

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Quarter ended September 30, 2023

1.	Name of Listed Entity: Wockhardt Limited
2.	Scrip Code/Name of Scrip/Class of Security: 532300
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes	

Wockhardt Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculate as per SCRR, 1957) (VIII) As a % of (VII)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including share conversion) (X)	Shareholding, as a % assuming full conversion of convertible securities (XI) = (X) / (VII) * 100	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total								
(A)	Promoter & Promoter Group	13	79456076	0	0	79456076	55.1416	79456076	0	79456076	55.1416	0	55.1416	0	0.0000	51153000	64.378900	79456076
(B)	Public	172976	64638497	0	0	64638497	44.8584	64638497	0	64638497	44.8584	0	44.8584	0	0.0000	NA	NA	64182762
(C)	Non Promoter - Non Public														0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
	Total	172989	144094573	0	0	144094573	100.0000	144094573	0	144094573	100.0000	0	100.0000	0	0.0000	51153000	35.499600	143638838

Wockhardt Limited

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (i)	Entity Type	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	No. of shares underl y Depositor y Receipts (vi)	Total shares held (vii) = (vi) + (viii) As a % of (A+B+C2) (viii) As a % of (ix)	Number of Voting Rights held in each class of securities			Shares Underl ying Outstanding convertible securities (including securities convertible into equity shares) (x)	ding, as a % assuming full conversion of convertible securities (xi) = (x) / (vii) x 100	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (xiv)		
							No of Voting Rights					Total as a % of Total Voting Rights (xii)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)	
							Class eg: x	Class eg: y	Total									
1	Indian																	
(a) Individuals / Hindu Undivided Family		5	1180051	0	0	1180051	0.8189	1180051	0	1180051	0.8189	0	0.0000	0	0.0000	1180051		
Habil F Khorakiwala	Promoters	1	597286	0	0	597286	0.4145	597286	0	597286	0.4145	0	0.0000	0	0.0000	597286		
Murtaza Habil Khorakiwala	Promoters	1	294060	0	0	294060	0.2041	294060	0	294060	0.2041	0	0.0000	0	0.0000	294060		
Huzafa Habil Khorakiwala	Promoters	1	280800	0	0	280800	0.1949	280800	0	280800	0.1949	0	0.0000	0	0.0000	280800		
Nafisa Habil Khorakiwala	Promoters	1	5565	0	0	5565	0.0039	5565	0	5565	0.0039	0	0.0000	0	0.0000	5565		
Miqdad H Khorakiwala	Promoters	1	2340	0	0	2340	0.0016	2340	0	2340	0.0016	0	0.0000	0	0.0000	2340		
Zahabiya Khorakiwala	Promoters	0	0	0	0	0	0	0	0	0	0	0	0.0000	0	0.0000	0		
(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(d) Any Other (Specify)		8	78276025	0	0	78276025	54.3227	78276025	0	78276025	54.3227	0	0.0000	0	0.0000	51153000	65.3495	78276025
Promoter Trust		4	1991281	0	0	1991281	1.3819	1991281	0	1991281	1.3819	0	0.0000	0	0.0000	1991281		
Callirhoe Trustee Company Private Limited	Promoter Group	1	936751	0	0	936751	0.6501	936751	0	936751	0.6501	0	0.0000	0	0.0000	936751		
Pasithee Trustee Company Private Limited	Promoter Group	1	650000	0	0	650000	0.4511	650000	0	650000	0.4511	0	0.0000	0	0.0000	650000		
Ananke Trustee Company Private Limited	Promoter Group	1	274530	0	0	274530	0.1905	274530	0	274530	0.1905	0	0.0000	0	0.0000	274530		
Themisto Trustee Company Private Limited	Promoter Group	1	130000	0	0	130000	0.0902	130000	0	130000	0.0902	0	0.0000	0	0.0000	130000		
Bodies Corporate		4	76284744	0	0	76284744	52.9407	76284744	0	76284744	52.9407	0	0.0000	0	0.0000	51153000	67.0553	76284744
Themisto Trustee Company Private Limited	Promoter Group	1	65544744	0	0	65544744	45.4873	65544744	0	65544744	45.4873	0	0.0000	0	0.0000	48367000	73.7923	65544744
Pasithee Trustee Company Private Limited	Promoter Group	1	4420000	0	0	4420000	3.0674	4420000	0	4420000	3.0674	0	0.0000	0	0.0000	4420000		
Ananke Trustee Company Private Limited	Promoter Group	1	3660000	0	0	3660000	2.5400	3660000	0	3660000	2.5400	0	0.0000	0	0.0000	1786000	48.7978	3660000
Callirhoe Trustee Company Private Limited	Promoter Group	1	2660000	0	0	2660000	1.8460	2660000	0	2660000	1.8460	0	0.0000	0	0.0000	1000000	37.5940	2660000
Dartmour Holdings Private Limited	Promoter Group	0	0	0	0	0	0	0	0	0	0	P	0	0	0	0		
Palanpur Holdings and Investments Private Limited	Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Khorakiwala Holdings and Investments Private Limited	Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		
Sub Total (A)(1)		13	79456076	0	0	79456076	55.1416	79456076	0	79456076	55.1416	0	0.0000	0	0.0000	51153000	64.3790	79456076
2	Foreign																	
(a) Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(b) Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(c) Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
(e) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0		
Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		13	79456076	0	0	79456076	55.1416	79456076	0	79456076	55.1416	0	0.0000	0	0.0000	51153000	64.3790	79456076

