

19th July, 2019

BSE Limited Corporate Relations Department P J Towers, Dalal Street Mumbai 400 001 <u>Scrip Code: 532300</u>	National Stock Exchange of India Limited Exchange Plaza Bandra-Kurla Complex, Bandra (E), Mumbai 400 051 <u>NSE Symbol – WOCKPHARMA</u>
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Dear Sirs,

Sub: Statement showing holding of securities and Shareholding Pattern

In compliance with Regulation 31(1)(b) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Shareholding Pattern as on 30th June, 2019.

Kindly acknowledge receipt of the same.

Thanking you,

For **Wockhardt Limited**


Narendra Singh
Company Secretary



Encl: As above



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: Wockhardt Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532300
3.	Share Holding Pattern Filed under: Reg. 31(1)(b)
	a. If under 31(1)(b) then indicate the report for Quarter ending: 30th June, 2019
	b. If under 31(1)(c) then indicate date of allotment/extinguishment: Not Applicable
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares?	-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	-	No
4	Whether the Listed Entity has any shares in locked-in?	-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	-



Wockhardt Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a) ¹	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	12	81985382	0	0	81985382	74.06	81985382	0	81985382	74.06	0	74.06	0	0	1700000	2.07	81985382
(B)	Public	114283	28719621	0	0	28719621	25.94	28719621	0	28719621	25.94	0	25.94	0	0	NA	NA	27911884
(C)	Non Promoter - Non Public				0				0			0			0	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
(C2)	Shares held by Employee Trust	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	NA	NA	0
	Total	114295	110705003	0	0	110705003	100.00	110705003	0	110705003	100.00	0	100.00	0	0	1700000	1.54	109897266

¹ Pledge has been created on additional 4,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 30th June, 2019. Total Pledge by Humuza Consultants as on 30th June, 2019 is 17,00,000 equity shares.



Wockhardt Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a) ¹		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
1 Indian																		
(a) Individuals / Hindu Undivided Family		4	887625	0	0	887625	0.80	887625	0	887625	0.80	0	0.80	0	0	0	0.00	887625
Habil F Khorakiwala	AABPK4415C	1	442785	0	0	442785	0.40	442785	0	442785	0.40	0	0.40	0	0	0	0.00	442785
Murtaza Habil Khorakiwala	AAZPK7040H	1	226200	0	0	226200	0.20	226200	0	226200	0.20	0	0.20	0	0	0	0.00	226200
Huzafa Habil Khorakiwala	ABLPK6715C	1	216000	0	0	216000	0.20	216000	0	216000	0.20	0	0.20	0	0	0	0.00	216000
Nafisa Habil Khorakiwala	AAGPK6495H	1	2640	0	0	2640	0.00	2640	0	2640	0.00	0	0.00	0	0	0	0.00	2640
Zahabiya Khorakiwala ⁴	ANMPK3942N	0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
(b) Central Government / State Government(s)		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
(c) Financial Institutions / Banks		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
(d) Any Other (Specify)		8	81097757	0	0	81097757	73.26	81097757	0	81097757	73.26	0	73.26	0	0	1700000	2.10	81097757
Promoters' Trust ²		4	10800000	0	0	10800000	9.76	10800000	0	10800000	9.76	0	9.76	0	0	0	0.00	10800000
Themisto Trustee Company Private Limited	AABTH2543J	1	5400000	0	0	5400000	4.88	5400000	0	5400000	4.88	0	4.88	0	0	0	0.00	5400000
Ananke Trustee Company Private Limited	AAETA2974M	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.63	0	0	0	0.00	1800000
Callirhoe Trustee Company Private Limited	AABTL1561G	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.63	0	0	0	0.00	1800000
Pasithee Trustee Company Private Limited	AABTH2544R	1	1800000	0	0	1800000	1.63	1800000	0	1800000	1.63	0	1.63	0	0	0	0.00	1800000
Partnership Firm³		4	70297757	0	0	70297757	63.50	70297757	0	70297757	63.50	0	63.50	0	0	1700000	2.42	70297757
Themisto Trustee Company Private Limited	AAHFH9240E	1	60497757	0	0	60497757	54.65	60497757	0	60497757	54.65	0	54.65	0	0	1700000	2.81	60497757
Ananke Trustee Company Private Limited	AAZFA7680B	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0	0	0.00	3200000
Callirhoe Trustee Company Private Limited	AAFFL2617M	1	3200000	0	0	3200000	2.89	3200000	0	3200000	2.89	0	2.89	0	0	0	0.00	3200000
Pasithee Trustee Company Private Limited	AAHFH9707N	1	3400000	0	0	3400000	3.07	3400000	0	3400000	3.07	0	3.07	0	0	0	0.00	3400000
Bodies Corporate⁴																		
Dartmour Holdings Private Limited	AACCD2394M	0	0	0	0	0		0	0	0	0.00	0	0.00	0	0	0	0.00	0
Palanpur Holdings and Investments Private Limited	AAACP3321N	0	0	0	0	0		0	0	0	0.00	0	0.00	0	0	0	0.00	0
Khorakiwala Holdings and Investments Private Limited	AAACK1955D	0	0	0	0	0		0	0	0	0.00	0	0.00	0	0	0	0.00	0
Sub Total (A)(1)		12	81985382	0	0	81985382	74.06	81985382	0	81985382	74.06	0	74.06	0	0	1700000	2.07	81985382
2 Foreign																		
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
(b) Government		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
(c) Institutions		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
(d) Foreign Portfolio Investor		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
(e) Any Other (Specify)		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
Sub Total (A)(2)		0	0	0	0	0		0	0	0		0	0.00	0	0	0	0.00	0
Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)		12	81985382	0	0	81985382	74.06	81985382	0	81985382	74.06	0	74.06	0	0	1700000	2.07	81985382

