

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L24230MH1999PLC120720

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACW2472M

(ii) (a) Name of the company

WOCKHARDT LIMITED

(b) Registered office address

WOCKHARDT RESEARCH CENTRE, D-4,
M.I.D.C. CHIKALTHANA
AURANGABAD
Maharashtra
431007

(c) *e-mail ID of the company

DDey@wockhardt.com

(d) *Telephone number with STD code

2406694444

(e) Website

www.wockhardt.com

(iii) Date of Incorporation

08/07/1999

Type of the Company	Category of the Company	Sub-category of the Company
Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE Limited	1
2	National Stock Exchange of India Limited	1,024

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date 01/04/2021 (DD/MM/YYYY) To date 31/03/2022 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

30/09/2022

(c) Whether any extension for AGM granted

Yes No

(f) Specify the reasons for not holding the same

Annual General Meeting has been convened to be held on 12th August, 2022

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

2

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C6	Chemical and chemical products, pharmaceuticals, medicinal chemical and	70
2	G	Trade	G1	Wholesale Trading	30

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

33

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1	Wockhardt Infrastructure Deve	U24230MH1991PLC060162	Subsidiary	100
2	Wockhardt Medicines Limited	U74999MH2019PLC322942	Subsidiary	100
3	Wockhardt Biologics Limited	U24299MH2021PLC363201	Subsidiary	100
4	Z&Z Services GmbH		Subsidiary	85.85
5	Wockhardt Europe Limited		Subsidiary	100
6	Wockhardt Nigeria Limited		Subsidiary	100
7	Wockhardt UK Holdings Limite		Subsidiary	100
8	CP Pharmaceuticals Limited		Subsidiary	85.85
9	CP Pharma (Schweiz) AG		Subsidiary	85.85
10	Wallis Group Limited		Subsidiary	100
11	The Wallis Laboratory Limited		Subsidiary	100
12	Wockhardt Farmaceutica do Bi		Subsidiary	100
13	Wallis Licensing Limited		Subsidiary	100
14	Wockhardt USA LLC		Subsidiary	85.85
15	Wockhardt Bio AG		Subsidiary	85.85
16	Wockhardt UK Limited		Subsidiary	85.85
17	Wockpharma Ireland Limited		Subsidiary	85.85
18	Pinewood Laboratories Limite		Subsidiary	85.85
19	Wockhardt Holding Corp		Subsidiary	85.85
20	Morton Grove Pharmaceutical		Subsidiary	85.85
21	MGP Inc		Subsidiary	85.85
22	Wockhardt France (Holdings) S		Subsidiary	85.85
23	Laboratoires Pharma 2000 S.A.		Subsidiary	85.85
24	Laboratoires Negma S.A.S		Subsidiary	85.85

25	Niverpharma S.A.S		Subsidiary	85.85
26	Negma Beneulex S.A		Subsidiary	85.85
27	Phytex S.A.S		Subsidiary	85.85
28	Wockhardt Farmaceutica SA D		Subsidiary	85.85
29	Wockhardt Services SA DE CV		Subsidiary	85.85
30	Pinewood Healthcare Limited		Subsidiary	85.85
31	Wockhardt Bio (R)		Subsidiary	85.85
32	Wockhardt Bio Pty Ltd		Subsidiary	85.85
33	Wockhardt Bio Ltd		Subsidiary	0

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	250,000,000	144,060,153	144,060,153	144,060,153
Total amount of equity shares (in Rupees)	1,250,000,000	720,300,765	720,300,765	720,300,765

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Equity Shares				
Number of equity shares	250,000,000	144,060,153	144,060,153	144,060,153
Nominal value per share (in rupees)	5	5	5	5
Total amount of equity shares (in rupees)	1,250,000,000	720,300,765	720,300,765	720,300,765

