

15th October, 2019

BSE Limited
Corporate Relations Department
P J Towers,
Dalal Street
Mumbai 400 001

Scrip Code: 532300

National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051

NSE Symbol – WOCKPHARMA

Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 30th September, 2019.

Kindly acknowledge receipt of the same.

Thanking you,

For Wockhardt Limited

Narendra Singh Company Secretary

Encl: As above

General information about company	General information about company								
Scrip code	532300								
NSE Symbol	WOCKPHARMA								
MSEI Symbol									
ISIN	INE049B01025								
Name of the company	Wockhardt Limited								
Whether company is SME	No								
Class of Security	Equity Shares								
Type of report	Quarterly								
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019								
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date									
Shareholding pattern filed under	Regulation 31 (1) (b)								

	Declaration											
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public							
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No							
2	Whether the Listed Entity has issued any Convertible Securities?	No	No	No	No							
3	Whether the Listed Entity has issued any Warrants?	No	No	No	No							
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No							
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No							
6	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	Yes									
7	Whether company has equity shares with differential voting rights?	No	No	No	No							
8	Whether the listed entity has any significant beneficial owner?	Yes										

		Tak	ole I - Sum	mary	Statement	holding o	f specified se	ecurities				
		N. Of	No. of	No. Of Partly	No. Of shares	Total nos.	Shareholding as a % of total no. of shares		Number of Voting Rights held class of securities (IX)		in each	
Category (I)	Category of shareholder	Nos. Of shareholders	fully paid up equity	paid- up	underlying Depository	shares held (VII) =	(calculated as per SCRR,	No of Votin	ng (XIV)) Rights	Total as a	
	(II)	(III)	shares held (IV)	equity shares held (V)	Receipts $(1V)^{+}(V)^{+}$ 1957) (VIII)		Class eg:	Class eg:y	Total	% of (A+B+C)		
(A)	Promoter & Promoter Group	12	81985382			81985382	74.04	81985382		81985382	74.04	
(B)	Public	117356	28749621			28749621	25.96	28749621		28749621	25.96	
(C)	Non Promoter- Non Public											
(C1)	Shares underlying DRs											
(C2)	Shares held by Employee Trusts											
	Total	117368	110735003			110735003	100	110735003		110735003	100	

		Table	I - Summa	ry Statement	tholding of spec	cifie	d secur	ities		
Catagomy	Category of	No. Of Shares Underlying	No. of Shares Underlying	No. Of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of convertible	Locl	nber of ked in es (XII)	Number of Shares plotherwise encumber (XIII)	edged or	Number of equity shares
Category (I)	shareholder (II)	Outstanding convertible securities (X)	Outstanding Warrants (Xi)	convertible securities and No. Of Warrants (Xi) (a)	securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	As a % of No. total (a) Shares held (b)	No. (a)	As a % of total Shares held (b)	held in dematerialized form (XIV)	
(A)	Promoter & Promoter Group				74.04			3250000	3.96	81985382
(B)	Public				25.96					27964825
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100			3250000	2.93	109950207

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

			No. of	No. Of Partly	No. Of	Total nos.	Shareholding as a % of total no. of shares	Number of class of sec		Rights held i IX)	
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	fully paid up equity shares held	paid- up equity	shares underlying Depository	shares held (VII) = (IV)+(V)+	(calculated as per SCRR,	No of Votin) Rights	Total as a % of
			(IV)	shares held (V)	Receipts (VI)	(VI)	1957) (VIII) As a % of	Class eg: X	Class eg:y	Total	Total Voting rights
A	Table II - Statemen	nt showing shar	eholding patt		ne Promoter a	nd Promoter	(A+B+C2) Group				
(1)	Indian										
(a)	Individuals/Hindu undivided Family	4	887625			887625	0.8	887625		887625	0.8
(d)	Any Other (specify)	8	81097757			81097757	73.24	81097757		81097757	73.24
Sub-Total (A)(1)		12	81985382			81985382	74.04	81985382		81985382	74.04
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		12	81985382			81985382	74.04	81985382		81985382	74.04
В	Table III - Stateme	nt showing sha	reholding par	ttern of t	the Public sha	reholder					
(1)	Institutions										
(a)	Mutual Funds	6	59909			59909	0.05	59909		59909	0.05
(c)	Alternate Investment Funds	1	85700			85700	0.08	85700		85700	0.08
(e)	Foreign Portfolio Investors	100	5498028			5498028	4.97	5498028		5498028	4.97
(f)	Financial Institutions/ Banks	10	258508			258508	0.23	258508		258508	0.23
(g)	Insurance Companies	1	1400			1400	0	1400		1400	0
(i)	Any Other (specify)	2	10200			10200	0.01	10200		10200	0.01
Sub-Total (B)(1)		120	5913745			5913745	5.34	5913745		5913745	5.34

