

21st April, 2020

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol – WOCKPHARMA</u>
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Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 31st March, 2020.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



Narendra Singh
Company Secretary

Encl: As above



Wockhardt Limited**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: Wockhardt Limited
2	Scrip Code/Name of Scrip/Class of Security: 532300
3	Share Holding Pattern Filed under: Reg. 31(1)(b)
a.	If under 31(1)(b) then indicate the report for Quarter ending : 31st March, 2020
b.	If under 31(1)(c) then indicate date of allotment/extinguishment : Not Applicable
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

Wockhardt Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a) ¹		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	12	79878676	0	0	79878676	72.13	79878676	0	79878676	72.13	0	72.13	0	0.00	29650000	37.12	79878676
(B)	Public	117312	30856327	0	0	30856327	27.87	30856327	0	30856327	27.87	0	27.87	0	0.00	NA	NA	30088231
(C)	Non Promoter - Non Public														0.00	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total	117324	110735003	0	0	110735003	100.00	110735003	0	110735003	100.00	0	100.0000	0	0.00	29650000	26.78	109966907

¹ Pledge has been created on additional 98,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 31st March, 2020. Total Pledge as on 31st March, 2020 is 2,96,50,000 equity shares (i.e. 26.78 percent of total equity share capital).

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including)	Shareholding, as a % assuming full conversion of convertible securities (as a % of (VII)+(X) As a % of (A+B+C2))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a) ¹	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)	(XII)	(XIII)	(XIV)			
1 Indian																		
Individuals / Hindu Undivided Family																		
(a)		4	887625	0	0	887625	0.80	887625	0	887625	0.80	0	0.80	0	0.00	0	0.00	887625
Habil F Khorakiwala		1	442785	0	0	442785	0.40	442785	0	442785	0.40	0	0.40	0	0.00	0	0.00	442785
Murtaza Habil Khorakiwala		1	226200	0	0	226200	0.20	226200	0	226200	0.20	0	0.20	0	0.00	0	0.00	226200
Huzafa Habil Khorakiwala		1	216000	0	0	216000	0.20	216000	0	216000	0.20	0	0.20	0	0.00	0	0.00	216000
Nafisa Habil Khorakiwala		1	2640	0	0	2640	0.00	2640	0	2640	0.00	0	0.00	0	0.00	0	0.00	2640
Zahabiya Khorakiwala ⁴		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Central Government / State Government(s)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Any Other (Specify)		8	78991051	0	0	78991051	71.33	78991051	0	78991051	71.33	0	71.33	0	0.00	29650000	37.54	78991051
(d)		8	78991051	0	0	78991051	71.33	78991051	0	78991051	71.33	0	71.33	0	0.00	29650000	37.54	78991051
Promoter Trust²		4	8693294	0	0	8693294	7.85	8693294	0	8693294	7.85	0	7.85	0	0.00	0	0.00	8693294
Themisto Trustee Company Private Limited ³		1	4400000	0	0	4400000	3.97	4400000	0	4400000	3.97	0	3.97	0	0.00	0	0.00	4400000
Ananke Trustee Company Private Limited		1	1472716	0	0	1472716	1.33	1472716	0	1472716	1.33	0	1.33	0	0.00	0	0.00	1472716
Callirhoe Trustee Company Private Limited		1	1320578	0	0	1320578	1.19	1320578	0	1320578	1.19	0	1.19	0	0.00	0	0.00	1320578
Pasithe Trustee Company Private Limited		1	1500000	0	0	1500000	1.35	1500000	0	1500000	1.35	0	1.35	0	0.00	0	0.00	1500000
Partnership Firm³		4	70297757	0	0	70297757	63.48	70297757	0	70297757	63.48	0	63.48	0	0.00	29650000	42.18	70297757
Themisto Trustee Company Private Limited		1	60497757	0	0	60497757	54.63	60497757	0	60497757	54.63	0	54.63	0	0.00	29650000	49.01	60497757
Ananke Trustee Company Private Limited		1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0.00	0	0.00	3200000
Callirhoe Trustee Company Private Limited		1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0.00	0	0.00	3200000
Pasithe Trustee Company Private Limited		1	3400000	0	0	3400000	3.07	3400000	0	3400000	3.07	0	3.07	0	0.00	0	0.00	3400000
Bodies Corporate⁴																		
Dartmour Holdings Private Limited		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Palanpur Holdings and Investments Private Limited		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Khorakiwala Holdings and Investments Private Limited		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub Total (A)(1)		12	79878676	0	0	79878676	72.13	79878676	0	79878676	72.13	0	72.13	0	0.00	29650000	37.12	79878676
2 Foreign																		
Individuals (Non-Resident Individuals / Foreign)																		
(a) Individuals		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b) Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c) Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e) Any Other (Specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Sub Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
Total Shareholding Of Promoter And Promoter Group (A) = (A)(1)+(A)(2)		12	79878676	0	0	79878676	72.13	79878676	0	79878676	72.13	0	72.13	0	0.00	29650000	37.12	79878676