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	2,000,000,000	0	0	0
Total amount of preference shares (in rupees)	10,000,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Preference Shares				
Number of preference shares	2,000,000,000	0	0	0
Nominal value per share (in rupees)	5	5	5	5
Total amount of preference shares (in rupees)	10,000,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						
At the beginning of the year	583,699	110,197,454	110781153	553,905,769	553,905,769	
Increase during the year	0	33,342,500	33342500	166,712,500	166,712,500	7,313,823,000
i. Public Issues	0	0	0	0	0	
ii. Rights issue	0	33,244,650	33244650	166,223,250	166,223,250	7,313,823,000
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	34,350	34350	171,750	171,750	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	
x. Others, specify	0	63,500	63500	317,500	317,500	0
Shared dematted during the year						
Decrease during the year	63,500	0	63500	317,500	317,500	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0

iv. Others, specify	63,500	0	63500	317,500	317,500	
Shares dematted during the year						
At the end of the year	520,199	143,539,954	144060153	720,300,761	720,300,761	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
N.A.	0	0	0	0	0	
Decrease during the year	0	0	0	0	0	0
i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
N.A.	0	0	0	0	0	
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

INE049B01025

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting		02/08/2021	
Date of registration of transfer (Date Month Year)			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>
Ledger Folio of Transferor			
Transferor's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee			
Transferee's Name	<input type="text"/>	<input type="text"/>	<input type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year)			
Type of transfer	<input type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input type="text"/>

Ledger Folio of Transferor				<input type="text"/>		
Transferor's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	
Ledger Folio of Transferee				<input type="text"/>		
Transferee's Name	<input type="text"/>		<input type="text"/>		<input type="text"/>	
	Surname		middle name		first name	

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	25,000	100000	2,500,000,000
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			2,500,000,000

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	25,000	0	25,000
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total	<input type="text"/>		<input type="text"/>		<input type="text"/>

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

13,718,081,200.16

(ii) Net worth of the Company

561,334,156

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,177,918	0.82	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	90,084,744	62.53	0	
10.	Others Trusts	5,451,281	3.78	0	
	Total	96,713,943	67.13	0	0

Total number of shareholders (promoters)

13

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage

1.	Individual/Hindu Undivided Family				
	(i) Indian	35,375,672	24.56	0	
	(ii) Non-resident Indian (NRI)	1,570,135	1.09	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	1,475	0	0	
4.	Banks	10,200	0.01	0	
5.	Financial institutions	630	0	0	
6.	Foreign institutional investors	4,143,841	2.88	0	
7.	Mutual funds	41,461	0.03	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	3,486,535	2.42	0	
10.	Others Foreign Bank/Alternative In	2,716,261	1.89	0	
	Total	47,346,210	32.88	0	0

Total number of shareholders (other than promoters) 172,447

**Total number of shareholders (Promoters+Public/
Other than promoters)** 172,460

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

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Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
CITY OF NEW YORK GI	DEUTSCHE BANK AG DB HOUSE, H			7,534	0.01
COLLEGE RETIREMENT	DEUTSCHE BANK AG DB HOUSE, H			65,933	0.05
DOVETAIL INDIA FUNI	DBS BANK INDIA LTD FIRST FLOOR			1,859	0
SSGA SPDR ETFs EURC	DEUTSCHE BANK AG, DB HOUSE H			3,974	0

Name of the FII	Address	Date of Incorporation	Country of Incorporation	Number of shares held	% of shares held
VANGUARD TOTAL IN	JPMORGAN CHASE BANK N.A. IND			593,010	0.41
ALASKA PERMANENT	DEUTSCHE BANK AG DB HOUSE, H			17,239	0.01
CITY OF LOS ANGELES	CITIBANK N.A. CUSTODY SERVICES			6,801	0
CITY OF NEW YORK GF	HSBC SECURITIES SERVICES 11TH F			25,116	0.02
COPTHALL MAURITIUS	JPMORGAN CHASE BANK N.A. IND			1,495	0
DIMENSIONAL WORLD	CITIBANK N.A. CUSTODY SERVICES			10,989	0.01
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES			304,296	0.21
EMERGING MARKETS	CITIBANK N.A. CUSTODY SERVICES			8,985	0.01
INTERNATIONAL MON	CITIBANK N.A. CUSTODY SERVICES			6,684	0
ISHARES EMERGING M	JPMORGAN CHASE BANK N.A. IND			3,044	0
LOS ANGELES CITY EM	CITIBANK N.A. CUSTODY SERVICES			5,687	0
SOCIETE GENERALE	SBI SG GLOBAL SECURITIES SERVIC			266	0
STATE STREET GLOBAL	DEUTSCHE BANK AG, DB HOUSE H			6,886	0
AMERICAN CENTURY I	DEUTSCHE BANK AG, DB HOUSE, H			8,602	0.01
BNP PARIBAS ARBITRA	BNP PARIBAS, CUSTODY OPERATIK			1,583	0
EMERGING MARKETS	DEUTSCHE BANK AG, DB HOUSE H			24,407	0.02
EMERGING MARKETS	DEUTSCHE BANK AG, DB HOUSE H			25,892	0.02
ISHARES MSCI EMERG	CITIBANK N.A. CUSTODY SERVICES			17,756	0.01
PUBLIC EMPLOYEES RI	HSBC SECURITIES SERVICES 11TH F			2,284	0
QIC INTERNATIONAL E	CITIBANK N.A. CUSTODY SERVICES			4,252	0
STATE STREET MSCI EI	HSBC SECURITIES SERVICES 11TH F			14,929	0.01

