debentures etc.)	No.	Value (Rs.)	Transaction type (Buy/ sale/ Pledge/ Revoke/ Inveke)	Type of security (for e.g. - Shares Warrants, Convertible Debentures etc.)	No. and % of shareholding	From	To		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Name: Themisto Trustee Company Private Limited CIN:U74999MH2013PTC243902 PAN: AAHFH9240E Address: G Block, Plot C-2 Wockhardt Towers, Bandra-Kurla Complex, Bandra (East), Mumbai- 400 051 Contact Nos: 022-26534444	Promoter	Equity Shares	6,04,97,757 <sup>@</sup>  [Shareholding: 54.63%]	Equity Shares	8,50,000 <sup>#</sup>	24,55,65,000 #	Pledge	Equity Shares	6,04,97,757 <sup>@</sup>  [Shareholding: 54.63%]	20/11/2019	20/11/2019	22/11/2019	Pledge of Equity Shares

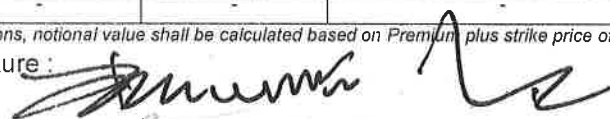
- Note: 1. "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI(Prohibition of Insider Trading) Regulations, 2015.  
2. @ Themisto Trustee Company Private Limited holds these shares in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm).  
3. # The Pledge has been created on additional 8,50,000 Nos. of Equity shares to extend requisite collateral for loan taken. To arrive at value, Nos. of Shares pledged have been multiplied by closing market price of Wockhardt Limited in BSE on 19<sup>th</sup> November, 2019.  
4 After the sale of 15,08,803 equity shares from 20<sup>th</sup> - 22<sup>nd</sup> November, 2019, Promoters now holds total 8,04,76,579 (72.67%) Equity Shares in Wockhardt Limited.  
5. Promoters' have earlier pledged 47,50,000 Nos of Equity Shares held by them in the Company. With the creation of Pledge on above mentioned 8,50,000 Nos. of Equity Shares on 20<sup>th</sup> November, 2019, the Pledged Shares now increased to 56,00,000 No. of Equity Shares.

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Type of Contract	Contract specifications	Buy		Sell		
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-	-	-	-	-	-	-

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature :



Dr. H. F. Khorakiwala  
Director of Themisto Trustee Company Private Limited

Designation : Promoter

Date : 22/11/2019

Place : Mumbai