¹ Pledge has been created on additional 98,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 31st March, 2020. Total Pledge as on 31st March, 2020 is 2,96,50,000 equity shares (i.e. 26.78 percent of total equity share capital).

²

The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust respectively.

³

The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithea Consultants and HNZ Consultants respectively.

⁴

Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)	(XIV)			
1 Institutions																		
(a) Mutual Fund		5	3927	0	0	3927	0.00	3927	0	3927	0.00	0	0.00	0	0.00	NA	NA	3027
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c) Alternate Investment Funds		2	89500	0	0	89500	0.08	89500	0	89500	0.08	0	0.08	0	0.00	NA	NA	89500
Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e) Foreign Portfolio Investor		80	5373930	0	0	5373930	4.85	5373930	0	5373930	4.85	0	4.85	0	0.00	NA	NA	5371530
Delaware Group Global And International Funds-Delaware Emerging Markets Fund		1	1200000	0	0	1200000	1.08	1200000	0	1200000	1.08	0	1.08	0	0.00	NA	NA	1200000
(f) Financial Institutions / Banks		8	153861	0	0	153861	0.14	153861	0	153861	0.14	0	0.14	0	0.00	NA	NA	153361
(g) Insurance Companies		1	1400	0	0	1400	0.00	1400	0	1400	0.00	0	0.00	0	0.00	NA	NA	0
Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(h) Funds		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0.00	NA	NA	10200
(i) Any Other (Specify)		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0.00	NA	NA	10200
Foreign Bank		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0.00	NA	NA	10200
Sub Total (B)(1)		98	5632818	0	0	5632818	5.09	5632818	0	5632818	5.09	0	5.09	0	0.00	NA	NA	5627618
Central Government/ State Government(s)/ President of India																		
2 Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
3 Non-Institutions																		
(a) Individuals				0	0											NA	NA	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		111477	17460963	0	0	17460963	15.77	17460963	0	17460963	15.77	0	15.77	0	0.00	NA	NA	16722169
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		13	3392385	0	0	3392385	3.06	3392385	0	3392385	3.06	0	3.06	0	0.00	NA	NA	3392385
Hardik B. Patel			1498626	0	0	1498626	1.35	1498626	0	1498626	1.35	0	1.35	0	0.00	NA	NA	1498626
(b) NBFCs registered with RBI		2	746	0	0	746	0.00	746	0	746	0.00	0	0.00	0	0.00	NA	NA	746
Trust Employee		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d) Any Other (Specify)		5722	4369415	0	0	4369415	3.95	4369415	0	4369415	3.95	0	3.95	0	0.00	NA	NA	4345313
(e) Trusts		2	700	0	0	700	0.00	700	0	700	0.00	0	0.00	0	0.00	NA	NA	700
Hindu Undivided Family		2793	756339	0	0	756339	0.68	756339	0	756339	0.68	0	0.68	0	0.00	NA	NA	756339
Non Resident Indians (Non Repat)		682	284668	0	0	284668	0.26	284668	0	284668	0.26	0	0.26	0	0.00	NA	NA	282268
Other Directors		3	3500	0	0	3500	0.00	3500	0	3500	0.00	0	0.00	0	0.00	NA	NA	3500
Non Resident Indians (Repat)		1391	964094	0	0	964094	0.87	964094	0	964094	0.87	0	0.87	0	0.00	NA	NA	961394
Clearing Member		175	190588	0	0	190588	0.17	190588	0	190588	0.17	0	0.17	0	0.00	NA	NA	190588
Bodies Corporate		676	2169526	0	0	2169526	1.96	2169526	0	2169526	1.96	0	1.96	0	0.00	NA	NA	2150524
Sub Total (B)(3)		117214	25223509	0	0	25223509	22.78	25223509	0	25223509	22.78	0	22.78	0	0.00	NA	NA	24460613
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		117312	30856327	0	0	30856327	27.87	30856327	0	30856327	27.87	0	27.87	0	0.00	NA	NA	30088231

1 Foreign Portfolio Investor (FPI) holdings include FII holdings

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0