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	13	13
Members (other than promoters)	125,475	172,447
Debenture holders	0	581

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	3	1	3	1	0.8	0
B. Non-Promoter	0	6	0	6	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	6	0	6	0	0
C. Nominee Directors representing	0	1	0	1	0	0
(i) Banks & FIs	0	1	0	1	0	0
(ii) Investing institutions	0	0	0	0	0	0
(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	8	3	8	0.8	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date 13

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dr. Habil Khorakiwala	00045608	Whole-time director	597,286	

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
Dr. Murtaza Khorakiwal:	00102650	Managing Director	294,060	
Dr. Huzaifa Khorakiwal:	02191870	Whole-time director	280,800	
Mr. Aman Mehta	00009364	Director	0	
Mr. D. S. Brar	00068502	Director	500	
Dr. Sanjaya Baru	05344208	Director	500	
Mr. Akhilesh Gupta	00359325	Director	0	
Mrs. Tasneem Mehta	05009664	Director	0	
Mr. Vinesh Kumar Jaira:	00391684	Director	0	
Ms. Zahabiya Khorakiw:	00102689	Director	0	
Mrs. Rima Marphatia	00444343	Nominee director	0	
Mr. Deepak Madnani	AGOPM7355A	CFO	0	
Mr. Debashis Dey	AIGPD5976L	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

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Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
Mr. Manas Datta	AADPD4184B	CFO	02/09/2021	Cessation
Mr. Deepak Madnani	AGOPM7355A	CFO	01/03/2022	Appointment
Mr. Gajanand Sahu	AQXPS4420R	Company Secretar	22/07/2021	Cessation
Mr. Debashis Dey	AIGPD5976L	Company Secretar	23/07/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
Annual General Meeting	02/08/2021	155,571	166	69

B. BOARD MEETINGS

*Number of meetings held

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S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	27/05/2021	11	11	100
2	22/07/2021	11	11	100
3	13/08/2021	11	10	90.91
4	08/11/2021	11	10	90.91
5	06/01/2022	11	11	100
6	27/01/2022	11	11	100

C. COMMITTEE MEETINGS

Number of meetings held

38

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	Audit Committee	27/05/2021	6	6	100
2	Audit Committee	22/07/2021	6	6	100
3	Audit Committee	08/11/2021	6	6	100
4	Audit Committee	27/01/2022	6	6	100
5	Stakeholders Forum	27/05/2021	6	6	100
6	Stakeholders Forum	22/07/2021	6	6	100
7	Stakeholders Forum	08/11/2021	6	6	100
8	Stakeholders Forum	27/01/2022	6	6	100
9	NOMINATION	27/05/2021	4	4	100
10	NOMINATION	22/07/2021	4	4	100

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on (Y/N/NA)
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	Dr. Habil Khor	6	6	100	30	29	96.67	
2	Dr. Murtaza Kl	6	6	100	23	22	95.65	
3	Dr. Huzaifa Kh	6	6	100	19	19	100	
4	Mr. Aman Meh	6	6	100	12	12	100	
5	Mr. D. S. Brar	6	6	100	13	13	100	
6	Dr. Sanjaya B	6	6	100	14	14	100	
7	Mr. Akhilesh G	6	6	100	8	8	100	
8	Mrs. Tasneem	6	5	83.33	15	12	80	
9	Mr. Vinesh Ku	6	6	100	12	9	75	
10	Ms. Zahabiya	6	6	100	0	0	0	
11	Mrs. Rima Ma	6	5	83.33	0	0	0	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Dr. Habil Khorakiwa	Chairman	28,000,000	0	0	0	28,000,000
2	Dr. Murtaza Khorak	Managing Direct	24,000,000	0	0	0	24,000,000
3	Dr. Huzaifa Khoraki	Executive Direct	24,000,000	0	0	0	24,000,000
	Total		76,000,000	0	0	0	76,000,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Manas Datta	Chief Financial Officer	18,714,571	0	0	0	18,714,571
2	Mr. Deepak Madnani	Chief Financial Officer	678,327	0	0	0	678,327
3	Mr. Gajanand Sahu	Company Secretary	2,030,513	0	0	0	2,030,513
4	Mr. Debashis Dey	Company Secretary	3,326,359	0	0	0	3,326,359
	Total		24,749,770	0	0	0	24,749,770