(3)	Non-institutions									
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	111375	16602307		16602307	14.99	16602307		16602307	14.99
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	11	1695756		1695756	1.53	1695756		1695756	1.53
(b)	NBFCs registered with RBI	4	1596		1596	0	1596		1596	0
(e)	Any Other (specify)	5846	4536217		4536217	4.1	4536217		4536217	4.1
Sub-Total (B)(3)		117236	22835876		22835876	20.62	22835876		22835876	20.62
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B) (3)		117356	28749621		28749621	25.96	28749621		28749621	25.96
С	Table IV - Stateme	able IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)		117368	110735003		110735003	100	110735003		110735003	100
Total (A+B+C)		117368	110735003		110735003	100	110735003		110735003	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible	No. of Shares Underlying Outstanding Warrants	No. Of Shares Underlying Outstanding convertible securities and No. Of	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share	Locl	hber of ked in es (XII) As a % of total	Number of Shares pledged or otherwise encumbered (XIII) As a % of total Shares		Number of equity shares held in dematerialized
	securities (X)	(Xi)	Warrants (Xi) (a)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	(a)	Shares held (b)	No. (a)	total Shares held (b)	form (XIV)
A	Table II - State	ement showing	shareholding pa	attern of the Promote	r and	Promote	Group		
(1)	Indian								
(a)				0.8			0	0	887625
(d)				73.24			3250000	4.01	81097757
Sub-Total (A) (1)				74.04			3250000	3.96	81985382
(2)	Foreign		•		•		•		•
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				74.04			3250000	3.96	81985382
В	Table III - Sta	tement showing	g shareholding p	attern of the Public s	sharel	nolder	•		
(1)	Institutions								
(a)				0.05					59009
(c)				0.08					85700
(e)				4.97					5495628
(f)				0.23					258008
(g)				0					0
(i)				0.01					10200
Sub-Total (B) (1)				5.34					5908545
(3)	Non-institution	ns		•	•	•	•		•
(a(i))				14.99					15846813
(a(ii))				1.53					1695756
	1	1	1	1	î	1		1	

(b)				0					1596
(e)				4.1					4512115
Sub-Total (B) (3)				20.62					22056280
Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3)				25.96					27964825
С	Table IV - Stat	ement showing	shareholding p	attern of the Non Pro	omote	r- Non P	ublic share	holder	
Total (A+B+C2)				100					109950207
Total (A+B+C)				100			3250000	2.93	109950207
Disclosure of no	otes on sharehol		Textual Information (1)						

Text Block

Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust respectively. 3. The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithea Consultants and HNZ Consultants respectively. 4. Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders. 5. 217,873 Equity Shares falls under the category of Non Resident Indians (Non Repat) and 859,002 Equity Shares falls under the category Non Resident Indians (Repat). 6. In Public Shareholder Category In Institutions, in Any Other Foreign Banks includes 10,200 Equity Shares. 7. Foreign Portfolio Investor holdings include FII holdings. 8. In worksheet SBO - a) In the details of SBO - There are total 5 SBOs. Whereas, there are 8 Registered Owners. As the xls sheet is asking for 8 SBOs against 8 Registered Owners to validate the xls sheet, we have stated the name of all the SBO's against each of the Registered Owners. However, we stated the PAN of only SBO 1 i.e. Dr. H. F. Khorakiwala. The PAN of other 4 SBOs are as under: (i)

