

Date: 20th January, 2021

BSE Limited Corporate Relations Department P J Towers, Dalal Street, Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol – WOCKPHARMA</u>
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Dear Sirs,

Sub: Reconciliation of Share Capital Audit Report

Pursuant to Regulation 76 of the SEBI (Depositories and Participants) Regulations, 2018 [erstwhile Regulation 55A of the SEBI (Depositories and Participants) Regulations, 1996], please find enclosed herewith a copy of the Reconciliation of Share Capital Audit Report for the quarter ended 31st December, 2020.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**



Gajanand Sahu
Company Secretary

Encl: As above



Virendra Bhatt

Company Secretary

Office :
Office No. 03, A Wing, 9th Floor,
Pinnacle Corporate Park,
BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai - 400 051.
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RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

- | | |
|---|--|
| 1) For Quarter Ended | : 31 st December, 2020 |
| 2) ISIN | : INE049B01025 |
| 3) Face Value | : Rs. 5/- per Equity Share |
| 4) Name of the Company | : Wockhardt Limited |
| 5) Registered Office Address | : Wockhardt Research Centre,
D-4, MIDC, Chikalhana,
Aurangabad-431006 |
| 6) Correspondence Address | : Wockhardt Towers, Bandra
Kurla Complex, Bandra (East),
Mumbai-400051 |
| 7) Telephone & Fax Nos. | : Tel. No.: 022-26534444
Fax No.: 022-26523905 |
| 8) Email Address | : investorrelations@wockhardt.com |
| 9) Name of the Stock Exchanges where
the Company's securities are listed | : 1. BSE Limited
2. National Stock Exchange of
India Limited |
| 10) Issued Capital | : 110776953 100.00% |
| 11) Listed Capital | |
| BSE Limited | : 110768953 99.99% |
| National Stock Exchange of India Limited | : 110768953 99.99% |



- 12) Held in dematerialized form in CDSL : 8615201 7.78%
- 13) Held in dematerialized form in NSDL : 101562643 91.68%
- 14) Physical : 599109 0.54%
- 15) Total No. of Shares (12+13+14) : 110776953 100.00%
- 16) Reasons for differences if any, between (10&11) (11&15) : 8000 Bonus Shares are kept in abeyance & Not Listed on BSE & NSE.

17) Certifying the details of changes in the share capital during the quarter under consideration as per table below:

Particulars	No. of Shares	Applied / Not Applied for Listing	Listed on stock exchange (Specify Names)	Whether applicable to CDSL	Whether applicable to NSDL	In-prin. Approval Pending for SE (specify names)
Allotment of 41,950 Equity Shares of Rs. 5/- each under ESOP	41,950*	Applied	Yes, on BSE and NSE	Yes	Yes	-

*41,950 shares includes the allotment of 21,950 Equity Shares on 23rd September, 2020 and same were listed on BSE and NSE on 09th October, 2020 and 20,000 shares were allotted on 16th December, 2020 and same were listed on 24th December, 2020.

- 18) Register of Members is updated (Yes/ No) : Yes, till 31st December, 2020
If not, updated up to which date.



19) Reference of previous quarter with regards to excess dematerialized shares, if any: N.A.

20) Has the company resolved the matter mentioned in point No. 19 above in the Current quarter? If not, reason why? N.A.

21) Mention the total No. of requests, if any, confirmed after 21 days and the total No. of requests pending beyond 21 days with the reasons of delay:

Total No. of demat/ remat requests	No. of requests	No. of shares	Reasons for delay
Confirmed after 21 days	Nil	N.A.	N.A.
Pending for more than 21 days	Nil	N.A.	N.A.

22) Name, Telephone & Fax No. of the Compliance Officer of the Company

: Mr. Gajanand Sahu
Membership No.: ACS-15791
Tel. No.: 022-26596204
Fax No.: 022-26523905

23) Name, Address, Tel. & Fax No., Regn. No of the Auditor

: Virendra G. Bhatt
Practicing Company Secretary
Office No.: 03, A Wing, 9th Floor,
Pinnacle Corporate Park, BKC
CST Link Rd., MMRDA Area,
Bandra Kurla Complex,
Bandra East, Mumbai-400051
Tel. No.: 022-26529367/68

24) Appointment of common agency for share registry work, if yes (name & address)

: Link Intime India Private Limited
C-101, Embassy 247,
L.B.S. Marg, Vikhroli (West)
Mumbai - 400083
Tel. No.: 022-49186000
Fax No.: 022-49186060



25) Any other detail that the auditor may : N.A.
like to provide (Eg. BIFR Company,
changed its name etc.)

Date: 19th January, 2021
Place: Mumbai

UDIN: A001157B002040766



Virendra G. Bhatt
Practicing Company Secretary
ACS No.: 1157 / COP No.: 124

Note: Due to COVID-19 Lockdown, I am not able to verify the information physically, therefore I rely on the information as provided by the Registrar and Share Transfer Agent in electronic mode.