Number of other directors whose remuneration details to be entered

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S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1	Mr. Aman Mehta	Independent Director	0	0	0	1,400,000	1,400,000
2	Mr. D. S. Brar	Independent Director	0	0	0	1,500,000	1,500,000
3	Dr. Sanjaya Baru	Independent Director	0	0	0	1,400,000	1,400,000
4	Mrs. Tasneem Mehta	Independent Director	0	0	0	1,400,000	1,400,000
5	Mr. Akhilesh Gupta	Independent Director	0	0	0	1,400,000	1,400,000
6	Mr. Vinesh Kumar Jha	Independent Director	0	0	0	1,500,000	1,500,000
7	Ms. Zahabiya Khora	Non Executive Director	0	0	0	600,000	600,000
8	Mrs. Rima Marphatia	Nominee Director	0	0	0	500,000	500,000
	Total		0	0	0	9,700,000	9,700,000

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

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(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

Whether associate or fellow

Associate Fellow

Certificate of practice number

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ...

dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

Certificate of practice number

Attachments

1. List of share holders, debenture holders
2. Approval letter for extension of AGM;
3. Copy of MGT-8;
4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

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Remove attachment

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Point IX (C) COMMITTEE MEETINGS (continued)

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of directors attended	% of attendance
11	Nomination and Remuneration Committee	27/01/2022	4	4	100
12	Corporate Social Responsibility Committee	27/05/2021	4	4	100
13	Risk Management Committee	08/11/2021	3	3	100
14	Credit Facilities Committee	09/04/2021	3	3	100
15	Credit Facilities Committee	21/04/2021	3	3	100
16	Credit Facilities Committee	28/04/2021	3	3	100
17	Credit Facilities Committee	12/05//2021	3	3	100
18	Credit Facilities Committee	21/05//2021	3	3	100
19	Credit Facilities Committee	28/05//2021	3	3	100
20	Credit Facilities Committee	28/06//2021	3	2	66.67
21	Credit Facilities Committee	22/07//2021	3	3	100
22	Credit Facilities Committee	10/09/2021	3	3	100
23	Credit Facilities Committee	22/09/2021	3	3	100
24	Credit Facilities Committee	21/10/2021	3	3	100
25	Credit Facilities Committee	22/12/2021	3	3	100
26	Credit Facilities Committee	27/01/2022	3	3	100
27	Credit Facilities Committee	15/02/2022	3	3	100
28	Credit Facilities Committee	04/03/2022	3	3	100
29	Credit Facilities Committee	24/03/2022	3	3	100
30	Share Allotment Committee	17/08/2021	3	2	66.67
31	Share Allotment Committee	18/10/2021	3	3	100
32	Capital Raising Committee	27/02/2022	4	2	50
33	Capital Raising Committee	03/03/2022	4	4	100
34	Capital Raising Committee	04/03/2022	4	2	50
35	Capital Raising Committee	28/03/2022	4	2	50
36	ESOS Compensation Committee	22/07/2021	3	3	100
37	ESOS Compensation Committee	08/11/2021	3	3	100
38	ESOS Compensation Committee	27/01/2022	3	3	100

Point No. III - PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

33. Wockhardt Bio AG, the immediate Holding Company of Wockhardt Bio Limited, is yet to infuse the share capital in Wockhardt Bio Limited.

Thanking You.

For Wockhardt Limited

Sd/-
Debashis Dey
 Company Secretary



Wockhardt Limited
Details in respect of shares held by or on behalf of the Filis / FPIs.