Murtaza Khorakiwala PAN AAZPK7040H Indian (ii) Huzaifa Khorakiwala PAN ABLPK6715C Indian (iii) Nafisa Khorakiwala PAN AAGPK6495H Indian (iv) Zahabiya Khorakiwala PAN ANMPK3942N Indian b) Details of the SBO and Details of the Registered Owner have been filled separately as per Form BEN-1 received. c) For direct holding of SBOs, please refer the individual holding stated in Table

1. Pledge has been created on additional 15,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 30th September, 2019. Total Pledge by Humuza

Consultants as on 30th September, 2019 is 32,50,000 equity shares. 2. The shares appearing under

Textual Information()

II.

Searial No.	1	2	3	4	5	
Name of the Shareholders (I)	Habil F Khorakiwala	Murtaza Habil Khorakiwala	Huzaifa Habil Khorakiwala	Nafisa Habil Khorakiwala	Zahabiya Khorakiwala	Click here to go back
PAN (II)	AABPK4415C	AAZPK7040H	ABLPK6715C	AAGPK6495H	ANMPK3942N	Total
No. of fully paid up equity shares held (IV)	442785	226200	216000	2640	0	887625
No. Of Partly paid- up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	442785	226200	216000	2640	0	887625
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.4	0.2	0.2	0	0	0.8
Number of Voting	Rights held in each	h class of securities (IX)	1		
Class eg:X	442785	226200	216000	2640	0	887625
Class eg:y						
Γotal	442785	226200	216000	2640	0	887625
Total as a % of Total Voting rights	0.4	0.2	0.2	0	0	0.8
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						

No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	0.4	0.2	0.2	0	0	0.8
Number of Locked	in shares (XII)					
No. (a)						
As a % of total Shares held (b)						
Number of Shares	pledged or otherwis	e encumbered (XIII)				
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	442785	226200	216000	2640	0	887625
Reason for not prov	viding PAN					
Reason for not providing PAN						
Shareholder type						

			Any Other	(specify)			
Searial No.	1	2	3	4	5	6	7
Category	Trusts	Trusts	Trusts	Trusts	Partnership Firms	Partnership Firms	Partnership Firms
Name of the Shareholders (I)	Themisto Trustee Company Private Limited	Ananke Trustee Company Private Limited	Callirhoe Trustee Company Private Limited	Pasithee Trustee Company Private Limited	Themisto Trustee Company Private Limited	Pasithee Trustee Company Private Limited	Ananke Trustee Company Private Limited
PAN (II)	AABTH2543J	AAETA2974M	AABTL1561G	AABTH2544R	AAHFH9240E	AAHFH9707N	AAZFA7680B
No. of the Shareholders (I)	1	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	5400000	1800000	1800000	1800000	60497757	3400000	3200000
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	5400000	1800000	1800000	1800000	60497757	3400000	3200000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.88	1.63	1.63	1.63	54.63	3.07	2.89
Number of Voting	Rights held in ea	ch class of securit	ies (IX)				
Class eg: X	5400000	1800000	1800000	1800000	60497757	3400000	3200000
Class eg:y							
Total	5400000	1800000	1800000	1800000	60497757	3400000	3200000
Total as a % of Total Voting rights	4.88	1.63	1.63	1.63	54.63	3.07	2.89
No. Of Shares Underlying Outstanding							

convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.88	1.63	1.63	1.63	54.63	3.07	2.89
Number of Locked	l in shares (XII)	1		•		•	
No. (a)							
As a % of total Shares held (b)							
Number of Shares	pledged or other	wise encumbered ((XIII)	•			
No. (a)	0	0	0	0	3250000	0	0
As a % of total Shares held (b)	0	0	0	0	5.37	0	0
Number of equity shares held in dematerialized form (XIV)	5400000	1800000	1800000	1800000	60497757	3400000	3200000
Reason for not pro	viding PAN						
Reason for not providing PAN							
Shareholder type							