¹ Pledge has been created on additional 4,50,000 Equity Shares held by Themisto Trustee Company Private Limited in its capacity as the Trustee of Habil Khorakiwala Trust, being a Partner of Humuza Consultants (Partnership Firm) during the quarter ended 30th June, 2019. Total Pledge by Humuza Consultants as on 30th June, 2019 is 17,00,000 equity shares.

²The shares appearing under Category "Any Other" "Promoters' Trust" are held by the said Companies in the capacity as a Trustee of Trusts i.e. Habil Khorakiwala Trust, Amalthea Discretionary Trust, Lysithea Discretionary Trust and HNZ Discretionary Trust respectively.

³The shares appearing under Category "Any Other" "Partnership Firm" are held by the said Companies in capacity as a Trustee of respective Trusts being partner in Partnership Firms i.e. Humuza Consultants, Amalthea Consultants, Lysithea Consultants and HNZ Consultants respectively.

⁴ Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.



Wockhardt Limited

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Institutions																		
(a) Mutual Fund		5	567440	0	0	567440	0.51	567440	0	567440	0.51	0	0.51	0	0.00	NA	NA	566540
(b) Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c) Alternate Investment Funds		1	85700	0	0	85700	0.08	85700	0	85700	0.08	0	0.08	0	0.00	NA	NA	85700
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e) Foreign Portfolio Investor		100	5697833	0	0	5697833	5.15	5697833	0	5697833	5.15	0	5.15	0	0.00	NA	NA	5695433
Delaware Group Global And International Funds-Delaware Emerging Markets Fund	AAATD9379H	1	1200000	0	0	1200000	1.08	1200000	0	1200000	1.08	0	1.08	0	0.00	NA	NA	1200000
(f) Financial Institutions / Banks		10	292122	0	0	292122	0.26	292122	0	292122	0.26	0	0.26	0	0.00	NA	NA	291622
(g) Insurance Companies		1	1400	0	0	1400	0.00	1400	0	1400	0.00	0	0.00	0	0.00	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(i) Any Other (Specify)		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0.00	NA	NA	10200
Foreign Bank		2	10200	0	0	10200	0.01	10200	0	10200	0.01	0	0.01	0	0.00	NA	NA	10200
Sub Total (B)(1)		119	6654695	0	0	6654695	6.01	6654695	0	6654695	6.01	0	6.01	0	0.00	NA	NA	6649495
2 Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
Sub Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
3 Non-Institutions																		
(a) Individuals			0	0										0		NA	NA	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		108316	15363726	0	0	15363726	13.88	15363726	0	15363726	13.88	0	13.88	0	0.00	NA	NA	14585332
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		9	745208	0	0	745208	0.67	745208	0	745208	0.67	0	0.67	0	0.00	NA	NA	745208
(b) NBFCs registered with RBI		10	15620	0	0	15620	0.01	15620	0	15620	0.01	0	0.01	0	0.00	NA	NA	15620
(c) Trust Employee		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e) Any Other (Specify)		5829	5940372	0	0	5940372	5.37	5940372	0	5940372	5.37	0	5.37	0	0.00	NA	NA	5916229
Trusts		4	1500	0	0	1500	0.00	1500	0	1500	0.00	0	0.00	0	0.00	NA	NA	1500
Foreign Nationals		1	600	0	0	600	0.00	600	0	600	0.00	0	0.00	0	0.00	NA	NA	600
Hindu Undivided Family		2743	623336	0	0	623336	0.56	623336	0	623336	0.56	0	0.56	0	0.00	NA	NA	623336
Non Resident Indians (Non Repat)		644	210762	0	0	210762	0.19	210762	0	210762	0.19	0	0.19	0	0.00	NA	NA	208362
Directors / Relatives of Directors		5	57600	0	0	57600	0.05	57600	0	57600	0.05	0	0.05	0	0.00	NA	NA	57600
Non Resident Indians (Repat)		1445	833138	0	0	833138	0.75	833138	0	833138	0.75	0	0.75	0	0.00	NA	NA	830438
Clearing Member		258	1017551	0	0	1017551	0.92	1017551	0	1017551	0.92	0	0.92	0	0.00	NA	NA	1017551
Bodies Corporate		729	3195885	0	0	3195885	2.89	3195885	0	3195885	2.89	0	2.89	0	0.00	NA	NA	3176842
Sub Total (B)(3)		114164	22064926	0	0	22064926	19.93	22064926	0	22064926	19.93	0	19.93	0	0.00	NA	NA	21262389
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		114283	28719621	0	0	28719621	25.94	28719621	0	28719621	25.94	0	25.94	0	0.00	NA	NA	27911884

¹ Foreign Portfolio Investor (FPI) holdings include FII holdings



Wockhardt Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No. of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No.		As a % of total Shares held
								Class X	Class Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.000	NA	NA	0
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.000	NA	NA	0