Sr. No	Name Of Fil/FPI	Fil/FPI	Folio No/DP Id	Address	Pan No.	Country Of Incorporation	Regn. No. with SEBI / RBI	No. of Share	% of share holding
1	CITY OF NEW YORK GROUP TRUST	FPI	IN30016710030167	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAATC8419K		INUSFP213516/NA	7534	0.0052
2	COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT	FPI	IN30016710049693	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAACC9040K		INUSFP083617/NA	65933	0.0458
3	DOVETAIL INDIA FUND CLASS 6 SHARES	FPI	IN30330710001347	DBS BANK INDIA LTD FIRST FLOOR EXPRESS TOWERS NARIMAN POINT	AAGCD0792B		INMUFP241617/ NA	1859	0.0013
4	SSGA SPDR ETFs EUROPE I PUBLIC LIMITED COMPANY - SPDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF	FPI	IN30016710121383	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAUCS9527P		INIRFP026114/NA	3974	0.0028
5	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	FPI	IN30343810003257	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AABTV0442N		INUSFP101917/CIRCULA	593010	0.4116
6	ALASKA PERMANENT FUND	FPI	IN30016710021055	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AADTA7800K		INUSFP117816/NA	17239	0.0120
7	CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN	FPI	IN30005410032536	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATC0471C		IN-US-FP-0652-14/NA	6801	0.0047
8	CITY OF NEW YORK GROUP TRUST	FPI	IN30014220774577	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	AAATC8419K		INUSFP213516/A.P. (DIR)	25116	0.0174
9	COPTHALL MAURITIUS INVESTMENT LIMITED - NON ODI ACCOUNT	FPI	IN30343810019701	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AAACC4303M		INMUFP086419/Circular	1495	0.0010
10	DIMENSIONAL WORLD EX U.S. CORE EQUITY 2 ETF OF DIMENSIONAL ETF TRUST	FPI	IN30005410115788	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAICD6956H		IN-US-FP-0614-21/ NA	10989	0.0076
11	EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAIG)	FPI	IN30005410013410	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAACD1644G		IN-US-FP-0314-16/N.A.	304296	0.2112
12	EMERGING MARKETS TARGETED VALUE PORTFOLIO	FPI	IN30005410100216	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAFC2416E		IN-US-FP-0735-18/NA	8985	0.0062
13	INTERNATIONAL MONETARY FUND	FPI	IN30005410112315	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	EXEMPTCATG		IN-US-FP-2878-16/ NA	6684	0.0046
14	ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND	FPI	IN30343810006451	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AABTB6669D		INAUFP233415/CIRCULA	3044	0.0021
15	LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM	FPI	IN30005410009298	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATL3381R		IN-US-FP-1757-17/EC.CC	5687	0.0039
16	SOETE GENERALE	FPI	IN30378610000836	SBI SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA ANNEXE BUILDING, A WING GR FLOOR, S V ROAD SANTACRUZ WEST, MUMBAI	AABC57484C		INFRFP020917/A.P.(DIR)	266	0.0002
17	STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO	FPI	IN30016710128284	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAPT54453E		INUSFP143615/NA	6886	0.0048
18	AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKETS EQUITY ETF	FPI	IN30016710159933	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAHT5157H		INUSFP083219/NA	8602	0.0060
19	BNP PARIBAS ARBITRAGE	FPI	IN30179910081740	BNP PARIBAS, CUSTODY OPERATIONS BNP PARIBAS HOUSE, 6TH FLOOR 1 NORTH AVENUE, MAKER MAXITY BKC BANDRA EAST, MUMBAI	AAGF85324G		INFRFP085515/ NA	1583	0.0011
20	EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC	FPI	IN30016710156689	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AACCD1506B		INUKFP032216/ NA	24407	0.0169
21	EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC	FPI	IN30016710100587	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAAAE2450P		INIRFP027616/NA	25892	0.0180
22	ISHARES MSCI EMERGING MARKETS SMALL-CAP ETF	FPI	IN30005410109264	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAFC13338D		IN-US-FP-0387-19/ NA	17756	0.0123
23	PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO	FPI	IN30014210743212	HSBC SECURITIES SERVICES 11TH FLR, BLDG NO.3, NESCO - IT PARK NESCO COMPLEX, W E HIGHWAY GOREGAON EAST, MUMBAI	AABTP6710H		INUSFP216615/A.P.(DIR)	2284	0.0016