		Any Ot	her (specify)		
Searial No.	8	9	10	11	
Category	ategory Partnership Firms		Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	Callirhoe Trustee Company Private Limited	Dartmour Holdings Private Limited	Palanpur Holdings and Investments Private Limited	Khorakiwala Holdings and Investments Private Limited	
PAN (II)	AAFFL2617M	AACCD2394M	AAACP3321N	AAACK1955D	Total
No. of the Shareholders (I)	1	0	0	0	8
No. of fully paid up equity shares held (IV)	3200000	0	0	0	81097757
No. Of Partly paid- up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3200000	0	0	0	81097757
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.89	0	0	0	73.24
Number of Voting	Rights held in each clas	s of securities (IX)			•
Class eg: X	3200000	0	0	0	81097757
Class eg:y					
Total	3200000	0	0	0	81097757
Total as a % of Total Voting rights	2.89	0	0	0	73.24
No. Of Shares Underlying Outstanding convertible securities (X)					

	_		_	_	
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.89	0	0	0	73.24
Number of Locked	in shares (XII)				
No. (a)					
As a % of total Shares held (b)					
Number of Shares	pledged or otherwise encu	ımbered (XIII)			
No. (a)	0	0	0	0	3250000
As a % of total Shares held (b)	0	0	0	0	4.01
Number of equity shares held in dematerialized form (XIV)	3200000	0	0	0	81097757
Reason for not pro	viding PAN				
Reason for not providing PAN					
Shareholder type					

Foreign Portfolio Investors					
Searial No.	1				
Name of the Shareholders (I)	Delaware Group Global And International Funds-Delaware Emerging Markets Fund	Click here to go back			
PAN (II)	AAATD9379H	Total			
No. of fully paid up equity shares held (IV)	1200000	1200000			
No. Of Partly paid- up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1200000	1200000			
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.08	1.08			
Number of Voting	Rights held in each class of securities (IX)	1			
Class eg: X	1200000	1200000			
Class eg:y					
Total	1200000	1200000			
Total as a % of Total Voting rights	1.08	1.08			
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding					

convertible securities and No. Of Warrants (Xi) (a)				
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.08	1.08		
Number of Locked	in shares (XII)			
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1200000	1200000		
Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Trusts	Foreign Nationals	HUF	Non-Resident Indian (NRI)	Director or Director's Relatives	Clearing Members	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	4	1	2816	2076	4	242	703
No. of fully paid up equity shares held (IV)	1500	600	688223	1076875	53500	404019	2311500
No. Of Partly paid- up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1500	600	688223	1076875	53500	404019	2311500
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0	0.62	0.97	0.05	0.36	2.09
Number of Voting	Rights held	l in each class of	securities ((IX)			·
Class eg: X	1500	600	688223	1076875	53500	404019	2311500
Class eg:y							
Total	1500	600	688223	1076875	53500	404019	2311500
Total as a % of Total Voting rights	0	0	0.62	0.97	0.05	0.36	2.09
No. Of Shares Underlying Outstanding convertible securities (X)							

lar cor		ı		1	•	ı	,
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0	0	0.62	0.97	0.05	0.36	2.09
Number of Locked	in shares (XII)					
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1500	600	688223	1071775	53500	404019	2292498
Reason for not prov	Reason for not providing PAN						
Reason for not providing PAN							

Any Other (specify)				
Searial No.				
Category				
Category / More than 1 percentage				
Name of the Shareholders (I)	Click here to go back			
PAN (II)	Total			
No. of the Shareholders (I)	5846			
No. of fully paid up equity shares held (IV)	4536217			
No. Of Partly paid- up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4536217			
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.09			
Number of Voting	Rights held in each class of securities (IX)			
Class eg: X	4536217			
Class eg:y				
Total	4536217			
Total as a % of Total Voting rights	4.09			
No. Of Shares Underlying Outstanding convertible securities (X)				

No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	4.09
Number of Locked	in shares (XII)
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	4512115
Reason for not prov	viding PAN
Reason for not providing PAN	