

16th October, 2020

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol: WOCKPHARMA</u>
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Dear Sir/Madam,

Sub: Submission of Certificate pursuant to Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

In compliance with Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the copy of certificate by a Practising Company Secretary for the half year ended 30th September, 2020.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



Gajanand Sahu
Company Secretary

Encl: As above



Virendra Bhatt

Company Secretary

Office :

Office No. 03, A Wing, 9th Floor,

Pinnacle Corporate Park,

BKC CST Link Rd., MMRDA Area,

Bandra Kurla Complex,

Bandra East, Mumbai - 400 051.

Tel. : 022 - 2652 9367 / 68

Mobile : +91 - 98200 48670

E-mail : bhattvirendra1945@yahoo.co.in

Name of the Company : WOCKHARDT LIMITED

CIN : L24230MH1999PLC120720

Registered Office : Wockhardt Research Centre, D - 4, M.I.D.C.,
Chikalhana, Aurangabad - 431006

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR
ENDED 30TH SEPTEMBER, 2020**

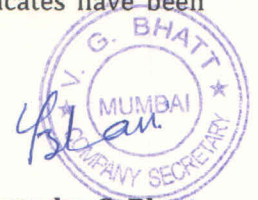
I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **WOCKHARDT LIMITED** maintained by **LINK INTIME INDIA PRIVATE LIMITED, the Registrar and Share Transfer Agent (RTA) of the Company**, pertaining to transfer of equity shares of the Company for the period from **01st April, 2020 to 30th September, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by **WOCKHARDT LIMITED** and based on the information provided by the Company/RTA, hereby certify that the Company has delivered during the half year ended on **30th September, 2020**:

- A. Share Certificates relating to the Share Transfer and Transmission forms received during the period from **01st April, 2020 to 30th September, 2020** as entered in the Memorandum of Transfers have been issued within thirty days from the respective date of lodgement of each form excepting those rejected on technical grounds.
- B. Physical Share certificates in respect of request for exchange of duplicate certificates have been issued within thirty days of lodgement.

Date: 16th October, 2020

Place: Mumbai

UDIN: A001157B000968915



Virendra G. Bhatt

Practicing Company Secretary

ACS No.: 1157 / COP No.: 124

Notes:

1. Transaction No. TM4777 to TM5001 relating to transmission cases and TN304 to TN528 relating to duplicate cases belong to IEPF 4 process.
2. Due to COVID - 19 Lockdown, we are not able to verify the information physically, therefore we rely on the information as provided by the Registrar and Share Transfer Agent in electronic mode.