24	QIC INTERNATIONAL EQUITIES FUND	FPI	IN30005410084852	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATQ0265H		IN-AU-FP-0713-16/NA	4252	0.0030
25	STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND	FPI	IN30014220772439	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	AAHT56002P		INUSFP022916/A.P. (DIR)	14929	0.0104
26	UTAH STATE RETIREMENT SYSTEMS	FPI	IN30005410023614	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATU2901Q		IN-US-FP-2719-16/N.A.	1353	0.0009
27	DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST	FPI	IN30005410112036	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAJCD0004F		IN-US-FP-0827-20/ NA	67	0.0000
28	EMERGING MARKETS EX CHINA CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410116787	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAGCE7053A		IN-US-FP-0804-21/ NA	6539	0.0045
29	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND	FPI	IN30343810016687	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AAAAE3545P		INUSFP172617/CIRCULA	188764	0.1310
30	JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST	FPI	IN30005410078971	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATJ9048H		IN-US-FP-0382-15/NA	5480	0.0038
31	MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1	FPI	IN30016710124393	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAJCM5945N		INIRFP033015/NA	16825	0.0117
32	PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO	FPI	IN30014210779541	HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI	AAATP5186E		INUSFP130016/A.P. DIR	9437	0.0066
33	SA EMERGING MARKETS VALUE FUND	FPI	IN30016710041027	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AAHT50854M		INUSFP116216/NA	5344	0.0037
34	STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND	FPI	IN30014220772391	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	AAJTS4147D		INUSFP023316/A.P. (DIR)	48058	0.0334
35	TAIB BANK E.C.	FII	W0055463	ANZ GRINDLAYS BANK PLC CUSTODIAL SERVICES 132 MINT RD, POST BOX 1175 MUMBAI	NA		NA	300	0.0002
36	V E C Indian Special Situations Master Fund Ltd	FPI	IN30009511995573	IL And FS Securities Services Ltd IL And FS House, Plot No 14 Raheja Vihar, Chandivili, Andheri E Mumbai	AAFCV0725P		INCYFP107015/ NA	57200	0.0397
37	COBRA INDIA (MAURITIUS) LIMITED	FPI	IN30152430029489	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI	AADCC4379A		INMUFP092216/.	217426	0.1509
38	DIMENSIONAL EMERGING MARKETS VALUE FUND	FPI	IN30005410040054	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AACCD1578M		IN-US-FP-0337-16/N.A.	306702	0.2129
39	DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND	FPI	IN30016710128022	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAFCD1235L		INIRFP127115/NA	2800	0.0019
40	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND	FPI	IN30343810017116	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AAAAE3565K		INUSFP176217/CIRCULA	94005	0.0653
41	JOHN GOVETT & CO LIMITED	FII	W0039727	THE HONGKONG AND SHANGHAI BANK ING CORP LTD. C/O SEC. DEPT 52/60 MAHATMA GANDHI ROAD POST BOX NO-128 BOMB	NA		NA	600	0.0004
42	JOHN HANCOCK FUNDS II EMERGING MARKETS FUND	FPI	IN30005410080999	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATJ9049G		IN-US-FP-1649-15/NA	3695	0.0026
43	VANGUARD FTSE ALL-WORLD EX-US SMALL-CAP INDEXFUND	FPI	IN30016710059172	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AABTV0979Q		INUSFP067617/NA	144380	0.1002
44	VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND	FPI	IN30016710150969	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAGCV3937J		INUKFP043018/NA	369	0.0003
45	AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND	FPI	IN30016710160958	DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAHTA6005C		INUSFP004020/NA	1829	0.0013
46	BOFA SECURITIES EUROPE SA	FPI	IN30005410109504	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAICB3737G		IN-FR-FP-0885-19/ NA	271	0.0002
47	EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B	FPI	IN30343810016679	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AAAAE3543M		INUSFP172517/CIRCULA	9773	0.0068
48	ISHARES INDIA SC MAURITIUS COMPANY	FPI	IN30005410104453	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AACCI7987F		IN-MU-FP-0503-15/NA	67940	0.0472