Notes:

- It is clarified that due to legal restrictions on holding shares by the actual shareholders i.e. Trusts and Partnership Firms, the Trustee Companies namely Ananke Trustee Company Private Limited, Callirhoe Trustee Company Private Limited, Pasithee Trustee Company Private Limited and Themisto Trustee Company Private Limited holds shares in Wockhardt Limited on behalf of other entities as follows:
 - The shares appearing under Category "Any Other - Promoters' Trust" are held by Trustee Companies in the capacity as Trustee of the Trusts as follows:

NAME OF TRUSTEE COMPANY (1ST HOLDER)	HOLDING SHARES ON BEHALF OF (TRUSTS)	NAME OF COMPANY (1ST HOLDER) -	HOLDING SHARES ON BEHALF OF (PARTNERSHIP FIRMS)
i) Ananke Trustee Company Private Limited	i) Amalthea Discretionary Trust	i) Ananke Trustee Company Pvt. Ltd.	i) Amalthea Consultants
ii) Callirhoe Trustee Company Private Limited	ii) Lysithea Discretionary Trust	ii) Callirhoe Trustee Company Pvt. Ltd.	ii) Lysithea Consultants
iii) Pasithee Trustee Company Private Limited	iii) HNZ Discretionary Trust	iii) Pasithee Trustee Company Pvt. Ltd.	iii) HNZ Consultants
iv) Themisto Trustee Company Private Limited	iv) Habil Khorakiwala Trust	iv) Themisto Trustee Company Pvt. Ltd.	iv) Humuza Consultants
 - The shares appearing under Category "Any Other - Partnership Firm" are held by the said Companies in capacity as a Trustee of the respective Partnership Firm as follows:

NAME OF TRUSTEE COMPANY (1ST HOLDER)	HOLDING SHARES ON BEHALF OF (PARTNERSHIP FIRMS)
i) Ananke Trustee Company Private Limited	i) Amalthea Consultants
ii) Callirhoe Trustee Company Private Limited	ii) Lysithea Consultants
iii) Pasithee Trustee Company Private Limited	iii) HNZ Consultants
iv) Themisto Trustee Company Private Limited	iv) Humuza Consultants
- Ms. Zahabiya Khorakiwala, Dartmour Holdings Private Limited, Palanpur Holdings and Investments Private Limited and Khorakiwala Holdings and Investments Private Limited does not currently hold any equity share in the Company, however, they form part of Promoter and Promoter Group of the Company and are accordingly disclosed with Nil holding in the shareholding pattern. They are also not considered in calculation of total number of shareholders.
- Details of Shares which remain in Unclaimed Escrow Account on account of rejection due to technical error during Right Issue 2022 corporate action : No. of shareholders - 6 and No. of Shares - 247 (considered under the category of Bodies Corporate)
- Shares shown in "any other (Directors and their relatives)" are held by independent Directors of the Company.
- Guardian Finance Private Limited wrongfully invoked pledge on 20,00,000 equity shares of the Company which are under dispute and Promoters are in the process of recovering these shares through necessary legal actions. The above event was intimated to the stock exchanges on 28th July, 2023 pursuant to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 by the Company.

Wockhardt Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (VI) + (VII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including (X))	ing, as a % assuming full conversion of convertible securities (XI)= (X) / (VII) As a % of (A+B+C)	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)	
							No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
							Class eg: X	Class eg: y	Total (IX)								
1	Custodian/DR Holder	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
2	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		
	Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0		

Sr. No.	Details of the SBO		Details of the registered owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:			Date of creation / acquisition of significant beneficial interest
	Name of the SBO	Nationality	Name	Nationality	Whether by virtue of:			
					Shares	Exercise of control	Exercise of significant influence	
1	Habil Khorakiwala	India	Humuza Consultants (on its behalf Themisto Trustee Company Private Limited)	India	45.49	No	Yes	08-02-2019
2	Habil Khorakiwala	India	Amalthea Consultants (on its behalf Anarke Trustee Company Private Limited)	India	2.54	No	Yes	08-02-2019
3	Habil Khorakiwala	India	Lysithea Consultants (on its behalf Callirhoe Trustee Company Private Limited)	India	1.85	No	Yes	08-02-2019
4	Habil Khorakiwala	India	HNZ Consultants (on its behalf Pasithee Trustee Co. Pvt. Ltd.)	India	3.07	No	Yes	08-02-2019
5	Habil Khorakiwala	India	Habil Khorakiwala Trust (on its behalf Themisto Trustee Company Private Limited)	India	0.09	No	Yes	08-02-2019
6	Habil Khorakiwala	India	Amalthea Discretionary Trust (on its behalf Anarke Trustee Co. Pvt. Ltd.)	India	0.19	No	Yes	08-02-2019
7	Habil Khorakiwala	India	Lysithea Discretionary Trust (on its behalf Callirhoe Trustee Co. Pvt. Ltd.)	India	0.65	No	Yes	08-02-2019
8	Habil Khorakiwala	India	HNZ Discretionary Trust (on its behalf Pasithee Trustee Co. Pvt. Ltd.)	India	0.45	No	Yes	08-02-2019