49	ISHARES MSCI EM SMALL CAP UCITS ETF	FPI	IN30005410105132	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AADCI3486J		IN-IR-FP-2571-16/NA	15059	0.0105
50	NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING	FPI	IN30005410038629	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AABTN0934H		IN-US-FP-2461-15/NA	1106	0.0008
51	OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP	FPI	IN30016710135343	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAATQ2984K		INUSFP014216/NA	1898	0.0013
52	SPDR S&P EMERGING MARKETS SMALL CAP ETF	FPI	IN30014220772140	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	AAHT54805N		INUSFP131616/A.P. (DIR)	39590	0.0275
53	WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074841	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AABCW4401F		IN-US-FP-0216-16/NA	8013	0.0056
54	BOND STREET JEWELLERS (L.L.C)	FPI	IN30362210023284	SHOP NO 8, ROYAL DIA DEIRA DUBAI UAE	AAICB1929L		INUEFP009519/UNDER C	4000	0.0028
55	DFA INTERNATIONAL VECTOR EQUITY FUND	FPI	IN30152430049709	STANDARD CHARTERED BANK Securities Services, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI	AABTD3519Q		INCAFP268815/ NA	3797	0.0026
56	EMERGING MARKETS SUSTAINABILITY CORE 1 PORTFOLIO	FPI	IN30005410097678	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAECE9320Q		IN-US-FP-0240-18/NA	12979	0.0090
57	EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY	FPI	IN30016710100511	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AABCE7501L		INIRFP027716/NA	14242	0.0099
58	ISHARES CORE MSCI EM IMI UCITS ETF	FPI	IN30005410105204	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AADCI7836E		IN-IR-FP-0734-15/NA	124967	0.0867
59	SPDR PORTFOLIO EMERGING MARKETS ETF	FPI	IN30014220772123	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	AAGT54584D		INUSFP131416/A.P. (DIR)	135057	0.0938
60	SPDR S AND P EMERGING ASIA PACIFIC ETF	FPI	IN30014220772107	HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI	AAGT54583E		INUSFP131516/A.P. (DIR)	27097	0.0188
61	THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY	FPI	IN30005410013268	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AAATD7768G		IN-US-FP-0339-16/NA	145950	0.1013
62	THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS	FPI	IN30016710146165	DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI	AAACT1007C		INUSFP242917/NA	5827	0.0040
63	UBS PRINCIPAL CAPITAL ASIA LTD	FPI	IN30152430039021	STANDARD CHARTERED BANK Securities Services, 3rd Floor 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI	AABCU7548R		INSGFP062014/.	153	0.0001
64	VANGUARD TOTAL WORLD STOCK INDEX FUND	FPI	IN30016710047358	DEUTSCHE BANK AG DB HOUSE, HAZARIMAL SOMANI MARG POST BOX NO. 1142, FORT MUMBAI	AABTV0279R		INUSFP067417/NA	20742	0.0144
65	WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC.	FPI	IN30005410074905	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AABCW4402G		IN-US-FP-0317-16/NA	18419	0.0128
66	DFA INTERNATIONAL CORE EQUITY FUND	FPI	IN30152430049717	STANDARD CHARTERED BANK Securities Services, 3RD FLOOR 23-25 MAHATMA GANDHI ROAD FORT, MUMBAI	AABTD3438N		INCAFP268915/ NA	3758	0.0026
67	GENERAL ELECTRIC PENSION TRUST	FII	W0057566	C/O DEUTSCHE BANK AG GROUND FLR, MHATRE PEN BLDG TULSI PIPE ROAD DADAR (W) MUMBAI	NA		NA	300	0.0002
68	NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING	FPI	IN30005410085015	CITIBANK N.A. CUSTODY SERVICES FIFC- 9TH FLOOR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI	AACNT1585B		IN-US-FP-0952-15/NA	39304	0.0273
69	PUB EQUITIES EMERGING MARKETS 1	FPI	IN30343810015452	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AACTP9572E		INCHFP001517/CIRCULA	67360	0.0468
70	PUB EQUITIES EMERGING MARKETS 2	FPI	IN30343810015477	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AACTP9573F		INCHFP001417/CIRCULA	100519	0.0698
71	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS	FPI	IN30343810016654	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AAATY0918K		INUSFP068617/CIRCULA	618551	0.4294
72	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST	FPI	IN30343810018480	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AACTV9551H		INUSFP022719/CIRCULA	23853	0.0166
73	VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II	FPI	IN30343810020249	JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI	AADTV1640C		INUSFP012120/CIRCULA	213170	